



**FINANCIAL DISCLOSURE STATEMENT**  
(For use by all Public Officers and Candidates in the State of Arizona)

Name of Public Officer or Candidate: D. Douglas Metcalf

Business, Residential or Mailing Address: 2225 E. Ajo Way, Tucson, Arizona 85713

Public Office Held or Sought: Superior Court Judge

District / Division # (if applicable): Division 16

Please select the appropriate box that reflects your service for this filing year (double-click the box and change the default value to "checked"):

- I am a **public officer** filing this Financial Disclosure Statement covering the 12 months of calendar year 2015.
- I have been **appointed** to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.
- I am a **public officer who has served in the last full year of my final term**, which expires less than thirty-one days into calendar year 2016. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.
- I am a **candidate** for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of \_\_\_\_\_ 20\_\_\_\_, to the month of \_\_\_\_\_ 20\_\_\_\_\_.

**VERIFICATION**

I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.

/s/ D. Douglas Metcalf

Signature of Public Officer or Candidate  
(Typewritten signatures accepted)

## A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of you're and/or a member of your household's personal financial interests.<sup>1</sup>

### 1. Household Members' Names

**What to disclose:** If they reside in your household, disclose your spouse's name and the names of any minor children of whom you have legal custody. If none, please write "N/A". For the remaining questions in this Financial Disclosure Statement, the term "member of your household" will be defined as the persons listed below.

YOUR SPOUSE'S NAME	[REDACTED]
CHILDREN'S NAMES	

### 2. Sources of Personal Compensation

**What to disclose:** The name and address of each employer who paid you or any member of your household more than \$1,000 in salary, wages, commissions, tips or other forms of compensation (other than "gifts") during the period covered by this report. Describe the nature of each employer's business and the type of services for which you or a member of your household were compensated.

Also, list anything of value that any other person (outside your household) received for your or a member of your household's use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person's services that benefited you, and provide information about the third-party who paid for the services on your behalf.

**You need not disclose:** Any money you or any member of your household received that constitutes gross income paid to a business that you or your household member owns or does business as. This will be disclosed in Section 11 below.

<sup>1</sup> If additional space is needed to report information on this Financial Disclosure Statement, select the appropriate reporting area and add additional rows to the form. For example, to report an additional child's name in Section 1, right-click in any row, click "Insert," click "Insert Rows Above." (The user should add rows "above" or "below" based on the resulting effect on formatting in the Financial Disclosure Statement).

NAME OF PUBLIC OFFICER OR HOUSEHOLD MEMBER BENEFITTED	NAME AND ADDRESS OF EMPLOYER WHO PROVIDED COMPENSATION > \$1,000	NATURE OF EMPLOYER'S BUSINESS	NATURE OF SERVICES PROVIDED BY PUBLIC OFFICER OR HOUSEHOLD MEMBER FOR EMPLOYER
D. Douglas Metcalf	Pima County Superior Court 110 W. Congress, Tucson, AZ	Judicial services	Superior Court Judge

Continued, if applicable:

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD BENEFITTED	NAME AND ADDRESS OF PERSON WHO PROVIDED SERVICES VALUED OVER \$1,000 FOR YOUR OR MEMBER OF YOUR HOUSEHOLD'S USE OR BENEFIT	NATURE OF SERVICES PROVIDED BY PERSON FOR YOUR OR MEMBER OF YOUR HOUSEHOLD'S USE OR BENEFIT	NAME AND ADDRESS OF THIRD PARTY WHO PAID FOR PERSON'S SERVICES ON YOUR BEHALF

### 3. Professional, Occupational and Business Licenses

**What to disclose:** List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Section 11 below.

NAME OF PUBLIC OFFICER OR HOUSEHOLD MEMBER AFFECTED	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
D. Douglas Metcalf	AZ Bar license	D. Douglas Metcalf	Arizona
D. Douglas Metcalf	IA Bar license (inactive)	D. Douglas Metcalf	Iowa

#### 4. Personal Creditors

**What to disclose:** The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt over \$1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date:" in the last column below.

You need not disclose the following, which do not qualify as "personal debt":

- Debts resulting from the ordinary conduct of a business (these will be disclosed elsewhere in this Statement, in Section C below);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OWING THE DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N/A		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 5. Personal Debtors

**What to disclose:** The name of each debtor who owed you or a member of your household a debt over \$1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category (double-click the applicable box and change the default value to “checked”).

