

# Arizona Administrative REGISTER

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# From the Publisher

## ABOUT THIS PUBLICATION

The paper copy of the *Administrative Register* (A.A.R.) is the official publication for rules and rulemaking activity in the state of Arizona.

Rulemaking is defined in Arizona Revised Statutes known as the Arizona Administrative Procedure Act (APA), A.R.S. Title 41, Chapter 6, Articles 1 through 10.

The Office of the Secretary of State does not interpret or enforce rules published in the *Arizona Administrative Register* or *Code*. Questions should be directed to the state agency responsible for the promulgation of the rule as provided in its published filing.

The *Register* is cited by volume and page number. Volumes are published by calendar year with issues published weekly. Page numbering continues in each weekly issue.

In addition, the *Register* contains the full text of the Governor's Executive Orders and Proclamations of general applicability, summaries of Attorney General opinions, notices of rules terminated by the agency, and the Governor's appointments of state officials and members of state boards and commissions.

## ABOUT RULES

Rules can be: made (all new text); amended (rules on file, changing text); repealed (removing text); or renumbered (moving rules to a different Section number). Rules activity published in the *Register* includes: proposed, final, emergency, expedited, and exempt rules as defined in the APA.

Rulemakings initiated under the APA as effective on and after January 1, 1995, include the full text of the rule in the *Register*. New rules in this publication (whether proposed or made) are denoted with underlining; repealed text is stricken.

## WHERE IS A "CLEAN" COPY OF THE FINAL OR EXEMPT RULE PUBLISHED IN THE REGISTER?

The *Arizona Administrative Code* (A.A.C) contains the codified text of rules. The A.A.C. contains rules promulgated and filed by state agencies that have been approved by the Attorney General or the Governor's Regulatory Review Council. The *Code* also contains rules exempt from the rulemaking process.

The printed *Code* is the official publication of a rule in the A.A.C. is prima facie evidence of the making, amendment, or repeal of that rule as provided by A.R.S. § 41-1012. Paper copies of rules are available by full Chapter or by subscription. The *Code* is posted online for free.

## LEGAL CITATIONS AND FILING NUMBERS

On the cover: Each agency is assigned a Chapter in the *Arizona Administrative Code* under a specific Title. Titles represent broad subject areas. The Title number is listed first; with the acronym A.A.C., which stands for the *Arizona Administrative Code*; following the Chapter number and Agency name, then program name. For example, the Secretary of State has rules on rulemaking in Title 1, Chapter 1 of the *Arizona Administrative Code*. The citation for this chapter is 1 A.A.C. 1, Secretary of State, Rules and Rulemaking

Every document filed in the office is assigned a file number. This number, enclosed in brackets, is located at the top right of the published documents in the *Register*. The original filed document is available for 10 cents a copy.

# Arizona Administrative REGISTER

Vol. 21

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This publication is available online for free at [www.azsos.gov](http://www.azsos.gov).

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A price list for the *Arizona Administrative Code* is available online. You may also request a paper price list by mail. To purchase a paper Chapter, contact customer service at  
(602) 364-3224.

**PUBLICATION DEADLINES**  
Publication dates are published in the back of the *Register*. These dates include file submittal dates with a three-week turnaround from filing to published document.

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# Participate in the Process

## Look for the Agency Notice

Review (inspect) notices published in the *Arizona Administrative Register*. Many agencies maintain stakeholder lists and would be glad to inform you when they proposed changes to rules. Check an agency's website and its newsletters for news about notices and meetings.

Feel like a change should be made to a rule and an agency has not proposed changes? You can petition an agency to make, amend, or repeal a rule. The agency must respond to the petition. (See A.R.S. § 41-1033)

## Attend a public hearing/meeting

Attend a public meeting that is being conducted by the agency on a Notice of Proposed Rulemaking. Public meetings may be listed in the Preamble of a Notice of Proposed Rulemaking or they may be published separately in the *Register*. Be prepared to speak, attend the meeting, and make an oral comment.

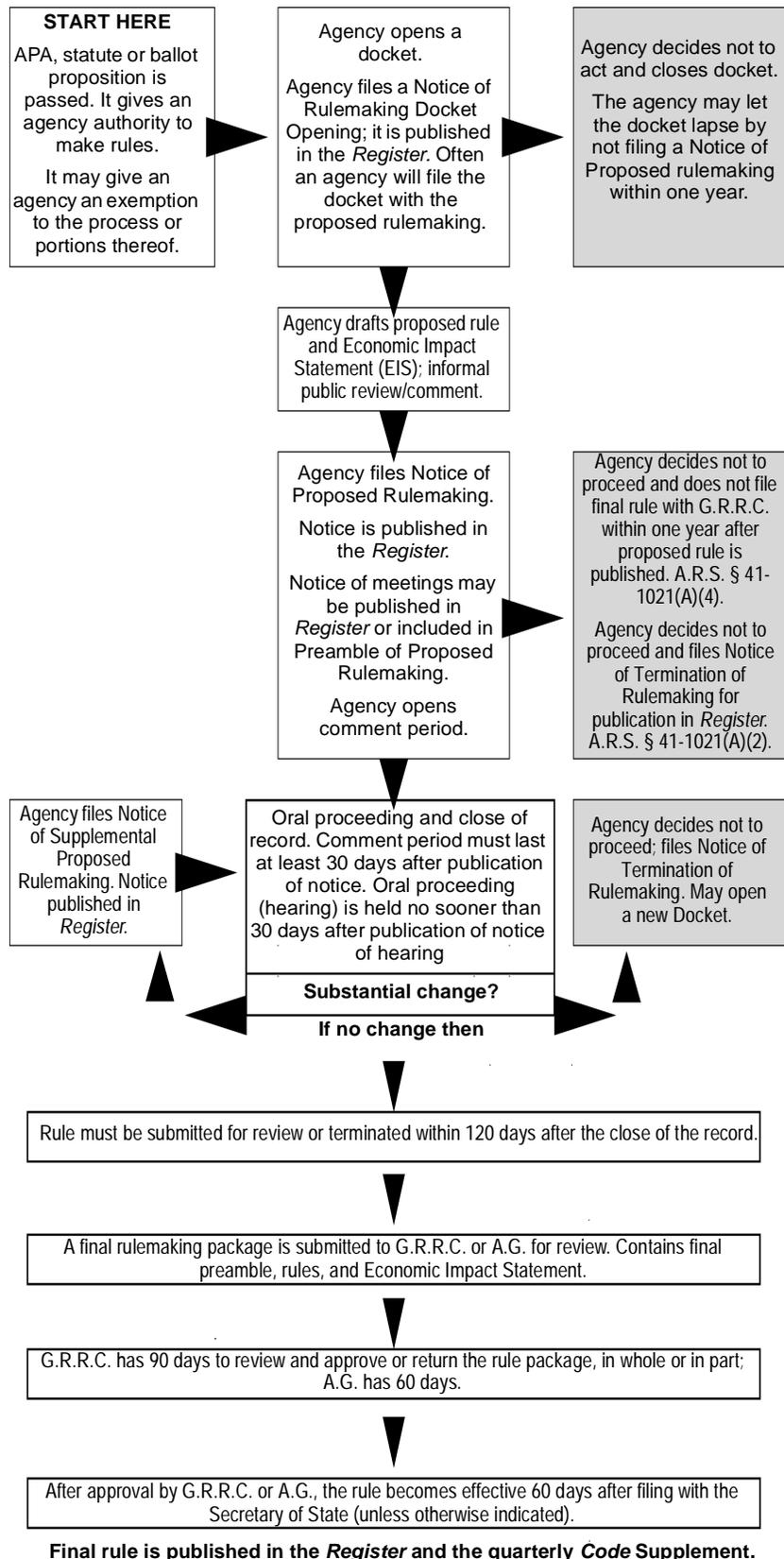
An agency may not have a public meeting scheduled on the Notice of Proposed Rulemaking. If not, you may request that the agency schedule a proceeding. This request must be put in writing within 30 days after the published Notice of Proposed Rulemaking.

## Write the agency

Put your comments in writing to the agency. In order for the agency to consider your comments, the agency must receive them by the close of record. The comment must be received within the 30-day comment timeframe following the *Register* publication of the Notice of Proposed Rulemaking.

