



NOTICES OF SUBSTANTIVE POLICY STATEMENTS

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statements issued by agencies (A.R.S. § 41-1013(B)(14)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal

procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

GREATER ARIZONA DEVELOPMENT AUTHORITY

[M15-37]

- 1. Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
Document Title: Cost of Issuance
Identification Number: II.1
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
June 25, 2008
- 3. Summary of the contents of the substantive policy statement:**
The Authority issued a policy to describe how cost of issuance subsidies will be awarded to borrowers.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new substantive policy statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**
Name: Sandy Sutton, Executive Director
Address: 1110 W. Washington St., Suite 290
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
Email: ssutton@azwifa.gov
Website: www.azgada.gov
- 7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies are available at the Water Infrastructure Finance Authority, 1110 W. Washington St., Suite 290, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

GREATER ARIZONA DEVELOPMENT AUTHORITY

[M15-38]

- 1. Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
Document Title: Smart Growth
Identification Number: II.2
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Original Issue Date: June 25, 2008



Effective Date: January 1, 2009

- 3. Summary of the contents of the substantive policy statement:**
The Authority issued a policy to describe the Smart Growth incentive available to borrowers.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new substantive policy statement.
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NOTICE OF SUBSTANTIVE POLICY STATEMENT

GREATER ARIZONA DEVELOPMENT AUTHORITY

[M15-39]

- 1. Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
Document Title: Arbitrage
Identification Number: IL3
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Original Issue Date: July 22, 2009
Effective Date: July 22, 2009
- 3. Summary of the contents of the substantive policy statement:**
The Authority issued a policy to describe the liabilities and responsibilities related to arbitrage.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a new substantive policy statement.
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NOTICE OF SUBSTANTIVE POLICY STATEMENT

WATER INFRASTRUCTURE FINANCE AUTHORITY

[M15-40]

- 1. **Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
 Document Title: Determining Area Median Household Income
 Identification Number: II.11
- 2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
 December 18, 2013
- 3. **Summary of the contents of the substantive policy statement:**
 The Authority issued a policy to provide guidance to WIFA staff and applicants in determining the median household income.
- 4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
 Not applicable
- 5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
 This is a revised substantive policy statement.
- 6. **The agency contact person who can answer questions about the substantive policy statement:**
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 Address: 1110 W. Washington St., Suite 290
 Phoenix, AZ 85007
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- 7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
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NOTICE OF SUBSTANTIVE POLICY STATEMENT

WATER INFRASTRUCTURE FINANCE AUTHORITY

[M15-41]

- 1. **Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
 Document Title: Financial Assistance Closing Costs, Closing Fees, Interest Rate Setting Methodology and Annual Fees
 Identification Number: III.3
- 2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
 April 30, 2014
- 3. **Summary of the contents of the substantive policy statement:**
 The Authority issued a policy to detail the closing costs and fees assessed to reimburse WIFA's transaction costs; summarize WIFA's methodology to set interest rates by financial assistance recipient; and detail the annual fees assessed by WIFA to offset WIFA's management and administrative costs associated with providing and servicing financial assistance.
- 4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
 Not applicable
- 5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
 This is a revised substantive policy statement.

**6. The agency contact person who can answer questions about the substantive policy statement:**

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NOTICE OF SUBSTANTIVE POLICY STATEMENT**WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M15-42]

1. Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Disadvantaged Community Designation
Identification Number: III.6

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Issued April 30, 2014; effective July 1, 2014

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to outline the criteria to designate a drinking water system or wastewater system as a Disadvantaged Community and define the additional benefits available to Disadvantaged Communities.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

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NOTICE OF SUBSTANTIVE POLICY STATEMENT

WATER INFRASTRUCTURE FINANCE AUTHORITY

[M15-43]

- 1. **Title of Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
 Document Title: Additional Subsidy Program
 Identification Number: III.20
- 2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
 April 30, 2014
- 3. **Summary of the contents of the substantive policy statement:**
 The Authority issued a policy to outline the criteria to provide additional subsidy in accordance with the federal capitalization grant conditions.
- 4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
 Not applicable
- 5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
 This is a new substantive policy statement.
- 6. **The agency contact person who can answer questions about the substantive policy statement:**
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