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the appropriate box and change the default value to “checked”). Otherwise, write “N/A” (for “not applicable”) after the word “Date:” in the last column below.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N/A		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 6. Gifts

**What to disclose:** The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over \$500, subject to the exceptions listed in the below “You need not disclose” paragraph. A “gift” means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a “gift” for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona’s lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household’s duty to disclose gifts in this Financial Disclosure Statement.

**You need not disclose the following, which do not qualify as “gifts”:**

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona’s intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;

- Gifts received from any other member of the household;
- Gifts received by parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD WHO RECEIVED GIFT(S) OVER \$500	NAME OF GIFT DONOR
N/A	

**7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts**

**What to disclose:** The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

PUBLIC OFFICER OR MEMBER OF HOUSEHOLD HAVING THE REPORTABLE RELATIONSHIP	NAME AND ADDRESS OF BUSINESS, ORGANIZATION, TRUST, OR NONPROFIT ORGANIZATION OR ASSOCIATION	DESCRIPTION OF OFFICE, POSITION OR FIDUCIARY RELATIONSHIP HELD BY THE PUBLIC OFFICER OR MEMBER OF HOUSEHOLD
N/A		

## 8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

**What to disclose:** The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over \$1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also put a check mark to indicate the value of the debt (double-click the applicable box and change the default value to “checked”).

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD HAVING THE INTEREST	NAME AND ADDRESS OF BUSINESS, TRUST OR INVESTMENT FUND	DESCRIPTION OF THE BUSINESS, TRUST OR INVESTMENT FUND	APPROXIMATE EQUITY VALUE OF THE INTEREST
D. Douglas Metcalf	Lewis, Roca, Rothgerber Retirement Acct.	Retirement account	<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 +
D. Douglas Metcalf	Fidelity Mutual Fund	Mutual fund	<input checked="" type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +
See attached list			<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +

## 9. Ownership of Bonds

**What to disclose:** Bonds issued by a state or local government agency worth more than \$1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also put a check mark to indicate the value of the bonds (double-click the applicable box and change the default value to “checked”).

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the bonds were acquired or divested (double-click the appropriate box and change the default value to “checked”). Otherwise, write “N/A” (for “not applicable”) after the word “Date:” in the last column below.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD ISSUED BONDS	ISSUING STATE OR LOCAL GOVERNMENT AGENCY	APPROXIMATE VALUE OF BONDS	IF THE BONDS WERE FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
See attached list		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested

## 10. Real Property Ownership

**What to disclose:** Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked").

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date:" in the last column below.

**You need not disclose:** Your primary residence or property you use for personal recreation.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
D. Douglas Metcalf and [REDACTED] own ½ interest	Campbell & 6 <sup>th</sup> Sam Hughes Place (2bdm condo)	<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested

## B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

### 11. Business Names

**What to disclose:** The name of any business under which you or any member of your household owns or did business under (in other words, were self-employed) during the period covered by this Financial Disclosure Statement, which include corporations, limited liability companies, partnerships, sole proprietorships and any other business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is "controlled" if you or any member of your household (individually or combined) had an ownership interest that amounts to 50% or more. A business is classified as "dependent," on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts to 10% or more; *and* (2) the business received at least \$10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to at least 50% of the business' gross income for the period.

If the business was either controlled or dependent, check whether it was controlled or dependent (double-click the appropriate box and change the default value to “checked”) in the last column below. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes in the last column below blank.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	CHECK THE APPROPRIATE BOX IF THE BUSINESS IS “CONTROLLED” BY OR “DEPENDENT” ON YOU OR A MEMBER OF YOUR HOUSEHOLD
	Self-employed Address withheld under A.R.S. § 39-123	<input checked="" type="checkbox"/> Controlled <input type="checkbox"/> Dependent
		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent
		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent

**Please note:** If a business listed in the foregoing Section 11 was neither “controlled” nor “dependent” during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Section 11 were “controlled” or “dependent,” you need not complete the remained of this Financial Disclosure Statement.

## 12. Controlled Business Information

**What to disclose:** The name of each controlled business listed in Section 11 above, and the goods or services provided by the business.