You can also submit to the Governor's Regulatory Review Council written comments that are relevant to the Council's power to review a given rule (A.R.S. § 41-1052). The Council reviews the rule at the end of the rulemaking process and before the rules are filed with the Secretary of State.

# Arizona Regular Rulemaking Process





**Definitions**

**Arizona Administrative Code (A.A.C.):** Official rules codified and published by the Secretary of State’s Office. Available online at [www.azsos.gov](http://www.azsos.gov).

**Arizona Administrative Register (A.A.R.):** The official publication that includes filed documents pertaining to Arizona rulemaking. Available online at [www.azsos.gov](http://www.azsos.gov).

**Administrative Procedure Act (APA):** A.R.S. Title 41, Chapter 6, Articles 1 through 10. Available online at [www.azleg.gov](http://www.azleg.gov).

**Arizona Revised Statutes (A.R.S.):** The statutes are made by the Arizona State Legislature during a legislative session. They are compiled by Legislative Council, with the official publication codified by Thomson West. Citations to statutes include Titles which represent broad subject areas. The Title number is followed by the Section number. For example, A.R.S. § 41-1001 is the definitions Section of Title 41 of the Arizona Administrative Procedures Act. The “§” symbol simply means “section.” Available online at [www.azleg.gov](http://www.azleg.gov).

**Chapter:** A division in the codification of the *Code* designating a state agency or, for a large agency, a major program.

**Close of Record:** The close of the public record for a proposed rulemaking is the date an agency chooses as the last date it will accept public comments, either written or oral.

**Code of Federal Regulations (CFR):** The *Code of Federal Regulations* is a codification of the general and permanent rules published in the *Federal Register* by the executive departments and agencies of the federal government.

**Docket:** A public file for each rulemaking containing materials related to the proceedings of that rulemaking. The docket file is established and maintained by an agency from the time it begins to consider making a rule until the rulemaking is finished. The agency provides public notice of the docket by filing a Notice of Rulemaking Docket Opening with the Office for publication in the *Register*.

**Economic, Small Business, and Consumer Impact Statement (EIS):** The EIS identifies the impact of the rule on private and public employment, on small businesses, and on consumers. It includes an analysis of the probable costs and benefits of the rule. An agency includes a brief summary of the EIS in its preamble. The EIS is not published in the *Register* but is available from the agency promulgating the rule. The EIS is also filed with the rulemaking package.

**Governor’s Regulatory Review (G.R.R.C.):** Reviews and approves rules to ensure that they are necessary and to avoid unnecessary duplication and adverse impact on the public. G.R.R.C. also assesses whether the rules are clear, concise, understandable, legal, consistent with legislative intent, and whether the benefits of a rule outweigh the cost.

**Incorporated by Reference:** An agency may incorporate by reference standards or other publications. These standards are available from the state agency with references on where to order the standard or review it online.

**Federal Register (FR):** The *Federal Register* is a legal newspaper published every business day by the National Archives and Records Administration (NARA). It contains federal agency regulations; proposed rules and notices; and executive orders, proclamations, and other presidential documents.

**Session Laws or “Laws”:** When an agency references a law that has not yet been codified into the Arizona Revised Statutes, use the word “Laws” is followed by the year the law was passed by the Legislature, followed by the Chapter number using the abbreviation “Ch.,” and the specific Section number using the Section symbol (§). For example, Laws 1995, Ch. 6, § 2. Session laws are available at [www.azleg.gov](http://www.azleg.gov).

**United States Code (U.S.C.):** The Code is a consolidation and codification by subject matter of the general and permanent laws of the United States. The Code does not include regulations issued by executive branch agencies, decisions of the federal courts, treaties, or laws enacted by state or local governments.

**Acronyms**

A.A.C. – *Arizona Administrative Code*

A.A.R. – *Arizona Administrative Register*

APA – *Administrative Procedure Act*

A.R.S. – *Arizona Revised Statutes*

CFR – *Code of Federal Regulations*

EIS – *Economic, Small Business, and Consumer Impact Statement*

FR – *Federal Register*

G.R.R.C. – *Governor’s Regulatory Review Council*

U.S.C. – *United States Code*

**About Preambles**

The Preamble is the part of a rulemaking package that contains information about the rulemaking and provides agency justification and regulatory intent.

It includes reference to the specific statutes authorizing the agency to make the rule, an explanation of the rule, reasons for proposing the rule, and the preliminary Economic Impact Statement.

The information in the Preamble differs between rulemaking notices used and the stage of the rulemaking.



NOTICES OF FINAL RULEMAKING

This section of the Arizona Administrative Register contains Notices of Final Rulemaking. Final rules have been through the regular rulemaking process as defined in the Administrative Procedures Act. These rules were either approved by the Governor's Regulatory Review Council or the Attorney General's Office. Certificates of Approval are on file with the Office.

The final published notice includes a preamble and

text of the rules as filed by the agency. Economic Impact Statements are not published.

The Office of the Secretary of State is the filing office and publisher of these rules. Questions about the interpretation of the final rules should be addressed to the agency that promulgated them. Refer to Item #5 to contact the person charged with the rulemaking. The codified version of these rules will be published in the Arizona Administrative Code.

NOTICE OF FINAL RULEMAKING

TITLE 2. ADMINISTRATION

CHAPTER 14. STATEWIDE COLLATERAL POOL

[R15-04]

PREAMBLE

- 1. Articles, Parts, and Sections Affected (as applicable) Rulemaking Action
Article 1 New Article
R2-14-101 New Section
R2-14-102 New Section
R2-14-103 New Section
R2-14-104 New Section
R2-14-105 New Section
R2-14-106 New Section
R2-14-107 New Section
R2-14-108 New Section
R2-14-109 New Section
2. Citations to the agency's statutory rulemaking authority to include both the authorizing statute (general) and the implementing statute (specific):
Authorizing statute: A.R.S. § 35-1202
Implementing statute: A.R.S. §§ 35-1201 through 35-1212
3. The effective date for the rules:
April 4, 2015
4. Citation to all related notices published in the Register to include the Register as specified in R1-1-409(A) that pertain to the record of the final rulemaking package:
Notice of Rulemaking Docket Opening: 20 A.A.R. 2808, October 17, 2014
Notice of Proposed Rulemaking: 20 A.A.R. 2795, October 17, 2014
5. The agency's contact person who can answer questions about the rulemaking:
Name: Mark Swenson, Deputy Treasurer
Address: 1700 W. Washington St.
First floor
Phoenix, AZ 85007
Telephone: (602) 542-7877
Fax: (602) 542-7176
E-mail: Marks@aztreasury.gov
Web site: www.aztreasury.gov
6. An agency's justification and reason why a rule should be made, amended, repealed, or renumbered, to include an explanation about the rulemaking:
Under Laws 2013, Chapter 157, the legislature authorized a statewide collateral pool for public deposits and created the office of Statewide Collateral Pool Administrator in the State Treasurer's office to implement and monitor the statewide collateral pool. The statewide collateral pool provides an efficient, cost effective, and safe way for depository institutions to collateralize public monies. The depository institutions are able to centralize processing and



managing the pledging and maintaining of collateral through the pool rather than with each depositing public entity. The depository institutions also have only to report to the Administrator rather than to each depositing public entity. Depositing public entities benefit from having the Administrator monitor collateral of public monies.

The statutes authorizing the statewide collateral pool require the Administrator to establish policies and procedures necessary to implement the pool. The Administrator has determined certain policies and procedures should be placed in rule. This rulemaking places the policies and procedures in rule.

This rulemaking is exempt from the rulemaking moratorium contained in Executive Order 2015-01 under paragraph (3)(a) of the Order. Under A.R.S. § 41-1057(B), the State Treasurer is electing to follow the procedures in A.R.S. Title 41, Chapter 6, Article 5 rather than those in A.R.S. § 41-1044.

**7. A reference to any study relevant to the rule that the agency reviewed and either relied on or did not rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:**

The Administrator does not need to review or rely on a study for its evaluation of or justification for any rule in this rulemaking because the rules simply implement statutory requirements.

