

## NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-1013(B)(14)). Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the Arizona Administrative Procedure Act. If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

### NOTICE OF SUBSTANTIVE POLICY STATEMENT

#### DEPARTMENT OF ENVIRONMENTAL QUALITY

[M14-313]

**1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**

Site Investigation Guidance Manual

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issued and effective: October 27, 2014

**3. Summary of the contents of the substantive policy statement:**

This guidance manual was developed to provide the regulated community and ADEQ technical staff with guidance for the development of contaminant investigation strategies. It provides a framework for performing site investigations for programs administered by ADEQ.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.R.S. § 49-104(A)(1)

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

New

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Wayne Pudney  
Address: ADEQ  
1110 W. Washington St.  
Phoenix, AZ 85007  
Telephone: (602) 771-4192  
Fax: (602) 771-4138  
E-mail: wdp@azdeq.gov  
Web site: www.azdeq.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

Copies of this guidance manual are available at no cost on the Department's website: www.azdeq.gov. Hard copies may be obtained by contacting the ADEQ Records Center, Monday through Friday, between 8:30 a.m. and 4:30 p.m., 1110 W. Washington St., Phoenix, AZ 85007, (602) 771-4712. Cost is \$0.25 per page. The document is 229 pages.

### NOTICE OF SUBSTANTIVE POLICY STATEMENT

#### DEPARTMENT OF HEALTH SERVICES

[M14-314]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-012-PHL-OAD: Health Care Institution Licensure, Clarification of Requirements in 9 A.A.C. 10, Article 1

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Notices of Substantive Policy Statements

Issuance date: October 31, 2014

Effective date: November 1, 2014

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement provides clarification to the general public for when a separate license is required for a health care institution.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

Arizona Revised Statutes §§ 36-402 and 36-422(F) or (G); Arizona Administrative Code R9-10-101(123) and R9-10-103

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates SP-012-ALS-OAD. The title and number of the substantive policy statement were amended to reflect organizational changes within the Arizona Department of Health Services. Rules citations have been updated to reflect the recent rulemaking at 20 A.A.R. 1409, and the organization of the substantive policy has been revised to improve clarity. SP-012-PHL-OAD supersedes SP-012-ALS-OAD.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Kathryn McCanna, Branch Chief

Address: Arizona Department of Health Services  
Public Health Licensing Services  
Health Care Institutions Licensing  
150 N. 18th Ave., Suite 400  
Phoenix, AZ 85007

Telephone: (602) 364-2841

Fax: (602) 364-4808

E-mail: Kathryn.McCanna@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020

Fax: (602) 364-1150

E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=licensing>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Public Health Licensing Services, 150 N. 18th Ave., Suite 400, Phoenix, AZ 85007 for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M14-315]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-019-PHL-OAD: Interpretation of "Health Care Institution"

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: October 31, 2014

Effective date: November 1, 2014

Notices of Substantive Policy Statements

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement provides notice to the public of the Department's interpretation of the definition of "health care institution," contained in A.R.S. § 36-401(21), as it relates to a health care institution license under Arizona Revised Statutes (A.R.S.) §§ 36-424 and 36-425.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.R.S. §§ 36-401(21) and 36-425; Arizona Administrative Code R9-10-107

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates SP-019-ALS-OAD. The title and number of the substantive policy statement were amended to reflect organizational changes within the Arizona Department of Health Services. The content has been revised to be consistent with statutory changes. SP-019-PHL-OAD supersedes SP-019-ALS-OAD.

**6. The agency contact person who can answer questions about the substantive policy statement:**

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**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=licensing>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Public Health Licensing Services, 150 N. 18th Ave., Suite 400, Phoenix, AZ 85007 for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M14-316]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-027-PHL-MED: Implementation of a Hospital Acuity Plan

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: October 31, 2014

Effective date: November 1, 2014

**3. Summary of the contents of the substantive policy statement:**

Notices of Substantive Policy Statements

The substantive policy statement notifies the public of the Department's interpretation of a rule found in Arizona Administrative Code (A.A.C.) R9-10-214(C)(2) and (3) to ensure that a patient receives appropriate nursing services during unexpected circumstances beyond the control of a hospital or nurse executive.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.A.C. R9-10-201(1) and (2) and R9-10-214(C)(2) and (3)

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates SP-027-DLS-OMF. The title and number of the substantive policy statement were amended to reflect organizational changes within the Arizona Department of Health Services. Rules citations have been updated to reflect the recent rulemaking at 20 A.A.R. 1409, and the organization of the substantive policy has been revised to improve clarity. SP-027-PHL-MED supersedes SP-027-DLS-OMF.

**6. The agency contact person who can answer questions about the substantive policy statement:**

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**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=licensing>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Public Health Licensing Services, 150 N. 18th Ave., Suite 400, Phoenix, AZ 85007 for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M14-317]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-028-PHL-MED: Interpretation of the Staffing Requirements for Intensive Care Unit Nursing Services

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Date of issuance: October 31, 2014

Effective date: November 1, 2014

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement clarifies the staffing requirements in an intensive care unit, as stated in Arizona Administrative Code (A.A.C.) R9-10-221(5) and (6), when an assigned registered nurse leaves the intensive care unit.

Notices of Substantive Policy Statements

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.A.C. R9-10-214(C)(2)(c) and (2) and R9-10-221(5), (6), and (7)

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates SP-028-DLS-OMF. The title and number of the substantive policy statement were amended to reflect organizational changes within the Arizona Department of Health Services. Rules citations have been updated to reflect the recent rulemaking at 20 A.A.R. 1409, a citation to a related substantive policy statement has been updated, and the organization of the substantive policy has been revised to improve clarity. SP-028-PHL-MED supersedes SP-028-DLS-OMF.

**6. The agency contact person who can answer questions about the substantive policy statement:**

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