If a single client or customer (person or business) accounts for more than \$10,000 *and* 25% of the business’ gross income during the period covered by this Financial Disclosure Statement (a “major client”), describe what the business provided to the major client in the third column. Also, describe the major client’s type of business activities in the final column (but if the major client is an individual, write “N/A” for “not applicable” in the final column).

If the business does not have a major client, write “N/A” for “not applicable” in the last two columns.

**You need not disclose:** The name of any major client, or the activities of any major client that is a person.

NAME OF YOUR OF YOUR HOUSEHOLD MEMBER'S CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY THE CONTROLLED BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CUSTOMER	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CUSTOMER
	Personal services	Personal services	Educational foundation

### 13. Dependent Business Information

**What to disclose:** The name of each dependent business listed in Section 11 above, and the goods or services provided by the business.

If a single client or customer (person or business) accounts for more than \$10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement (a "major client"), describe what the business provided to the major client in the third column. Also, describe the major client's type of business activities in the final column (but if the major client is an individual, write "N/A" for "not applicable" in the final column).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns. Likewise, if the dependent business is also a controlled business, disclose the business only in Section 12 above and leave this section blank.

**You need not disclose:** The name of any major client, or the activities of any major client that is a person.

NAME OF YOUR OF YOUR HOUSEHOLD MEMBER'S DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE DEPENDENT BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CUSTOMER	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CUSTOMER
N/A			

#### 14. Real Property Owned by a Controlled or Dependent Business

**What to disclose:** Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked"). If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date:" in the last column below.

NAME OF CONTROLLED OR DEPENDENT BUSINESS THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N/A		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested

#### 15. Controlled or Dependent Business' Creditors

**What to disclose:** The name and address of each creditor to which a controlled or dependent business owed more than \$10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date:" in the last column below.

**You need not disclose:** Debts owed by a business other than a controlled or dependent business.

NAME OF CONTROLLED OR DEPENDENT BUSINESS OWING THE QUALIFYING DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N/A		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

### 16. Controlled or Dependent Business' Debtors

**What to disclose:** The name of each debtor who owed at least \$10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt"). Also disclose the approximate value of the debt by financial category (double-click the applicable box and change the default value to "checked").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date:" in the last column below.

**You need not disclose:** Debts owed to a business other than a controlled or dependent business.

NAME OF PUBLIC OFFICER OR MEMBER OF HOUSEHOLD OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N/A		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description of Interest	Equity by Value
AAON INC	AAON	Public Officer	Category 1
ADVISORY BOARD CO/THE	ABCO	Public Officer	Category 1
AIA GROUP LTD	AAGIY	Public Officer	Category 1
AIR LIQUIDE ADR	AIQUY	Public Officer	Category 1
AIRBUS GROUP ADR	EADSY	Public Officer	Category 1
AJINOMOTO INC	AJINY	Public Officer	Category 1
AKTIEBOLAGET ELECTROLUX	ELUXY	Public Officer	Category 1
ALLETE INC COM	ALE	Public Officer	Category 1
ALLSTATE CORP	ALL	Public Officer	Category 1
AMADEUS IT HLDG S A	AMADY	Public Officer	Category 1
AMERICAN ASSETS TR INC	AAT	Public Officer	Category 1
AMERISAFE INC	AMSF	Public Officer	Category 1
AMSURG CORP	AMSG	Public Officer	Category 1
ARCHER-DANIELS-MIDLND CO	ADM	Public Officer	Category 1
ASML HOLDING NV	ASML	Public Officer	Category 1
ASSA ABLQY AB - ADR	ASAZY	Public Officer	Category 1
ASSOC BRITISH FOODS	ASBFY	Public Officer	Category 1
ASTRAZENECA PLC	AZN	Public Officer	Category 1
ASTRONICS CORP	ATRO	Public Officer	Category 1
AT & T INC	T	Public Officer	Category 1
BALCHEM CORP CLASS B	BCPC	Public Officer	Category 1
BANK NEW YORK MELLON	BK	Public Officer	Category 1
BANK OF CHINA-UNSPON ADR	BACHY	Public Officer	Category 1
BAXALTA INC	BXLT	Public Officer	Category 1
BAYER AG SPONSORED ADR	BAYRY	Public Officer	Category 1
BAYERISCHE MOTOREN WERKE	BAMXY	Public Officer	Category 1
BB&T CORP	BBT	Public Officer	Category 1
BEACON ROOFING SUPPLY	BECN	Public Officer	Category 1
BERRY PLASTICS GROUP INC	BERY	Public Officer	Category 1
BIO RAD LABS INC CL A	BIO	Public Officer	Category 1
BIO-TECHNE CORP	TECH	Public Officer	Category 1
BLOOMIN BRANDS INC	BLMN	Public Officer	Category 1
BNP PARIBAS ADR	BNPQY	Public Officer	Category 1
BOOT BARN HOLDINGS INC	BOOT	Public Officer	Category 1
BOTTOMLINE TECHNOLOGIES	EPAY	Public Officer	Category 1
BRITISH AMERN TOB PLC	BTI	Public Officer	Category 1
CA INC	CA	Public Officer	Category 1
CALAVO GROWERS INC	CVGW	Public Officer	Category 1
CANADIAN NATL RY CO	CNI	Public Officer	Category 1
CANTEL MEDICAL CORP	CMN	Public Officer	Category 1
CARDINAL HEALTH INC	CAH	Public Officer	Category 1
CARRIZO OIL & GAS INC	CRZO	Public Officer	Category 1
CASEYS GENL STORES INC	CASY	Public Officer	Category 1
CENTRAL PAC FINL CORP	CPF	Public Officer	Category 1
CHEVRON CORPORATION	CVX	Public Officer	Category 1
CISCO SYSTEMS INC	CSCO	Public Officer	Category 1
CLARCOR INC	CLC	Public Officer	Category 1
COGNEX CORP	CGNX	Public Officer	Category 1

