

NOTICES OF RULEMAKING DOCKET OPENINGS

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening whenever an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

NOTICE OF RULEMAKING DOCKET OPENING

DEPARTMENT OF ADMINISTRATION

1. **Title and its heading:** 2, Administration
Chapter and its heading: 5, Department of Administration - Personnel Administration
Article and its heading: 7, Grievances
Section numbers: R2-5-702
2. **The subject matter of the proposed rules:**
The proposed rules deletes a sentence from A.R.S. § R2-5-702(A)(11) that was considered redundant by the 5-Year review of Personnel rules. The concept of that sentence is otherwise contained in the subsection.
3. **A citation to all published notices relating to the proceeding:**
None published.
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**
Name: Gordon Carrigan, Human Resources Generalist
Address: ADOA Human Resources
1831 West Jefferson, Room 107
Phoenix, Arizona 85007
Telephone: (602) 542-4784
Fax Number: (602) 542-2796
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
The Board will accept written comments at the address listed above.
The Board will accept oral comments as follows:
Time: 9 a.m. - 4 p.m. Monday through Friday
Location: ADOA Human Resources
1831 West Jefferson, Room 107
Phoenix, Arizona
6. **A timetable for agency decisions or other action on the proceeding, if known:**
September 23, 1997

NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION

1. **Title and its Heading:** 14, Public Service Corporations, Corporations and Associations; Securities Regulation
Chapter and its Heading: 4, Corporation Commission - Securities
Article and its Heading: 1, In General Relating to the Arizona Securities Act
Section number: R14-4-139
2. **Subject matter of proposed rule:**
Recent amendments to A.R.S. § 44-1845(B)(1) allow for the adoption of an exemption in conjunction with SEC Rule 1001, allowing for advertised private placements of up to \$5,000,000 to "one-half" accredited investors (as defined in the proposed rule); this exemption would be comparable to a similar rule in the California Corporations Code known as the "California exemption."
Agency docket number: R0000-97-393
3. **A citation to all published notices relating to the proceeding:**
None published.

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4. **Name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Lee R. Poole, Senior Counsel
Address: Arizona Corporation Commission, Securities Division
1300 West Washington, Third Floor
Phoenix, AZ 85007
Telephone: (602) 542-0326
Fax Number: (602) 594-7456
5. **The time during which written submissions may be made and the time and place where oral comments may be made:**
The Commission will accept written comments between 8 a.m. and 5 p.m. Monday through Friday.
No public hearing date has been set. The Commission will accept oral comments between 8 a.m. and 5 p.m. Monday through Friday at the location listed above.
6. **A timetable for agency decisions or other action in the proceeding:**
The Securities Division anticipates submitting the proposed rules to the Arizona Corporation Commission in the Fall of 1997.

NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION

1. **Title and its heading:** 14, Public Service Corporations, Corporations and Associations; Securities Regulation
Chapter and its heading: 4, Corporation Commission - Securities
Article and its heading: 1, In General Relating to the Arizona Securities Act
Section number: R14-4-144
2. **Subject matter of proposed rule:**
The proposed rule creates a lesser net worth or suitability requirement in lieu of merit review for those issuers willing to comply with the suitability requirements.
Agency docket number: Docket Number R000-97-394
3. **A citation to all published notices relating to the proceeding:**
None published.
4. **Name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Sanije J. Citaku, Counsel
Address: Arizona Corporation Commission, Securities Division
1300 West Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602)-542-4242
Fax Number: (602)-594-7415
5. **The time during which written submissions may be made and the time and place where oral comments may be made:**
The Commission will accept written comments between 8 a.m. and 5 p.m. Monday through Friday.
No public hearing date has been set. The Commission will accept oral comments between 8 a.m. and 5 p.m. Monday through Friday at the location listed above.
6. **A timetable for agency decisions or other action in the proceeding:**
The Securities Division anticipates submitting the proposed rules to the Arizona Corporation Commission in the Fall of 1997.

NOTICE OF RULEMAKING DOCKET OPENING

ARIZONA CORPORATION COMMISSION, SECURITIES DIVISION

1. **Title and its heading:** 14, Public Service Corporations, Corporations and Associations; Securities Regulation
Chapter and its heading: 4, Corporation Commission - Securities
Article and its heading: 1, In General Relating to the Arizona Securities Act
Section number: R14-4-145
2. **Subject matter of proposed rule:**
The proposed rule creates an exemption from dealer and salesman registration, and from investment adviser and investment adviser representative licensure for entities and individuals that participate in operating "angel networks" or matching or listing

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services. Such networks or services facilitate the matching of small businesses in need of capital to certain sophisticated and wealthy investors.

