

NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S.) § 41101(B)(14)). Substantive Policy Statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice substantive policy statement does not include internal procedural documents which may only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties in accordance with A.R.S. Title 41.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

- Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Tribal Government Policy
Identification Number: 0003.001
- Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of document: February 4, 1994
Effective date of document: May 5, 1997 (Revision)
- Summary of the contents of the substantive policy statement:**
Established principals of cooperation between ADEQ and tribal governments in the state of Arizona.
- A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a revised statement.
- The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Mark Santana, Office of Administrative Counsel
Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-4251
- Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Information Center Front Desk, Suite 100, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

- Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Investigation Derived Waste Policy
Identification Number: 0100.000
- Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of document: May 9, 1997
Effective date of document: May 9, 1997
- Summary of the contents of the substantive policy statement:**
This policy establishes guidance for managing Investigation-derived Wastes (IDW). IDW consists of wastes generated during environmental site investigations and sampling activities including soil cuttings and other soil wastes; fluids from well drilling, aquifer testing, well purging, sampling, and decontamination; and disposal sampling and personal protection equipment.

Adherence to this policy will: 1) Insure that contamination problems at a site are not worsened because of the handling and disposal of IDW; and 2) Provide consistent procedures for IDW handling and disposal based on compliance with environmental regulations and application of sound technical principles.

Arizona Administrative Register
Notices of Substantive Policy Statements

This policy is consistent with the Soil Remediation Standards rule.

4. **A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a new statement.

5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**

Name: Michele Robertson, Clean Up Standards Development Specialist

Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012

Telephone: (602) 207-4415

6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**

Administrative Hearing Procedures for Delegated Programs Policy
Identification Number: 0115.000

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Date of document: May 9, 1997
Effective date of document: May 9, 1997

3. **Summary of the contents of the substantive policy statement:**

This policy's purpose is to establish procedures for setting administrative hearings where local agencies are enforcing delegated ADEQ programs pursuant to delegation agreements.

4. **A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a new statement.

5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**

Name: Mark Santana, Administrative Counsel

Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012

Telephone: (602) 207-4251

6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**

Monitoring Groundwater for Metals to Determine Compliance with Aquifer Water Quality Standards
Identification Number: 0121.000

Arizona Administrative Register
Notices of Substantive Policy Statements

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of document: May 9, 1997
Effective date of document: May 9, 1997
3. **Summary of the contents of the substantive policy statement:**
This policy establishes the dissolved metals approach as an accepted method for analyzing the metals content in groundwater for comparison with aquifer water quality standards (AWQS). The total metals analysis can include metals-containing particles contained in the geologic medium or from corroded well casings and/or pumps rather than only those metals dissolved in and flowing with the groundwater. This policy recognizes that groundwater sampling may have two different objectives: 1) to determine what humans are ingesting; and 2) to determine what is moving in the groundwater. The focus of this policy is the later.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is new statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Gary C. Burchard, Hydrologist, Water Protection Approvals and Permits Section
Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-4458
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Evaluating Drinking Water Exemption from Plan Review and Construction Approval
Identification Number: 0125.00
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of document: April 2, 1997
Effective date of document: April 2, 1997
3. **Summary of the contents of the substantive policy statement:**
This policy describes the information that ADEQ staff must review to determine an existing system's eligibility for exemption from ADEQ approvals for making modifications or extensions to an existing regulated public or semi-public drinking water system. (Note: These exemptions do not apply to new water systems.)
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Bill Shafer, Unit Manager, Drinking Water Section
Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-4664
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Determination of General or Individual APP Permit Status Policy
Identification Number: 0130.00
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of document: June 18, 1997
Effective date of document: June 18, 1997
3. **Summary of the contents of the substantive policy statement:**
This policy provides guidance on calculating daily wastewater flow for domestic sewage treatment facilities. Any facility which treats or disposes of domestic wastewater must operate pursuant to either a general or individual aquifer protection permit. The determination of which permit applies is based in part on the daily wastewater flow generated by the system.

While the rule specifies numerical limits for daily wastewater flow for determining whether an individual or general permit applies, the rule does not clearly explain the procedure for making that calculation, especially for multiple, closely-spaced discharging sources such as septic tanks or other on-site systems.