**8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:**

Not applicable

**9. A summary of the economic, small business, and consumer impact:**

This rulemaking will have minimal economic impact on both eligible depositories and depositors of public monies because it simply implements statutory requirements. It is statute that has the economic impact. Both eligible depositories and depositors of public monies will benefit from creation of the statewide collateral pool to protect public monies while creating efficiencies in the pledging and monitoring of collateral.

**10. A description of any changes between the proposed rulemaking, including supplemental notices, and the final rulemaking:**

Only minor changes were made between the proposed and final rulemaking. The changes made in response to public comment are addressed in detail in item 11. None of the changes are substantial under the standards specified at A.R.S. § 41-1025(B).

**11. An agency's summary of the public or stakeholder comments made about the rulemaking and the agency response to comments:**

An oral proceeding was held on November 18, 2014. The only comments submitted were by JPMorgan Chase Bank.

COMMENT	ANALYSIS	RESPONSE
R2-14-101(B)(1): Clarify the definition of "collateral pool" regarding alternate types of collateral instruments by referencing statute.	Referencing statute provides additional clarity.	The definition of "collateral pool" was amended to include the statutory reference.
R4-14-103(B): Add a reference to statute to clarify the requirements for a letter of credit to serve as eligible collateral.	Referencing statute provides additional clarity.	Reference to the statutory requirements for a letter of credit to be used as collateral was added to the subsection.
R4-14-103(D): Amend to reflect HUD policy that collateral is pledged to the Housing Authority rather than directly to HUD.  Provide a description of how the HUD-related monies are to be reported to the Administrator.	The suggested amendment provides needed clarity.  The monies are to be reported in the daily report required under R2-14-105(B). A separate report is not acceptable although the HUD-related monies may be reported as a separate line item. HUD-related monies are currently being reported and there have been no inaccuracies.	The first sentence of the subsection was amended to reflect current HUD policy.  No change
R2-14-103(E)(1): Provide a description of the source of valuation that is to be provided when a security is pledged as collateral. Is a one-time notification of the source of the valuation acceptable?	The depository has only to tell the Administrator the source of valuation. It can be the usual pricing vendor used by the depository. One-time notification is sufficient if there is no change. If the pricing vendor changes, notice is required.	No change



R2-14-103(E)(2): Amend to clarify that the qualified escrow agent will retain cash proceeds only until substitute collateral is pledged.	The suggested clarification is useful.	The subsection was amended as suggested and to make it consistent with the lead in R2-14-103(E).
R2-14-104(A): Please define “late day.”  Move the phrase “if needed.”  Clarify the manner in which public depositors are to notify the Administrator of late-day deposits and the consequences of failing to provide notice.	A parenthetical definition will clarify the term.  Ok  Depositors can notify the Administrator by telephone or e-mail. The Administrator has no authority to enforce the notice requirement, which is contingent on the depositor being able to anticipate a late-day deposit. There are no remedies for an eligible depository if a late-day deposit causes the depository to have insufficient collateral. This problem results from requirements of the Federal Reserve and the fact that Arizona does not operate on EST.	The requested definition was added to the subsection.  Suggested change was made.  No change
R2-14-104(B): Clarify that when a letter of credit is used as collateral, reducing the face amount of the credit is equivalent to releasing excess collateral.	The clarification is valuable.	The suggested amendment was made to the subsection.
R2-14-104(C): Amend the subsection to refer to pledged rather than deposited eligible collateral.	The clarification is valuable.	The suggested amendment was made to the subsection.
R2-14-105(A)(3): Clarify the meaning of “immediately.”	The commenter suggested that “promptly” be substituted for “immediately.” This is acceptable to the Administrator.	The word “promptly” was substituted for “immediately” in the subsection.
R2-14-105(B) and (C): Clarify that the Administrator may have approved a report form or format other than that posted on the Treasurer’s web site.	The clarification is valuable.	The suggested amendment was made to both subsections.
R2-14-105(E): Combine the two subsections and reference all applicable Sections of 12 U.S.C.	Very good suggestion.	The suggested change was made.
R2-14-106(C): Replace the phrase “comply timely” with the requirement that notice be provided by 3:00 EST of the same day.	The suggestion provides clarity.	The subsection was amended to have the 3:00 p.m. EST deadline applicable to compliance with both subsections (A) and (B).
R2-14-106(C)(1): Under A.R.S. § 35-1211, the penalty for failure to pledge additional collateral is \$250 per day and the penalty for failure to provide a requested report is \$100 per day. This subsection needs to be amended to reflect this difference.	The statutory distinction in penalties is accurate.	The subsection was amended to have a different penalty amount for failure to pledge additional collateral and failure to provide a requested report.
R2-14-106(D): Under the collateral pool, eligible depositories no longer send collateral statements to public depositors. Does the term “statement” refer to an account statement?  Amend this subsection to reflect that the forwarded monthly report will be deemed correct unless the Administrator is notified of discrepancies.	The commenter is correct that the term “statement” refers to an account statement.  The clarification is valuable.	The word “account” was inserted to modify “statement.”  The suggested provision regarding accuracy of monthly reports was added.



R2-14-107: How is “fair and equitable calculated?”	The annual amount of expenses incurred to enforce and administer the collateral pool is pro-rated based on the proportion of uninsured public monies on deposit with a depository.	No change
How is the additional assessment calculated and what circumstances trigger an additional assessment?	The additional assessment is the actual amount incurred when actions of a specific depository cause the collateral pool to incur expenses that result solely from the actions of the depository.	No change
What is the statutory authority for the additional assessment?	Under A.R.S. § 32-1212, fees assessed by the Administrator are to be fair and equitable. It is neither fair nor equitable to expect all depositories to share expenses that result solely from the actions of a specific depository.	No change
R2-14-108(A)(1): Clarify that amounts returned are determined in accordance with the terms of the depository agreement.	The clarification is valuable.	The subsection was amended as suggested.
R2-14-108(A)(3): Clarify that an eligible depository is in default if a receiver has been appointed rather than if it is subject to appointment of a receiver.	The clarification is valuable.	The subsection was amended as suggested.
R2-14-108(D): Add a third subsection dealing with the circumstance of default not being due to the insolvency or receivership of the depository.	The clarification is valuable.	The requested provision was included in an additional subsection.
R2-14-109(A): Amend the lead sentence to indicate that the penalties assessed will not exceed the amounts specified in statute.	The clarification is valuable.	The subsection was amended as suggested.
R2-14-109(B): What contact information will be used when notice is sent by certified mail?	The Administrator will send certified mail to the contact individual identified under R2-14-105(A)(1).	No change
It is useful when the Administrator sends notices by e-mail to multiple contacts.	The Administrator will continue to do this for notices other than those sent by certified mail.	No change

**12. All agencies shall list any other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:**

None

**a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:**

None of the rules requires a permit.

**b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:**

There are federal laws applicable to banks and savings institutions. Eligible depositories will have to comply with the federal laws. However, the federal laws are not applicable to operation of the statewide collateral pool.

**c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:**

No analysis was submitted

**13. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rule:**

No materials are incorporated by reference.

**14. Whether the rule was previously made, amended, or repealed as an emergency rule. If so, cite the notice published in the Register as specified in R1-1-409(A). Also, the agency shall state where the text was changed between the emergency and the final rulemaking packages:**

None of the rules were previously made, amended, or repealed as an emergency rule.

**15. The full text of the rules follows:**



TITLE 2. ADMINISTRATION

CHAPTER 14. STATEWIDE COLLATERAL POOL

ARTICLE 1. STATEWIDE COLLATERAL POOL

Section

R2-14-101. Definitions

R2-14-102. Participation Mandatory

R2-14-103. Collateral Required

R2-14-104. Increasing, Releasing, and Substituting Eligible Collateral

R2-14-105. Reports Required

R2-14-106. Use of Reports

R2-14-107. Fee Assessment

R2-14-108. Payment of Losses

R2-14-109. Civil Penalty for Noncompliance; Hearing

ARTICLE 1. STATEWIDE COLLATERAL POOL

**R2-14-101. Definitions**

- A.** The definitions in A.R.S. § 35-1201 apply to this Chapter.
- B.** Additionally, in this Chapter:
  - 1. “Collateral pool” means the method of securing repayment of uninsured balances of public deposits made with an eligible depository through the pledge of eligible investments specified under A.R.S. § 35-323.
  - 2. “CUSIP” means Committee on Uniform Security Identification Procedures and refers to a nine character alphanumeric code that uniquely identifies a financial security.
  - 3. “EST” means Eastern Standard Time.
  - 4. “FDIC” means the Federal Deposit Insurance Corporation.
  - 5. “Treasurer” means the Office of the Arizona State Treasurer.
  - 6. “Uninsured” means public monies deposited with an eligible depository that exceed the amount insured by an instrumentality of the United States.