Agency docket number: R0000-97-395

3. **A citation to all published notices relating to the proceeding:**
None published.
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**
Name: Susan A. Baker Toth, Special Counsel
Address: Arizona Corporation Commission, Securities Division
1300 West Washington, Third Floor
Phoenix, Arizona 85007
Telephone: (602) 542-0628
Fax Number: (602) 594-7405
5. **The time during which written submissions may be made and the time and place where oral comments may be made:**
The Commission will accept written comments between 8 a.m. and 5 p.m. Monday through Friday. No public hearing date has been set. The Commission will accept oral comments between 8 a.m. and 5 p.m. Monday through Friday at the location listed above.
6. **A timetable for agency decisions or other action in the proceeding:**
The Securities Division anticipates submitting the proposed rules to the Arizona Corporation Commission in the Fall of 1997.

NOTICE OF RULEMAKING DOCKET OPENING

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Title and its heading:** 18, Environmental Quality
Chapter and its heading: 2, Department of Environmental Quality - Air Pollution Control
Article and its heading: 1, General
3, Permits and Permit Revisions
9, New Sources Performance Standards
11, Federal Hazardous Air Pollutants
Appendix 2, Test Methods and Protocols
Section numbers: R18-2-102, R18-2-333, R18-2-901, R18-2-1101, and Appendix 2
2. **The subject matter of the proposed rules:**
The proposed rule is the Arizona Department of Environmental Quality's annual update of its New Source Performance Standards and National Emission Standards for Hazardous Air Pollutants incorporations by reference. In addition, this rule includes updates and additions to federal acid rain regulations incorporated by reference.
3. **A citation to all published notices relating to the proceeding:**
2 A.A.R. 970; February 16, 1996 (Notice of Proposed Rulemaking)
2 A.A.R. 3522; August 9, 1997 (Notice of Termination of Rulemaking)
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**
Name: Mark Lewandowski or Martha Seaman
Address: Arizona Department of Environmental Quality
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-2222
Facsimile: (602) 207-2251
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
Announced in the Notice of Proposed Rulemaking, published in this issue of the *Register*.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
Announced in the Notice of Proposed Rulemaking, published in this issue of the *Register*.

NOTICE OF RULEMAKING DOCKET OPENING

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Title and its heading:** 18, Environmental Quality
Chapter and its heading: 9, Department of Environmental Quality - Water Pollution Control
Article and its heading: Not known
Section numbers: Not known
2. **The subject matter of the proposed rules:**
Arizona Department of Environmental Quality (ADEQ) is considering the promulgation of new rules to implement a unified Water Quality Permit program. ADEQ intends to integrate Arizona's current water quality permit and construction management programs, including the Aquifer Protection Permit, reuse permit, and the construction approval programs for community and on-site wastewater treatment and disposal systems. ADEQ intends to propose rules which will allow Arizona to obtain primacy for the National Pollutant Discharge Elimination System (NPDES) and Underground Injection Control (UCI) permit programs that are currently administered by the U.S. Environmental Protection Agency. The unified Water Quality Permit program will eliminate multiple water quality permits for the same discharging facility and streamline existing water quality permitting procedures.
3. **A citation to all published notices relating to the proceeding:**
None published.
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**
Name: Steven Pawlowski
Address: Arizona Department of Environmental Quality
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-2227
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**
To be published in a Notice of Proposed Rulemaking.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
ADEQ will conduct public meetings with persons who are interested in the unified Water Quality Permit program. The purposes of the initial stakeholder meetings are to 1) provide an overview of the unified Water Quality Permit program, 2) identify threshold issues, 3) discuss the process for stakeholder involvement, and 4) develop an action plan for the project. Public meetings are scheduled as follows:

Date: August 25, 1997
Time: 1 - 4 p.m.
Location: ADEQ Public Hearing Room
3033 North Central Avenue
Phoenix, Arizona

Date: August 26, 1997
Time: 1 - 4 p.m.
Location: City Council Chambers
255 West Alameda
Tucson, Arizona

Date: August 27, 1997
Time: 1 - 4 p.m.
Location: Flagstaff City Council Chambers
211 West Aspen
Flagstaff, Arizona