



NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statement issued by agencies (A.R.S. § 41-1013(B)(14)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal

procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF FINANCIAL INSTITUTIONS

[M15-193]

1. Title of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

Document Title: Procedures for Hearings and Disciplinary Proceedings
Identification Number: REA-1

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Issue Date: July 3, 2015

3. Summary if the contents of the substantive policy statement:

The Department has issued this policy to inform the regulated real estate appraisers industry of the stages and steps associated with the complaint resolution process and the administrative procedures for hearings and disciplinary proceedings, in the light of the consolidation of the Board and the Department, pending further legislative changes and rulemaking in accordance with Senate Bill 1480, 2015 52nd Reg. Sess.

4. Federal or state constitutional provision; federal or state statute, administrative regulation; or final court judgment that underlies the substantive policy statement:

2015 Ariz. Legis. Serv. Ch. 19 (S.B. 1480), A.R.S. § 32-3601 et seq.

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a new Substantive Policy Statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Debra Rudd
Address: Arizona Department of Financial Institutions
2910 N. 44th St., Suite 310
Phoenix, AZ 85018
Telephone: (602) 771- 2840
Fax: (602) 381-1225
E-mail: drudd@azdfi.gov
Web Site: http://www.azdfi.gov/LawsRulesPolicy/Policy.html

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies of this policy statement may be obtained at no cost via e-mail to the person listed above or on the Department website: Hard copies may be obtained for \$0.25 per page by filling out a public records request on the website or by calling the Department's Custodian of Records.



NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

[M15-201]

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
Insurance Transportation Network Company Drivers (Regulatory Bulletin 2015-04).
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
The substantive policy statement was issued April 27, 2015, and the legislation is effective from and after July 3, 2015.
3. **Summary of the contents of the substantive policy statement:**
The purpose of this Regulatory Bulletin is to provide guidance to insurers that wish to file products with the Arizona Department of Insurance (“ADOI”) to provide coverage for individuals operating a transportation network company vehicle. Among its numerous provisions, HB 2135 amends A.R.S. § 20-1631 to permit insurers to offer coverage for TNC services under a personal motor vehicle insurance policy. Specifically, the legislation creates a commercial use exemption for TNC purposes under A.R.S. § 20-1631(D)(6).
4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
HB 2135 (Laws 2015, Chapter 235)
5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
The substantive policy statement is a new statement.
6. **The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:**
Name: Thomas F. Zuppan
Address: Arizona Department of Insurance
2910 N. 44th St., Suite 210
Phoenix, AZ 85018
E-mail: tzuppan@azinsurance.gov
Telephone: (602) 364-3453
7. **Information about where a person may obtain a copy of the substantive policy statement:**
Copies of this policy are available via the Internet at <https://insurance.az.gov> or from the person listed in question #6 for 25 cents per page.

NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT

DEPARTMENT OF INSURANCE

[M15-202]

1. **Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**
2015 Arizona Insurance Laws (Regulatory Bulletin 2015-05).
2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
The substantive policy statement was issued June 19, 2015. Except as otherwise noted, all legislation has a general effective date of July 3, 2015.
3. **Summary of the contents of the substantive policy statement:**
The Regulatory Bulletin summarizes the major, newly enacted legislation affecting the Department, its licensees, and insurance consumers.
4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Arizona’s 52nd Legislature, First Regular Session, amended and/or enacted numerous provisions of Title 20, as well as Titles 28, 32, 36, 41, and 42 that impact the Department of Insurance. The specific provisions are set forth in the Bulletin.



5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a new statement.

6. The name, address, and telephone number of the person to whom questions and comments about the substantive policy statement may be directed:

Name: Andrew Carlson
Address: Arizona Department of Insurance
2910 N. 44th St., Suite 210
Phoenix, AZ 85018
E-mail: acarlson@azinsurance.gov
Telephone: (602) 364-3471

7. Information about where a person may obtain a copy of the substantive policy statement:

Copies of this policy are available via the Internet at www.azinsurance.gov or from the person listed in question #6 for 25 cents per page.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

STATE REAL ESTATE DEPARTMENT

[M15-200]

1. Title of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

No. 2005.11 Subdivision Disclosure Report (Public Report) Application Form, Changes

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

April 1, 2005

3. Summary of the contents of the substantive policy statement:

This policy statement clarified the frequency of changes to the Applications for Subdivision Disclosure Report (Public Report) and provision for comment by stakeholders.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This policy statement is no longer needed and is repealed.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Louis Dettorre
Address: Arizona Department of Financial Institutions
2910 N. 44th St., Suite 100
Phoenix, AZ 85018
Telephone: (602) 771- 7760
Fax: (602) 468-0562
E-mail: ldettorre@azre.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies of this policy statement may be obtained at no cost via e-mail to the person listed above or on the Department website at www.azre.gov. Hard copies may be obtained for \$0.25 per page by contacting the person listed above.