**R2-14-102. Participation Mandatory**

- A.** Public depositors.
  - 1. Except as provided under A.R.S. § 35-1204(B), all public depositors shall place deposits of uninsured public monies with an eligible depository.
  - 2. A public depositor that decides to exercise the exemption provided under A.R.S. § 35-1204(B) shall provide written notice of the decision to the Administrator.
- B.** Eligible depositories. Except as provided in subsections (B)(1) and (2), an eligible depository that accepts or retains public monies shall pledge required collateral to the collateral pool.
  - 1. An eligible depository that accepts and retains only insured public monies is not required to pledge collateral to the collateral pool. However, the eligible depository shall provide a notarized statement to the Administrator that lists:
    - a. Each public depositor for which the eligible depository is retaining public monies.
    - b. The deposit balance for each public depositor, and
    - c. The total insurance available for each public depositor.
  - 2. An eligible depository that accepts and retains all public monies in the trust department of the eligible depository and secures the public monies under 12 U.S.C. Section 92a is not required to pledge collateral to the collateral pool.
- C.** An eligible depository doing business in Arizona that does not accept or retain public monies shall attest to this fact on a notarized statement that is available from the Administrator.

**R2-14-103. Collateral Required**

- A.** An eligible depository that intends to accept and retain uninsured public monies shall execute a Collateral Security Agreement with the Treasurer, using a form that is available on the web site of the Treasurer. In the agreement, the eligible depository shall pledge for the benefit of the Treasurer eligible collateral having a market value of at least 102 percent of the retained amount of uninsured public monies.
- B.** An eligible depository shall deposit all eligible collateral, including a letter of credit that satisfies the requirements at A.R.S. §§ 35-312(A)(4) and 35-323(G)(4), with a qualified escrow agent that will hold the required collateral in trust for the use and benefit of the Treasurer on behalf of the collateral pool.
- C.** An eligible depository that does not have a preferred qualified escrow agent may ask the Administrator for permission to use the Treasurer’s existing custodial contracts as a qualified escrow agent.
- D.** An eligible depository that has pledged required collateral to a housing authority in an amount and manner required by the United States Department of Housing and Urban Development is not required to pledge additional collateral to the collateral pool. However, the eligible depository shall include the HUD-related public monies in the reports required



under R2-14-105.

- E.** If an eligible depository uses a security as eligible collateral, the eligible depository shall:
1. At the time the security is deposited with a qualified escrow agent, forward to the Administrator the par and market value of the security, identified by the security's CUSIP, and the source of the valuation. The Administrator shall, from time to time, test the market value of the security using an independent source; and
  2. If the security matures or is called for redemption, the cash proceeds will be retained by the qualified escrow agent until substitute collateral is pledged to replace the matured or called security.

**R2-14-104. Increasing, Releasing, and Substituting Eligible Collateral**

- A.** If a public depositor anticipates making a late-day (5:00 p.m. EST or later) increase in the amount of public monies deposited with an eligible depository, the public depositor shall notify the Administrator. The Administrator shall notify the eligible depository of the anticipated deposit and if needed, require that additional eligible collateral be pledged.
- B.** If an eligible depository determines that the amount of eligible collateral deposited with a qualified escrow agent exceeds the amount required under R2-14-103(A), the eligible depository may request that the Administrator release the excess collateral or if the collateral is a letter of credit, consent to a reduction in the face amount of credit. The Administrator shall approve the request as soon as the Administrator determines that the amount of collateral held by the qualified escrow agent exceeds the amount required.
- C.** Except as provided in A.R.S. § 35-1208(C), an eligible depository may make substitutions of pledged eligible collateral if the amount of required collateral is maintained.

**R2-14-105. Reports Required**

- A.** Contact information. An eligible depository shall provide the Administrator, using a form available on the Treasurer's web site, with the following:
1. Information the Administrator needs to contact the individual responsible for ensuring that the eligible depository complies with A.R.S. Title 35, Chapter 10, and this Chapter;
  2. Information the Administrator needs to contact each public depositor including:
    - a. Name and physical address of the public depositor; and
    - b. Telephone and fax numbers and e-mail address of the individual who is to receive monthly statements from the Administrator; and
  3. Updated information promptly after any of the information provided under subsection (A)(1) or (2) changes.
- B.** Daily report. An eligible depository shall provide the Administrator, using a form or format available on the Treasurer's web site or another form or format approved by the Administrator, a summary report no later than 12:30 p.m. EST every business day. The report, which shall be transmitted electronically, shall contain the following information:
1. Name of eligible depository making the report,
  2. Date of report,
  3. Demand-deposit ledger balance,
  4. Interest-bearing ledger balance,
  5. Amount of deposits covered by the FDIC,
  6. Amount of uninsured deposits,
  7. Amount of required collateral,
  8. CUSIPs of the collateral pledged to collateral pool,
  9. Market value of collateral pledged to collateral pool,
  10. Original par value of collateral pledged to collateral pool,
  11. Number of demand-deposit accounts of public monies, and
  12. Number of interest-bearing accounts of public monies.
- C.** Monthly report. On or before the eighth day of each month, an eligible depository shall provide the Administrator, using a form or format available on the Treasurer's web site or another form or format approved by the Administrator, a report that contains the following information regarding each public depositor:
1. Name of public depositor,
  2. Taxpayer identification of the public depositor,
  3. Name and number of the public depositor's account,
  4. Amount of demand deposit held for the public depositor,
  5. Amount of interest-bearing deposit held for the public depositor,
  6. Amount of total deposits held for the public depositor,
  7. Amount of the public depositor's deposits covered by the FDIC, and
  8. Amount of required collateral to protect the public depositor's deposits.
- D.** Detailed daily report. When the Administrator determines that additional information is needed to ensure that all public monies are protected, an eligible depository shall provide the information required under subsections (B) and (C) sorted first by public depositor and second by taxpayer identification number.
- E.** Additional reports. If requested by the Administrator, an eligible depositor that is a bank or savings institution shall submit to the Administrator a copy of the quarterly Report of Condition and Income filed with the Federal Financial Institutions Examination Council's Central Data Repository, as required under 12 U.S.C. § 324 (state member banks), 12 U.S.C. § 1817 (state non-member banks), 12 U.S.C. § 161 (national banks), and 12 U.S.C. § 1464 (savings institutions). An eligible depository that is a credit union shall submit to the Administrator a copy of the quarterly Call Report filed



with the National Credit Union Administration, as required under 12 U.S.C. 1756.

**R2-14-106. Use of Reports**

- A.** If the Administrator determines after reviewing either the daily report or detailed daily report that an eligible depository has pledged insufficient collateral to the collateral pool, the Administrator shall immediately contact the eligible depository and require that additional collateral be pledged.
- B.** An eligible depository that is contacted under subsection (A) shall send a report to the Administrator identifying the additional collateral pledged by CUSIP, par value, and market value.
- C.** If an eligible depository fails to pledge additional collateral as required under subsection (A) or provide the report required under subsection (B) by 3:00 p.m. EST of the day contacted by the Administrator, the Administrator shall take one or more of the following actions:
  - 1.** Provide written notice to the eligible depository of intent to assess a daily civil penalty of:
    - a.** \$250 for failure to comply with subsection (A), and
    - b.** \$100 for failure to comply with subsection (B);
  - 2.** Provide electronic notice of the noncompliance to the:
    - a.** Superintendent of the Department of Financial Institutions, and
    - b.** Public depositories that have deposits with the eligible depository, and
  - 3.** Post notice of the noncompliance on the Treasurer's web site.
- D.** The Administrator shall forward an electronic copy of the monthly report to each public depositor within five days after receiving the report. Before the end of the month, each public depositor shall review the monthly report and inform the Administrator of any discrepancy between the information in the monthly report and the account statement provided directly to the public depositor by the eligible depository. If the public depositor fails to inform the Administrator of a discrepancy before the end of the month, the Administrator shall deem the monthly report to be correct.