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description of Interest	Equity by Value
COMPAGNIE FINANCIERE	CFRUY	Public Officer	Category 1
CONOCOPHILLIPS	COP	Public Officer	Category 1
CORPORATE OFFICE PPTYS	OFC	Public Officer	Category 1
CREDIT SUISSE GROUP	CS	Public Officer	Category 1
CVS HEALTH	CVS	Public Officer	Category 1
DANONE	DANOY	Public Officer	Category 1
DBS GROUP HOLDINGS	DBSDY	Public Officer	Category 1
DENSO CORPORATION	DNZOY	Public Officer	Category 1
DIAGEO PLC	DEO	Public Officer	Category 1
DU PONT E.I. DE NEMOURS	DD	Public Officer	Category 1
EAGLE BANCORP INC MD	EGBN	Public Officer	Category 1
EDISON INTL	EIX	Public Officer	Category 1
ELLIE MAE INC	ELLI	Public Officer	Category 1
ENBRIDGE INC	ENB	Public Officer	Category 1
ENSIGN GROUP INC	ENSG	Public Officer	Category 1
ESSLOR INTL-SPONSORED	ESLOY	Public Officer	Category 1
EVERCORE PARTNERS INC	EVR	Public Officer	Category 1
EXAMWORKS GRP INC	EXAM	Public Officer	Category 1
EXPRESS SCRIPTS HLDG CO	ESRX	Public Officer	Category 1
FANUC CORP	FANUY	Public Officer	Category 1
FINANCIAL ENGINES INC	FNGN	Public Officer	Category 1
FIRST MIDWEST BANCORP	FMBI	Public Officer	Category 1
FORUM ENERGY	FET	Public Officer	Category 1
GEMALTO NV SPONSORED ADR	GTOMY	Public Officer	Category 1
GENESEE & WYOMING INC	GWR	Public Officer	Category 1
GENTHERM INC	THRM	Public Officer	Category 1
GIVAUDAN-UNSPON-ADR	GVDNV	Public Officer	Category 1
GLOBUS MEDICAL INC NEW	GMED	Public Officer	Category 1
GRAY TELEVISION INC CL B	GTN	Public Officer	Category 1
GRIFOLS S.A.	GRFS	Public Officer	Category 1
HALLIBURTON COMPANY	HAL	Public Officer	Category 1
HDFC BANK LTD-ADR	HDB	Public Officer	Category 1
HEALTHCARE SVCS GROUP	HCSG	Public Officer	Category 1
HEICO CORP NEW	HEI	Public Officer	Category 1
HFF INC CLASS A	HF	Public Officer	Category 1
HUDSON PACIFIC PPTYS INC	HPP	Public Officer	Category 1
ICU MED INC	ICUI	Public Officer	Category 1
IMPERIAL TOB GROUP PLC	ITYBY	Public Officer	Category 1
ING GROEP N V	ING	Public Officer	Category 1
INTEGRA LIFESCIENCES	IART	Public Officer	Category 1
INTEL CORP	INTC	Public Officer	Category 1
J & J SNACK FOODS CORP	JJF	Public Officer	Category 1
J2 GLOBAL INC	JCOM	Public Officer	Category 1
JAMES RIVER GRP HOLDINGS	JRVR	Public Officer	Category 1
JARDINE MATHESON HLDGS	JMHLY	Public Officer	Category 1
JOHNSON & JOHNSON	JNJ	Public Officer	Category 1
JOHNSON CONTROLS INC	JCI	Public Officer	Category 1
KAWASAKI HEAVY INDS	KWHY	Public Officer	Category 1

