

NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-101(B)(14)). Substantive Policy Statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statement does not include internal procedural documents which may only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties in accordance with A.R.S. Title 41.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
HIPAA enforcement issues. (Circular Letter 1998-10)
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
September 22, 1998.
3. **Summary of the contents of the substantive policy statement:**
The circular letter urges health insurers to adhere to the spirit and intent of the Health Insurance Portability and Accountability Act of 1996 and Laws 1997, Chapter 251 (SB 1321). The letter also explains that the Department will carefully evaluate, on a case-by-case basis, the appropriateness of enforcement action against any health insurer that fails to comply with the law and the purpose that this law was intended to achieve.
4. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new statement.
5. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Vista Brown
Address: Arizona Department of Insurance
2910 N. 44th Street, Suite 210
Phoenix, Arizona 85251
Telephone: (602) 912-8456
Fax: (602) 912-8452
6. **Information about where a person may obtain a copy of the substantive policy statement:**
Copies of this policy may be obtained from the internet at <http://www.state.az.us/id> or from the person listed in question #5 for 25 cents per page.