**R2-14-107. Fee Assessment**

- A.** Under A.R.S. § 35-1212, the collateral pool is required to be self-supporting. The Administrator is authorized to assess fair and equitable fees from eligible depositories.
- B.** Annual assessment. The Administrator shall annually assess an eligible depository required under R2-14-102(B) to pledge eligible collateral to the collateral pool an amount that reflects the eligible depository's daily average portion of uninsured public monies on deposit.
  - 1.** The Administrator shall determine the total amount to be assessed based on expenses incurred to administer and enforce the collateral pool during a calendar year.
  - 2.** The Administrator shall inform each eligible depository of the depository's assessed amount by January 15 of each year.
  - 3.** An eligible depository shall pay the assessed amount by February 15 of each year.
  - 4.** If an eligible depository enters or leaves the collateral pool during a calendar year, the Administrator shall make or refund a partial assessment.
- C.** Additional assessment. When an eligible depository fails to comply fully with A.R.S. Title 35, Chapter 10 or this Chapter, the Administrator incurs extra expenses to administer and enforce the collateral pool. When this happens, the Administrator shall assess an additional fee from the non-complying eligible depository equal to the amount of extra expense incurred by the Administrator.

**R2-14-108. Payment of Losses**

- A.** The Administrator shall find that an eligible depository is in default if the eligible depository:
  - 1.** Fails to return to a public depositor public monies including earned interest in accordance with the terms of the depository agreement;
  - 2.** Is subject to a court order or formal action by a supervisory authority that has the effect of restraining the eligible depository from making payments of deposit liabilities; or
  - 3.** Has had a receiver appointed by a supervisory authority.
- B.** If the Administrator determines that an eligible depository is in default or insolvent, the Administrator shall provide notice of the default or insolvency to all public depositories that have deposited public monies with the defaulting or insolvent eligible depository.
- C.** If the Administrator determines that an eligible depository is in default or insolvent, the Administrator shall revoke authorization for the eligible depository to make substitutions of eligible collateral.
- D.** If the Administrator determines that an eligible depository is in default or insolvent, the Administrator, in cooperation with the Arizona Department of Financial Institutions and the receiver appointed for the eligible depository, if any, shall:
  - 1.** If the receiver has found a new eligible depository that agrees to accept the public monies deposited with the defaulting or insolvent eligible depository:
    - a.** Transfer the required collateral from the defaulting or insolvent eligible depository to the new eligible depository, and
    - b.** Provide notice of the transfer to the public depositories; or
  - 2.** If a new eligible depository is not found, take possession of the required collateral and do one or both of the following:



- a. Liquidate the required collateral in an orderly fashion and distribute the proceeds on a pro-rata basis to the public depositors; or
- b. Upon request from a public depositor and if it is possible to segregate and divide the required collateral, provide a pro-rata share of the segregated and divided collateral to the public depositor making the request.
3. If the Administrator determines that the default of an eligible depository is not due to the insolvency or receivership of the eligible depository, any transfer, distribution, or liquidation of required collateral under subsections (D)(1) or (D)(2) by the Administrator will allow the eligible depository to reduce account balances correspondingly for public fund deposits subject to the transfer, distribution, or liquidation.

**R2-14-109. Civil Penalty for Noncompliance: Hearing**

- A. The Administrator may assess a civil penalty in the amount specified under A.R.S. § 35-1211 against an eligible depository for failing to:
  1. Maintain required collateral, or
  2. File a report required under R2-14-105.
- B. If the Administrator determines that an eligible depository has failed to maintain required collateral or file a required report, the Administrator shall serve written notice by certified mail to the eligible depository of intent to assess a civil penalty. The Administrator shall ensure that the notice provides the information required under A.R.S. § 41-1092.03(A).
- C. An eligible depository that receives notice under subsection (B) may make a written request for a hearing. The eligible depository shall make the request for hearing within 30 days after receipt of the notice under subsection (B).
- D. At least 20 days before a scheduled hearing, an eligible depository may make a written request for an informal settlement conference.
- E. The Administrator shall ensure that hearings are conducted using the procedures in A.R.S. Title 41, Chapter 6, Article 10 and rules of the Office of Administrative Hearings.



**NOTICES OF SUBSTANTIVE POLICY STATEMENT**

The Administrative Procedure Act requires the publication of substantive policy statements issued by agencies (A.R.S. § 41-1013(B)(14)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal

procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the Arizona Administrative Procedure Act.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M15-18]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-041-PHS-EMS: Attendance at an Informal Interview Conducted under A.R.S. § 36-2211(C)

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015  
Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public of the policy of the Arizona Department of Health Services (Department) regarding informal interviews conducted under Arizona Revised Statutes (A.R.S.) § 36-2211(C).

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.R.S. § 36-2211

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates the current substantive policy statement to allow an informal interview to be conducted telephonically, if requested by an emergency medical care technician and approved by the medical director of the Bureau of Emergency Medical Services within the Department.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248

Telephone: (602) 364-3150

Fax: (602) 364-3568

E-mail: Terry.Mullins@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020

Fax: (602) 364-1150

E-mail: Jeff.Bloomberg@azdhs.gov



**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

## NOTICE OF SUBSTANTIVE POLICY STATEMENT

### DEPARTMENT OF HEALTH SERVICES

[M15-19]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-053-PHS-EMS: Clarification of Requirements for an Invoice Prepared for a Third-Party Payor under 9 A.A.C. 25, Article 11

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015

Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement clarifies the requirements established under Arizona Administrative Code (A.A.C.) R9-25-1110 for an invoice of rates and charges prepared by a ground ambulance service for a third-party payor.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.A.C. R9-25-1110

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates the address of the Bureau of Emergency Medical Services and Trauma System and clarifies the wording of the substantive policy statement.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248

Telephone: (602) 364-3150

Fax: (602) 364-3568

E-mail: [Terry.Mullins@azdhs.gov](mailto:Terry.Mullins@azdhs.gov)

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020

Fax: (602) 364-1150

E-mail: [Jeff.Bloomberg@azdhs.gov](mailto:Jeff.Bloomberg@azdhs.gov)

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Ser-



vices, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M15-20]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-072-PHS-EMS: Interpretation of “Authorized Federal or State Emergency Response Deployment”

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015  
Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public how the Arizona Department of Health Services (Department) interprets “authorized federal or state emergency response deployment” in Arizona Administrative Code (A.A.C.) R9-25-405.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.A.C. R9-25-404 and R9-25-405

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that corrects cross-references and nomenclature in the substantive policy statement.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief  
Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248  
Telephone: (602) 364-3150  
Fax: (602) 364-3568  
E-mail: Terry.Mullins@azdhs.gov

or

Name: Jeff Bloomberg, Manager  
Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007  
Telephone: (602) 542-1020  
Fax: (602) 364-1150  
E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made



payable to the Arizona Department of Health Services.

## NOTICE OF SUBSTANTIVE POLICY STATEMENT

### DEPARTMENT OF HEALTH SERVICES

[M15-21]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-073-PHS-EMS: Approval of Medical Devices

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015

Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public of the policy of the Arizona Department of Health Services (Department) regarding the Department's approving medical devices for use by an emergency medical care technician in the prehospital emergency medical services setting.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

Arizona Revised Statutes § 36-2205

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that corrects nomenclature in and clarifies the wording of the substantive policy statement.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248

Telephone: (602) 364-3150

Fax: (602) 364-3568

E-mail: Terry.Mullins@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020

Fax: (602) 364-1150

E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.