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description of Interest	Equity by Value
KDDI CORP-UNSPONSORED	KDIY	Public Officer	Category 1
KEYENCE CORP	KYCCF	Public Officer	Category 1
KEYENCE CORP	KYCCF	Public Officer	Category 1
KRAFT HEINZ CO	KHC	Public Officer	Category 1
KUBOTA CORP	KUBTY	Public Officer	Category 1
L OREAL CO ADR	LRLCY	Public Officer	Category 1
LANDSTAR SYSTEMS INC	LSTR	Public Officer	Category 1
LIBERTY GLOBAL PLC	LBTYA	Public Officer	Category 1
LLOYDS BANKING GROUP PLC	LYG	Public Officer	Category 1
L'OREAL CO ADR	LRLCY	Public Officer	Category 1
LOWES COMPANIES INC	LOW	Public Officer	Category 1
LVMH MOET HENNESSY	LVMUY	Public Officer	Category 1
MADDEN STEVEN LTD	SHOO	Public Officer	Category 1
MARATHON OIL CORP	MRO	Public Officer	Category 1
MARSH AND MC LENNAN	MMC	Public Officer	Category 1
MARUBENI CORP	MARUY	Public Officer	Category 1
MASIMO CORP	MASI	Public Officer	Category 1
MATRESS FIRM HOLDING	MFRM	Public Officer	Category 1
MDC PARTNERS INC-A	MDCA	Public Officer	Category 1
MEDTRONIC PLC	MDT	Public Officer	Category 1
MEGITT PLC ADR	MEGGY	Public Officer	Category 1
MERCK & CO INC NEW	MRK	Public Officer	Category 1
MERIT MEDICAL SYS INC	MMSI	Public Officer	Category 1
MONDELEZ INTL INC	MDLZ	Public Officer	Category 1
MONOLITHIC POWER SYSTEMS	MPWR	Public Officer	Category 1
MONOTYPE IMAGING HLDGS	TYPE	Public Officer	Category 1
MONRO MUFLER BRAKE INC	MNRO	Public Officer	Category 1
MURATA MANUFACTURING-ADR	MRAAY	Public Officer	Category 1
NATIONAL GRID PLC	NGG	Public Officer	Category 1
NEOGEN CORP	NEOG	Public Officer	Category 1
NESTLE S A REG ADR	NSRGY	Public Officer	Category 1
NETSCOUT SYSTEMS INC	NTCT	Public Officer	Category 1
NEUSTAR INC CLASS A	NSR	Public Officer	Category 1
NICE SYSTEMS LTD	NICE	Public Officer	Category 1
NISSAN MTR LTD SPONS-ADR	NSANY	Public Officer	Category 1
NORTHROP GRUMMAN CORP	NOC	Public Officer	Category 1
NOVARTIS AG	NVS	Public Officer	Category 1
NOVO NORDISK A S ADR	NVO	Public Officer	Category 1
NTT DOCOMO INC ADR	DCM	Public Officer	Category 1
NUVASIVE INC	NUVA	Public Officer	Category 1
OCCIDENTAL PETE CORP	OXY	Public Officer	Category 1
OIL STATES INTL INC	OIS	Public Officer	Category 1
OLLIE'S BARGAIN OUTLET	OLLI	Public Officer	Category 1
OMNICELL INC	OMCL	Public Officer	Category 1
PAYCOM SOFTWARE INC	PAYC	Public Officer	Category 1
PEBBLEBROOK HOTEL TR	PEB	Public Officer	Category 1
PERNOD-RICARD SA-UNSPON	PDRDY	Public Officer	Category 1
PFIZER INCORPORATED	PFE	Public Officer	Category 1