This policy sets forth ADEQ's interpretation of: 1) the definition of "facility" as it relates to sewage treatment facilities; and 2) the calculation of daily wastewater flows. This policy does not establish additional requirements but merely provides a written record for the public of ADEQ's interpretation of procedures which assist in the determination of general or individual aquifer protection permit status.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Charles Graf, Section Manager, Water Protection Approvals and Permits Section
Address: ADEQ
3033 North central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-4661
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF ENVIRONMENTAL QUALITY

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Definition of Ambient Air and Areas Subject to Compliance with Ambient Air Standards Policy
Identification Number: 0131.00
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Date of Document: June 18, 1997
Effective Date of Document: June 18, 1997
3. **Summary of the contents of the substantive policy statement:**
A clear understanding of the geographic limits of the "ambient air" is necessary for protection of public health and air quality compliance determinations. In practice, compliance with the National Ambient Air Quality Standards (NAAQS) is determined by either measuring air quality (monitoring) or performing computer simulations of air pollutant dispersion (modeling). A policy which defines the geographical extent of ambient air for monitor placement as well as establishing modeling domains for NAAQS compliance is needed for consistent application of air quality regulations throughout the state.

Arizona Administrative Register
Notices of Substantive Policy Statements

4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Gary Neuroth, Section Manager, Air Assessment Section
Address: ADEQ
3033 North Central Avenue
Phoenix, Arizona 85012
Telephone: (602) 207-2349
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of this policy document are available at a cost of 25¢ per page from the ADEQ Reception Desk, 3033 North Central Avenue, Phoenix, Arizona 85012.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Recent Amendments to the Surplus Lines Insurance Laws; Senate Bill 1031 (Circular Letter 97-3).
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
July 11, 1997
3. **Summary of the contents of the substantive policy statement:**
The statement summarizes Senate Bill 1031. The statement also contains the Department's interpretation of this legislation. In addition, the statement contains forms to be used in connection with surplus lines insurance transactions and the bond forms to be used by surplus lines insurance brokers.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This statement is a new substantive policy statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Greg Harris
Address: Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018
Telephone: (602) 912-8456
Fax Number: (602) 912-8452
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of the policy statement may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in #5 for 25¢ per page.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Genetic Testing Form Mandated by House Bill 2144 and A.R.S. § 20-448.02 (Circular Letter 97-4).
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
July 11, 1997

Notices of Substantive Policy Statements

3. **Summary of the contents of the substantive policy statement:**
The statement summarizes House Bill 2144. The statement also contains the Department's interpretation of this legislation. In addition, the statement contains the consent to be developed and published by the Department under this new law.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This statement is a new substantive policy statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Greg Harris
Address: Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018
Telephone: (602) 912-8456
Fax Number: (602) 912-8452
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of the policy statement may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in #5 for 25¢ per page.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Consumer Information and Coverage Selection Form Prescribed by Senate Bill 1445; Spanish Translation of the Forms (Circular Letter 97-5).
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
July 15, 1997
3. **Summary of the contents of the substantive policy statement:**
The statement contains the contents of the *Consumer Information and Coverage Selection Forms* prescribed by Senate Bill 1445 in English and Spanish. The statement supplements Circular Letter 97-2 and contains further interpretation of this legislation.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This statement is a new substantive policy statement. The statement supplements but does not replace Circular Letter 97-2.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Greg Harris
Address: Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018
Telephone: (602) 912-8456
Fax Number: (602) 912-8452
6. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies of the policy statement may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in #5 for 25¢ per page.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
1997 Arizona Insurance Legislation (Circular Letter 97-6).

Arizona Administrative Register
Notices of Substantive Policy Statements

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
July 21, 1997
3. Summary of the contents of the substantive policy statement:
The statement summarizes insurance-related legislation enacted during the First Regular Session of the 43rd Legislative Session
4. A statement as to whether the substantive policy statement is a new statement or a revision:
This statement is a new substantive policy statement.
5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:
Name: Greg Harris
Address: Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018
Telephone: (602) 912-8456
Fax Number: (602) 912-8452
6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
Copies of the policy statement may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in #5 for 25¢ per page.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:
Implementation of Senate Bill 1321 (Circular Letter 97-7).
2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
July 21, 1997
3. Summary of the contents of the substantive policy statement:
The statement summarizes portions of Senate Bill 1321 relating to access of eligible individuals to individual health insurance products. The statement also contains the form of disclosure to be made by accountable health plans to employers in the small and large group market. In addition the statement also contains the format to be used by health care insurers when issuing certificates of prior creditable coverage.
4. A statement as to whether the substantive policy statement is a new statement or a revision:
This statement is a new substantive policy statement.
5. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:
Name: Greg Harris
Address: Arizona Department of Insurance
2910 North 44th Street, Suite 210
Phoenix, Arizona 85018
Telephone: (602) 912-8456
Fax Number: (602) 912-8452
6. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
Copies of the policy statement may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in #5 for 25¢ per page.