**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M15-22]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-077-PHS-EMS: Interpretation of “Rapid Infuser System” in 9 A.A.C. 25, Article 13, Exhibit I

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015

Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public of the policy of the Arizona Department of Health Services (Department) regarding how the Department interprets the language “Rapid infuser system” in Arizona Administrative Code (A.A.C.) Title 9, Chapter 25, Article 13, Exhibit I, Arizona Trauma Center Standards.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

9 A.A.C. 25, Article 13, Exhibit I

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates formatting and clarifies the wording of the substantive policy statement.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248

Telephone: (602) 364-3150

Fax: (602) 364-3568

E-mail: Terry.Mullins@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020

Fax: (602) 364-1150

E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT****DEPARTMENT OF HEALTH SERVICES**

[M15-23]

- 1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**  
SP-078-PHS-EMS: Interpretation of How Scope of Practice Applies to a Student Enrolled in a Course
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**  
Issuance date: January 30, 2015  
Effective date: February 1, 2015
- 3. Summary of the contents of the substantive policy statement:**  
The substantive policy statement notifies the public how the Arizona Department of Health Services (Department) interprets requirements related to certification and scope of practice as applied to a student enrolled in a course.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**  
Arizona Administrative Code R9-25-304, R9-25-401, and R9-25-502
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**  
This is a revised substantive policy statement that corrects cross-references and nomenclature in and clarifies the wording of the substantive policy statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**  
Name: Terry Mullins, Bureau Chief  
Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248  
Telephone: (602) 364-3150  
Fax: (602) 364-3568  
E-mail: Terry.Mullins@azdhs.gov  
or  
Name: Jeff Bloomberg, Manager  
Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007  
Telephone: (602) 542-1020  
Fax: (602) 364-1150  
E-mail: Jeff.Bloomberg@azdhs.gov
- 7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**  
A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Emergency Medical Services and Trauma System, 150 N. 18th Avenue, Suite 540, Phoenix, AZ 85007, for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.



**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF HEALTH SERVICES**

[M15-24]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-082-PHS-EMS/SP-034-PHL-MED: Emergency Medical Care Technicians Practicing in Hospitals

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: January 30, 2015

Effective date: February 1, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public of the policy of the Arizona Department of Health Services (Department) regarding emergency medical care technicians (EMCTs) practicing in hospitals.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

Arizona Revised Statutes Title 36, Chapter 4 and Chapter 21.1 and Arizona Administrative Code Title 9, Chapter 10, Articles 1 and Title 9, Chapter 25, Articles 2, 4, and 5.

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates SP-082-PHS-EMS/SP-034-PHL-MED. The number of the substantive policy statement was amended to reflect organizational changes within the Department. Cited rule Sections were updated to reflect recent rules changes. The format of and the nomenclature used in the substantive policy statement was also updated, and the wording of the substantive policy statement was clarified.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Terry Mullins, Bureau Chief

Address: Arizona Department of Health Services  
Bureau of Emergency Medical Services and Trauma System  
150 N. 18th Ave., Suite 540  
Phoenix, AZ 85007-3248

Telephone: (602) 364-3150

Fax: (602) 364-3568

E-mail: Terry.Mullins@azdhs.gov

or

Name: Kathryn McCanna, Branch Chief

Address: Arizona Department of Health Services  
Public Health Licensing Services  
Health Care Institutions Licensing  
150 N. 18th Ave., Suite 400  
Phoenix, AZ 85007

Telephone: (602) 364-2841

Fax: (602) 364-4808

E-mail: Kathryn.McCanna@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020



Fax: (602) 364-1150  
E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at either of the following web addresses: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness> or <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=licensing>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services from either the Bureau of Emergency Medical Services and Trauma System or the Division of Public Health Licensing Services, 150 N. 18th Avenue, Phoenix, AZ 85007 for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.

## NOTICE OF SUBSTANTIVE POLICY STATEMENT

### DEPARTMENT OF HEALTH SERVICES

[M15-25]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

SP-058-PHS-EDC: Health Care Institution Administrator Reporting

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: February 10, 2015  
Effective date: February 15, 2015

**3. Summary of the contents of the substantive policy statement:**

The substantive policy statement notifies the public how the Arizona Department of Health Services (Department) interprets the reporting requirements for an administrator of a health care institution (HCI) in which a case or suspect case of a reportable disease is diagnosed, treated, or detected or a reportable occurrence is detected.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

Arizona Administrative Code (A.A.C.) R9-6-202, Table 1, A.A.C. R9-6-204, and Table 3

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a revised substantive policy statement that updates the format and clarifies the wording of the substantive policy statement. The revised substantive policy statement also adds the Department's interpretation of how the reporting requirements for a HCI administrator in A.A.C. R9-6-202(B) and Table 1 may be combined with the reporting requirements for the HCI's clinical laboratory director in A.A.C. R9-6-204 and Table 3.

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Ken Komatsu, State Epidemiologist

Address: Arizona Department of Health Services  
Division of Public Health Preparedness  
150 N. 18th Ave., Suite 100  
Phoenix, AZ 85007

Telephone: (602) 364-3587

Fax: (602) 542-2722

E-mail: komatsk@azdhs.gov

or

Name: Jeff Bloomberg, Manager

Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007

Telephone: (602) 542-1020



Fax: (602) 364-1150

E-mail: Jeff.Bloomberg@azdhs.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

A copy of the substantive policy statement is available, free of charge, from the Arizona Department of Health Services, Office of Administrative Counsel and Rules at the following web address: <http://www.azdhs.gov/ops/oacr/rules/sps/index.php?pg=preparedness>. A copy of the substantive policy statement may also be obtained from the Arizona Department of Health Services, Bureau of Epidemiology and Disease Control, 150 N. 18th Avenue, Suite 150, Phoenix, AZ 85007 for 25 cents per page. Payment is accepted in cash or money order made payable to the Arizona Department of Health Services.



**NOTICES OF PUBLIC INFORMATION**

Notices of Public Information contain corrections that agencies wish to make to their notices of rulemaking; miscellaneous rulemaking information that does not fit into any other category or notice; and other types of information required by statute to be published in the Register. Because of the variety of Notices of Public

Information, the Office of the Secretary of State has not established a specific publishing format for these notices. We do however require agencies to use a numbered list of questions and answers and follow our filing requirements by presenting receipts with electronic and paper copies.

**NOTICE OF PUBLIC INFORMATION**

**DEPARTMENT OF HEALTH SERVICES**

[M15-17]

**1. Titles of the substantive policy statements and the substantive policy statement numbers by which the documents are referenced:**

SP-045-PHS-WCH: Clarification of Requirements in A.R.S. § 36-1921(6) for School Hearing Screening

**2. The public information relating to the substantive policy statements:**

The Arizona Department of Health Services (Department) is renaming and updating the format of the substantive policy statement specified in paragraph 1, effective February 1, 2015, to reflect organizational changes within the Department without changing the substantive content of the substantive policy statement. SP-045-PHS-CFH is being renamed as SP-045-PHS-WCH. This notice of public information provides the public with notice of the changes.

**3. The name and address of agency personnel with whom persons may communicate regarding this notice of public information:**

Name: Laura Luna Bellucci, Program Manager  
Address: Arizona Department of Health Services  
Public Health Prevention Services  
Bureau of Women’s and Children’s Health  
Child and Family Health Program  
150 N. 18th Ave., Suite 320  
Phoenix, AZ 85007  
Telephone: (602) 364-1454  
Fax: (602) 364-1496  
E-mail: Laura.Bellucci@azdhs.gov

or

Name: Jeff Bloomberg, Manager  
Address: Arizona Department of Health Services  
Office of Administrative Counsel and Rules  
1740 W. Adams, Suite 203  
Phoenix, AZ 85007  
Telephone: (602) 542-1020  
Fax: (602) 364-1150  
E-mail: Jeff.Bloomberg@azdhs.gov



**GOVERNOR EXECUTIVE ORDERS**

The Administrative Procedure Act (APA) requires the full-text publication of Governor Executive Orders.

With the exception of egregious errors, content (including spelling, grammar, and punctuation) of these orders has been reproduced as submitted.

In addition, the Register shall include each statement filed by the Governor in granting a commutation, pardon or reprieve, or stay or suspension of execution where a sentence of death is imposed.