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description of Interest	Equity by Value
PLANTRONICS INC	PLT	Public Officer	Category 1
POPEYES LOUISIANA	PLKI	Public Officer	Category 1
POWER INTEGRATIONS INC	POWI	Public Officer	Category 1
PRA GRP INC	PRAA	Public Officer	Category 1
PRESTIGE BRANDS HOLDINGS	PBH	Public Officer	Category 1
PRIMORIS SERVICES CORP	PRIM	Public Officer	Category 1
PROTO LABS INC	PRLB	Public Officer	Category 1
PRUDENTIAL PLC	PUK	Public Officer	Category 1
QLIK TECHNOLOGIES INC	QLIK	Public Officer	Category 1
QUAKER CHEMICAL CORP	KWR	Public Officer	Category 1
QUALYS INC	QLYS	Public Officer	Category 3
QUEST DIAGNOSTICS INC	DGX	Public Officer	Category 1
RAYTHEON COMPANY	RTN	Public Officer	Category 1
RBC BEARINGS INC	ROLL	Public Officer	Category 1
RECKITT BENCKISER PLC	RBGLY	Public Officer	Category 1
RIO TINTO PLC	RIO	Public Officer	Category 1
RITCHIE BROS	RBA	Public Officer	Category 1
ROCHE HOLDINGS LTD ADR	RHHBY	Public Officer	Category 1
RSP PERMIAN INC	RSPR	Public Officer	Category 1
SAFRAN SA-UNSPON ADR	SAFRY	Public Officer	Category 1
SAMPO OYJ-A SHS UNSP ADR	SAXPY	Public Officer	Category 1
SAMSONITE INTERNATIONAL	SMSEY	Public Officer	Category 1
SAP SE SPONSORED ADR	SAP	Public Officer	Category 1
SCIOQUEST INC	SQL	Public Officer	Category 1
SEMTECH CORP	SMTC	Public Officer	Category 1
SINGAPORE	SGAPY	Public Officer	Category 1
SMC CORP/JAPAN	SMECF	Public Officer	Category 1
SOFTBANK GROUP CORP	SFTBY	Public Officer	Category 1
SOLARWINDS INC	SWI	Public Officer	Category 1
SOUTH ST CORP	SSB	Public Officer	Category 1
SPS COMMERCE INC	SPSC	Public Officer	Category 1
SUMITOMO MITSUI FINL	SMFG	Public Officer	Category 1
SVENSKA ADR	SVNLY	Public Officer	Category 1
SYNERGY RESOURCES CORP	SYRG	Public Officer	Category 1
SYSMEX CORP UNSPONSORED	SSMXY	Public Officer	Category 1
TAIWAN SEMICONDUCTOR	TSM	Public Officer	Category 1
TALMER BANCORP INC CL A	TLMR	Public Officer	Category 1
TERRENO REALTY CORP	TRNO	Public Officer	Category 1
TEXAS CAPITAL BANCSHARES	TCBI	Public Officer	Category 1
TEXAS ROADHOUSE INC COM	TXRH	Public Officer	Category 1
TORO COMPANY	TTC	Public Officer	Category 1
TOTAL S.A. SPONS ADR	TOT	Public Officer	Category 1
TREEHOUSE FOODS INC	THS	Public Officer	Category 1
TREND MICRO INC SPON	TMICY	Public Officer	Category 1
TUMI HOLDINGS INC	TUMI	Public Officer	Category 1
UBS GROUP AG	UBS	Public Officer	Category 1
UNILEVER PLC SPONS ADR	UL	Public Officer	Category 1
VERIZON COMMUNICATIONS	VZ	Public Officer	Category 1

