

## NOTICES OF RULEMAKING DOCKET OPENINGS

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening whenever an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

### NOTICE OF RULEMAKING DOCKET OPENING

**DEPARTMENT OF ADMINISTRATION  
PERSONNEL ADMINISTRATION**

1. **Title and its heading:** 2, Administration  
**Chapter and its heading:** 5, Department of Administration - Personnel Administration  
**Article and its heading:** 3, Classification and Compensation  
**Section numbers:** R2-5-304
  
2. **The subject matter of the proposed rules:**  
The proposed rulemaking is to amend Section R2-5-304. The proposed rule contains requirements for performance increases for all employees subject to legislative appropriation and who meet the performance increase guidelines issued by the Director. The amended section also provides for Special Performance Awards for employees at the maximum salary of their pay grade as a lump sum payment or other payment method.
  
3. **A citation to all published notices relating to the proceeding**  
None published.
  
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**  
Name: Thomas E. Michael, Human Resources Generalist  
Address: Department of Administration  
1831 W. Jefferson, Room 137  
Phoenix, Arizona 85007  
Telephone: (602) 542-4897  
Fax: (602) 542-2796
  
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**  
Written comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. Oral comments will be accepted Monday through Friday, 8 a.m. to 4 p.m. Both written and oral comments will be accepted at the address listed in question #5.
  
6. **A timetable for agency decisions or other action on the proceeding, if known:**  
None.

### NOTICE OF RULEMAKING DOCKET OPENING

**CORPORATION COMMISSION  
SECURITIES DIVISION**

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation  
**Chapter and its heading:** 4, Securities  
**Article and its heading:** 1, In General Relating to the Arizona Securities Act  
**Section numbers:** R14-4-116, R14-4-118, and R14-4-119; and other Sections to be determined.

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**2. The subject matter of the proposed rules:**

Current Section R14-4-116 is repealed. New Section R14-4-116 requires securities issuers to comply with specified NASAA statements of policy, when applicable, as a condition to register securities offerings. Section R14-4-118 requires specified disclosures in prospectuses as a condition to register securities offerings. Section R14-4-119 establishes additional requirements for registration of an offering of preferred stock.

**Agency docket number:**

Docket # RS-00000A-99-0202

**3. A citation to all published notices relating to the proceeding:**

None.

**4. The name and address of agency personnel with whom persons may communicate regarding the rules:**

Name: Kurt V. Merritt, Assistant General Counsel  
Address: Arizona Corporation Commission, Securities Division  
1300 W. Washington, Third Floor  
Phoenix, AZ 85007  
Telephone: (602) 542-4242  
Fax: (602) 594-7470

**5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**

Written comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. Oral comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. at the Securities Division as noted in question 4. No hearing date has been set.

**6. A timetable for agency decisions or other action on the proceeding, if known:**

Not known.

**NOTICE OF RULEMAKING DOCKET OPENING**

**CORPORATION COMMISSION  
SECURITIES DIVISION**

- 1. Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation  
**Chapter and its heading:** 4, Securities  
**Article and its heading:** 1, In General Relating to the Arizona Securities Act  
**Section numbers:** R14-4-136

**2. The subject matter of the proposed rules:**

Section R14-4-136 provides an exemption from registration for offers and sales under certain compensatory benefit plans and contracts relating to compensation.

**Agency docket number:**

Docket # RS-00000A-99-0300

**3. A citation to all published notices relating to the proceeding:**

None.

**4. The name and address of agency personnel with whom persons may communicate regarding the rules:**

Name: Cheryl T. Farson, General Counsel  
Address: Arizona Corporation Commission, Securities Division  
1300 W. Washington, Third Floor  
Phoenix, AZ 85007  
Telephone: (602) 542-4242  
Fax: (602) 594-7470

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5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**  
Written comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. Oral comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. at the Securities Division as noted in question 4. No hearing date has been set.
6. **A timetable for agency decisions or other action on the proceeding, if known:**  
Not known.

**NOTICE OF RULEMAKING DOCKET OPENING**

**CORPORATION COMMISSION  
SECURITIES DIVISION**

1. **Title and its heading:** 14, Public Service Corporations; Corporations and Associations; Securities Regulation  
**Chapter and its heading:** 4, Securities  
**Article and its heading:** 1, In General Relating to the Arizona Securities Act  
**Section numbers:** R14-4-140
2. **The subject matter of the proposed rules:**  
Section R14-4-140 provides an exemption from registration for offers and sales to accredited investors made in reliance on federal rule 504 of regulation D, promulgated pursuant to the Securities Act of 1933. The proposed rule is based on the North American Securities Administrators Association's model accredited-investor rule.  
**Agency docket number:**  
Docket # RS-00000A-99-00299
3. **A citation to all published notices relating to the proceeding:**  
None.
4. **The name and address of agency personnel with whom persons may communicate regarding the rules:**  
Name: Cheryl T. Farson, General Counsel  
Address: Arizona Corporation Commission, Securities Division  
1300 W. Washington, Third Floor  
Phoenix, AZ 85007  
Telephone: (602) 542-4242  
Fax: (602) 594-7470
5. **The time during which the agency will accept written comments and the time and place where oral comments may be made:**  
Written comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. Oral comments will be accepted Monday through Friday, 8 a.m. to 5 p.m. at the Securities Division as noted in question 4. No hearing date has been set.
6. **A timetable for agency decisions or other action on the proceeding, if known:**  
Not known.

**NOTICE OF RULEMAKING DOCKET OPENING**

**DEPARTMENT OF TRANSPORTATION  
MOTOR VEHICLE DIVISION**

1. **Title and its heading:** 17, Transportation  
**Chapter and its heading:** 4, Department of Transportation - Motor Vehicle Division  
**Article and its heading:** 7, Miscellaneous Rules

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**Section numbers:** R17-4-709.09 and Form A

**2. The subject matter of the proposed rules:**

The Motor Vehicle Division ("Division") is making R17-4-709.09 and Form A to give effect to A.R.S. § 28-1462(E), added by Laws 1999, Ch. 303, § 8, effective August 6, 1999. New subsection 28-1462(E) requires an installer of a Division-certified ignition interlock device to submit to the Division a bond of at least \$25,000 in the approved form. R17-4-709.09 sets the bond amount at \$25,000. Form A presents the Division-approved ignition interlock installer bond form.

**3. A citation to all published notices relating to the proceeding:**

None published.

**4. The name and address of agency personnel with whom persons may communicate regarding the rules:**

Name: Lynn S. Golder  
Address: Arizona Department of Transportation, MVD  
3737 N. 7th Street, Suite 160, MD 507M  
Phoenix, AZ 85014  
Telephone: (602) 712-7941  
Fax: (602) 241-1624

**5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**

Written comments will be accepted Monday through Friday (except legal holidays), 8 a.m. to 5 p.m., at the address listed in question #4. Oral comments will be accepted Monday through Thursday (except legal holidays), 8 a.m. to 5 p.m. at the address listed in question #4.

**6. A timetable for agency decisions or other action on the proceeding, if known:**

Not known.