**EXECUTIVE ORDER 2015-01**

**Internal Review of Administrative Rules; Moratorium to Promote Job Creation and Customer-Service-Oriented Agencies**

*Editor’s Note: This Executive Order is being reproduced in each issue of the Administrative Register until its expiration on December 31, 2015, as a notice to the public regarding state agencies’ rulemaking activities.*

[M15-02]

**WHEREAS**, Arizona has lost more jobs per capita than any other state and has yet to recover all of those jobs;

**WHEREAS**, burdensome regulations inhibit job growth and economic development;

**WHEREAS**, each agency of the State of Arizona should promote customer-service-oriented principles for the people that it serves;

**WHEREAS**, each State agency should undertake a critical and comprehensive review of its administrative rules and take action to reduce the regulatory burden, administrative delay, and legal uncertainty associated with government regulation;

**WHEREAS**, overly burdensome, antiquated, contradictory, redundant, and nonessential regulations should be repealed;

**WHEREAS**, Article 5, Section 4 of the Arizona Constitution and Title 41, Chapter 1, Article 1 of the Arizona Revised Statutes vests the executive power of the State of Arizona in the Governor;

**NOW, THEREFORE, I, Douglas A. Ducey**, by virtue of the authority vested in me by the Constitution and laws of the State of Arizona hereby declare the following:

1. A State agency, subject to this Order, shall not conduct any rulemaking except as permitted by this Order.
2. A State agency, subject to this Order, shall not conduct any rulemaking, whether informal or formal, without the prior written approval of the Office of the Governor. In seeking approval, a State agency shall address one or more of the following as justification for the rulemaking:
  - a. To fulfill an objective related to job creation, economic development, or economic expansion in this State.
  - b. To reduce or ameliorate a regulatory burden while achieving the same regulatory objective.
  - c. To prevent a significant threat to the public health, peace or safety.
  - d. To avoid violating a court order or federal law that would result in sanctions by a court or the federal government against an agency for failure to conduct the rulemaking action.
  - e. To comply with a federal statutory or regulatory requirement if such compliance is related to a condition for the receipt of federal funds or participation in any federal program.
  - f. To fulfill an obligation related to fees or any other action necessary to implement the State budget that is certified by the Governor’s Office of Strategic Planning and Budgeting.
  - g. To promulgate a rule or other item that is exempt from Title 41, Chapter 6, Arizona Revised Statutes, pursuant to section 41-1005, Arizona Revised Statutes.
  - h. To address matters pertaining to the control, mitigation or eradication of waste, fraud, or abuse within an agency or wasteful, fraudulent, or abusive activities perpetrated against an agency.
3. Paragraphs 1 and 2 apply to all State agencies, except for: (a) any State agency that is headed by a single elected State official, (b) the Corporation Commission, or (c) any State agency whose agency head is not appointed by the Governor. Those State agencies to which Paragraphs 1 and 2 do not apply are strongly encouraged to voluntarily comply with this Order in the context of their own rulemaking processes.
4. Pursuant to Article 5, Section 4 of the Arizona Constitution and Arizona Revised Statutes Section 41-101(A)(1), the State agencies identified in Paragraph 3 must provide the Office of the Governor with a written report for each proposed rule 30 days prior to engaging in any rulemaking proceeding and must also provide the Office of the Governor with a written report within 15 days of any rulemaking. The reports required by this Paragraph shall explain, in detail, how the rulemaking advances the priorities and principles set forth in this Order.



5. No later than September 1, 2015, each State agency shall provide to the Office of the Governor an evaluation of their rules, with recommendations for which rules could be amended or repealed consistent with the priorities and principles set forth in this Order. The evaluation shall also include a summary of licensing time frames and describe how those time frames compare to real processing time, and whether or not they can be reduced. Additionally, each agency shall identify any existing licenses or permits in which a general permit could be used in lieu of an individual permit, pursuant to Arizona Revised Statutes Section 41-1037.
6. No later than July 1, 2015, each State agency shall provide to the Office of the Governor an update on divisions where electronic reporting and payment are not implemented and a suggested plan for how to implement this customer-service-oriented service.
7. This Order does not confer any legal rights upon any persons and shall not be used as a basis for legal challenges to rules, approvals, permits, licenses or other actions or to any inaction of a State agency. For the purposes of this Order, “person,” “rule” and “rulemaking” have the same meanings prescribed in Arizona Revised Statutes Section 41-1001.
8. This Executive Order expires on December 31, 2015.

**IN WITNESS WHEREOF**, I have hereunto set my hand and caused to be affixed the Great Seal of the State of Arizona.

**Douglas A. Ducey**  
**G O V E R N O R**

**DONE** at the Capitol in Phoenix on this fifth day of January in the year Two Thousand and Fifteen and of the Independence of the United States of America the Two Hundred and Thirty-ninth.

**ATTEST:**  
**Michele Reagan**  
**Secretary of State**

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**REGISTER INDEXES**

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The *Register* is published by volume in a calendar year (See “Information” in the front of each issue for a more detailed explanation).

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Abbreviations for rulemaking activity in this Index include:

**PROPOSED RULEMAKING**

PN = Proposed new Section  
PM = Proposed amended Section  
PR = Proposed repealed Section  
P# = Proposed renumbered Section

**SUPPLEMENTAL PROPOSED RULEMAKING**

SPN = Supplemental proposed new Section  
SPM = Supplemental proposed amended Section  
SPR = Supplemental proposed repealed Section  
SP# = Supplemental proposed renumbered Section

**FINAL RULEMAKING**

FN = Final new Section  
FM = Final amended Section  
FR = Final repealed Section  
F# = Final renumbered Section

**SUMMARY RULEMAKING****PROPOSED SUMMARY**

PSMN = Proposed Summary new Section  
PSMM = Proposed Summary amended Section  
PSMR = Proposed Summary repealed Section  
PSM# = Proposed Summary renumbered Section

**FINAL SUMMARY**

FSMN = Final Summary new Section  
FSMM = Final Summary amended Section  
FSMR = Final Summary repealed Section  
FSM# = Final Summary renumbered Section

**EXPEDITED RULEMAKING****PROPOSED EXPEDITED**

PEN = Proposed Expedited new Section  
PEM = Proposed Expedited amended Section  
PER = Proposed Expedited repealed Section  
PE# = Proposed Expedited renumbered Section

**SUPPLEMENTAL EXPEDITED**

SPEN = Supplemental Proposed Expedited new Section  
SPEM = Supplemental Proposed Expedited amended Section  
SPER = Supplemental Proposed Expedited repealed Section  
SPE# = Supplemental Proposed Expedited renumbered Section

**FINAL EXPEDITED**

FEN = Final Expedited new Section  
FEM = Final Expedited amended Section  
FER = Final Expedited repealed Section  
FE# = Final Expedited renumbered Section

**EXEMPT RULEMAKING****EXEMPT PROPOSED**

PXN = Proposed Exempt new Section  
PXM = Proposed Exempt amended Section  
PXR = Proposed Exempt repealed Section  
PX# = Proposed Exempt renumbered Section

**EXEMPT SUPPLEMENTAL PROPOSED**

SPXN = Supplemental Proposed Exempt new Section  
SPXR = Supplemental Proposed Exempt repealed Section  
SPXM = Supplemental Proposed Exempt amended Section  
SPX# = Supplemental Proposed Exempt renumbered Section

**FINAL EXEMPT RULMAKING**

FXN = Final Exempt new Section  
FXM = Final Exempt amended Section  
FXR = Final Exempt repealed Section  
FX# = Final Exempt renumbered Section

**EMERGENCY RULEMAKING**

EN = Emergency new Section  
EM = Emergency amended Section  
ER = Emergency repealed Section  
E# = Emergency renumbered Section  
EEXP = Emergency expired

**RECODIFICATIONS OF RULES**

RC = Recodified

**REJECTION OF RULES**

RJ = Rejected by the Attorney General

**TERMINATION OF RULES**

TN = Terminated proposed new Sections  
TM = Terminated proposed amended Section  
TR = Terminated proposed repealed Section  
T# = Terminated proposed renumbered Section

**RULE EXPIRATIONS**

EXP = Rules have expired

*See also “emergency expired” under emergency rulemaking*

**CORRECTIONS**

C = Corrections to Published Rules



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**RULEMAKING ACTIVITY INDEX**

Rulemakings are listed in the Index by Chapter, Section number, rulemaking activity abbreviation and by volume page number. Use the page guide above to determine the *Register* issue number to review the rule. Headings for the Subchapters, Articles, Parts, and Sections are not indexed.