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description	Equity by Value
VIRTUSA CORP	VRTU	Public Officer	Category 1
VISTA OUTDOOR INC	VSTO	Public Officer	Category 1
VODAFONE GROUP PLC NEW	VOD	Public Officer	Category 1
WAGeworks INC	WAGE	Public Officer	Category 1
WASTE MGMT INC DEL	WM	Public Officer	Category 1
WEST PHARMACEUTICAL	WST	Public Officer	Category 1
WESTERN ALLIANCE BANCORP	WAL	Public Officer	Category 1
XEROX CORP	XRX	Public Officer	Category 1
ADOBE SYSTEMS INC	ADBE	Member of Household	Category 1
ALEXION PHARMACEUTICALS	ALXN	Member of Household	Category 1
ALIBABA GRP HOLDING ADR	BABA	Member of Household	Category 1
ALLERGAN PLC	AGN	Member of Household	Category 1
ALPHABET INC VOTING	GOOGL	Member of Household	Category 1
ALPHABET INC NON VOTING	GOOG	Member of Household	Category 1
AMAZON COM INC	AMZN	Member of Household	Category 1
AMERICAN TOWER CORP	AMT	Member of Household	Category 1
AMGEN INC	AMGN	Member of Household	Category 1
APPLE INC	AAPL	Member of Household	Category 1
AVAGO TECHNOLOGIES LTD	AVGO	Member of Household	Category 1
BOSTON SCIENTIFIC CORP	BSX	Member of Household	Category 1
BRISTOL MYERS SQUIBB	BMJ	Member of Household	Category 1
CELGENE CORP	CELG	Member of Household	Category 1
COSTAR GROUP INC	CSGP	Member of Household	Category 1
COSTCO WHSL CORP NEW	COST	Member of Household	Category 1
CVS HEALTH	CVS	Member of Household	Category 1
DANAHER CORP	DHR	Member of Household	Category 1
DELPHI AUTOMOTIVE PLC	DLPH	Member of Household	Category 1
DELTA AIR LINES INC NEW	DAL	Member of Household	Category 1
DEXCOM INC	DXXM	Member of Household	Category 1
DISNEY WALT COMPANY	DIS	Member of Household	Category 1
DOLLAR TREE STORES INC	DLTR	Member of Household	Category 1
ECOLAB INC	ECL	Member of Household	Category 1
EDWARDS LIFESCIENCE CORP	EW	Member of Household	Category 1
ELI LILLY & CO	LLY	Member of Household	Category 1
FACEBOOK INC	FB	Member of Household	Category 1
FIRST DATA CORP CL A	FDC	Member of Household	Category 1
GENERAL ELECTRIC COMPANY	GE	Member of Household	Category 1
GILEAD SCIENCES INC	GILD	Member of Household	Category 1
HOME DEPOT INC	HD	Member of Household	Category 1
INTUIT INC	INTU	Member of Household	Category 1
L BRANDS INC	LB	Member of Household	Category 1
LINKEDIN CORP CLASS A	LNKD	Member of Household	Category 1
LULULEMON ATHLETICA INC	LULU	Member of Household	Category 1
MASTERCARD INC CL A	MA	Member of Household	Category 1
MEDTRONIC PLC	MDT	Member of Household	Category 1
MICROSOFT CORP	MSFT	Member of Household	Category 1
MOBILEYE NV	MPLY	Member of Household	Category 1
MOODY'S CORP	MCO	Member of Household	Category 1

## Section 8 - Ownership or Financial Interest

Name	Symbol	Description of Interest	Equity by Value
NETFLIX.COM INC	NFLX	Member of Household	Category 1
NIKE INC CLASS B	NKE	Member of Household	Category 1
P P G INDUSTRIES INC	PPG	Member of Household	Category 1
PALO ALTO NETWORKS	PANW	Member of Household	Category 1
PAYPAL HOLDINGS INC	PYPL	Member of Household	Category 1
PRICELINE GROUP INC	PCLN	Member of Household	Category 1
QUINTILES TRANSNATIONAL	Q	Member of Household	Category 1
REGENERON PHARMACEUTICAL	REGN	Member of Household	Category 1
SALESFORCE.COM	CRM	Member of Household	Category 1
SBA COMMUNICATIONS CORP	SBAC	Member of Household	Category 1
SCHWAB CHARLES CORP NEW	SCHW	Member of Household	Category 1
SERVICENOW INC	NOW	Member of Household	Category 1
SHERWIN WILLIAMS CO	SHW	Member of Household	Category 1
STARBUCKS CORP	SBUX	Member of Household	Category 1
TESLA MOTORS INC	TSLA	Member of Household	Category 1
ULTA SALON COSMETICS &	ULTA	Member of Household	Category 1
UNDER ARMOUR INC CLASS A	UA	Member of Household	Category 1
UNITEDHEALTH GROUP	UNH	Member of Household	Category 1
VISA INC CLASS A	V	Member of Household	Category 1
ZOETIS INC	ZTS	Member of Household	Category 1