**THIS INDEX INCLUDES RULEMAKING ACTIVITY THROUGH ISSUE 6 OF VOLUME 21.**

<p><b>Arizona Health Care Cost Containment System - Administration</b>                  R9-22-730. PXM-5</p> <p><b>Economic Security, Department of - State Assistance Programs</b>                  R6-13-201. EXP-157                  R6-13-202. EXP-157                  R6-13-203. EXP-157                  R6-13-204. EXP-157                  R6-13-205. EXP-157                  R6-13-206. EXP-157                  R6-13-207. EXP-157                  R6-13-208. EXP-157                  R6-13-209. EXP-157                  R6-13-210. EXP-157                  R6-13-211. EXP-157                  R6-13-212. EXP-157                  R6-13-213. EXP-157                  R6-13-214. EXP-157                  R6-13-215. EXP-157                  R6-13-216. EXP-157                  R6-13-302. EXP-157                  R6-13-303. EXP-157                  R6-13-304. EXP-157                  R6-13-305. EXP-157                  R6-13-306. EXP-157                  R6-13-308. EXP-157                  R6-13-309. EXP-157                  R6-13-310. EXP-157                  R6-13-311. EXP-157                  R6-13-312. EXP-157                  R6-13-313. EXP-157                  R6-13-314. EXP-157                  R6-13-314.01. EXP-157                  R6-13-317. EXP-157                  R6-13-318. EXP-157                  R6-13-319. EXP-157                  R6-13-320. EXP-157                  R6-13-321. EXP-157                  R6-13-1201. EXP-157                  R6-13-1202. EXP-157</p>	<p>R6-13-1203. EXP-157                  R6-13-1204. EXP-157                  R6-13-1206. EXP-157                  R6-13-1209. EXP-157                  R6-13-1210. EXP-157                  R6-13-1211. EXP-157                  R6-13-1212. EXP-157</p> <p align="center"><b>Insurance, Department of</b></p> <p>R20-6-1401. FXM-54                  R20-6-1402. FXM-54                  R20-6-1403. FXM-54                  R20-6-1404. FXM-54                  R20-6-1405. FXM-54                  R20-6-1406. FXM-54                  R20-6-1407. FXM-54                  R20-6-1408. FXR-54;                  FXN-54                  R20-6-1409. FXN-54                  R20-6-1410. FXN-54                  Appendix A. FXM-54                  Appendix B. FXM-54                  Appendix C. FXM-54                  Appendix D. FXM-54                  Appendix E. FX#-54;                  FXM-54;                  FXN-54                  Appendix F. FXN-54                  Appendix G. FX#-54;                  FXM-54;                  FXN-54</p> <p align="center"><b>Physicians Medical Board, Naturopathic</b></p> <p>R4-18-101. PM-201                  R4-18-107. PM-201                  R4-18-202. PM-201                  R4-18-203. PM-201                  R4-18-204. PM-201                  R4-18-206. PM-201                  R4-18-207. PN-201                  R4-18-208. PN-201                  R4-18-209. PN-201</p>	<p>R4-18-501. PM-201                  R4-18-502. PM-201                  R4-18-904. EM-51;                  PM-201</p> <p align="center"><b>Secretary of State, Office of</b></p> <p>R1-1-101. FM-117                  R1-1-103. FM-117                  R1-1-104. FM-117                  R1-1-105. FM-117                  R1-1-106. FM-117                  R1-1-107. FM-117                  R1-1-109. FM-117                  R1-1-110. FM-117                  R1-1-114. FM-117                  R1-1-202. FM-117                  R1-1-205. FM-117                  R1-1-211. FM-117                  R1-1-302. FM-117                  R1-1-401. FM-117                  R1-1-414. FM-117                  R1-1-502. FM-117                  R1-1-801. FR-117;                  FN-117                  R1-1-802. FN-117                  R1-1-803. FN-117                  R1-1-1001. FM-117</p>
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## OTHER NOTICES AND PUBLIC RECORDS INDEX

Other notices related to rulemakings are listed in the Index by notice type, agency/county and by volume page number. Agency policy statements and proposed delegation agreements are included in this section of the Index by volume page number.

Public records, such as Governor Office executive orders, proclamations, declarations and terminations of emergencies, summaries of Attorney General Opinions, and county notices are also listed in this section of the Index as published by volume page number.

**THIS INDEX INCLUDES OTHER NOTICE ACTIVITY THROUGH ISSUE 6 OF VOLUME 21.**

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## RULE EFFECTIVE DATES CALENDAR

*A.R.S. § 41-1032(A), as amended by Laws 2002, Ch. 334, § 8 (effective August 22, 2002), states that a rule generally becomes effective 60 days after the day it is filed with the Secretary of State's Office. The following table lists filing dates and effective dates for rules that follow this provision. Please also check the rulemaking Preamble for effective dates.*

January		February		March		April		May		June	
Date Filed	Effective Date										
1/1	3/2	2/1	4/2	3/1	4/30	4/1	5/31	5/1	6/30	6/1	7/31
1/2	3/3	2/2	4/3	3/2	5/1	4/2	6/1	5/2	7/1	6/2	8/1
1/3	3/4	2/3	4/4	3/3	5/2	4/3	6/2	5/3	7/2	6/3	8/2
1/4	3/5	2/4	4/5	3/4	5/3	4/4	6/3	5/4	7/3	6/4	8/3
1/5	3/6	2/5	4/6	3/5	5/4	4/5	6/4	5/5	7/4	6/5	8/4
1/6	3/7	2/6	4/7	3/6	5/5	4/6	6/5	5/6	7/5	6/6	8/5
1/7	3/8	2/7	4/8	3/7	5/6	4/7	6/6	5/7	7/6	6/7	8/6
1/8	3/9	2/8	4/9	3/8	5/7	4/8	6/7	5/8	7/7	6/8	8/7
1/9	3/10	2/9	4/10	3/9	5/8	4/9	6/8	5/9	7/8	6/9	8/8
1/10	3/11	2/10	4/11	3/10	5/9	4/10	6/9	5/10	7/9	6/10	8/9
1/11	3/12	2/11	4/12	3/11	5/10	4/11	6/10	5/11	7/10	6/11	8/10
1/12	3/13	2/12	4/13	3/12	5/11	4/12	6/11	5/12	7/11	6/12	8/11
1/13	3/14	2/13	4/14	3/13	5/12	4/13	6/12	5/13	7/12	6/13	8/12
1/14	3/15	2/14	4/15	3/14	5/13	4/14	6/13	5/14	7/13	6/14	8/13
1/15	3/16	2/15	4/16	3/15	5/14	4/15	6/14	5/15	7/14	6/15	8/14
1/16	3/17	2/16	4/17	3/16	5/15	4/16	6/15	5/16	7/15	6/16	8/15
1/17	3/18	2/17	4/18	3/17	5/16	4/17	6/16	5/17	7/16	6/17	8/16
1/18	3/19	2/18	4/19	3/18	5/17	4/18	6/17	5/18	7/17	6/18	8/17
1/19	3/20	2/19	4/20	3/19	5/18	4/19	6/18	5/19	7/18	6/19	8/18
1/20	3/21	2/20	4/21	3/20	5/19	4/20	6/19	5/20	7/19	6/20	8/19
1/21	3/22	2/21	4/22	3/21	5/20	4/21	6/20	5/21	7/20	6/21	8/20
1/22	3/23	2/22	4/23	3/22	5/21	4/22	6/21	5/22	7/21	6/22	8/21
1/23	3/24	2/23	4/24	3/23	5/22	4/23	6/22	5/23	7/22	6/23	8/22
1/24	3/25	2/24	4/25	3/24	5/23	4/24	6/23	5/24	7/23	6/24	8/23
1/25	3/26	2/25	4/26	3/25	5/24	4/25	6/24	5/25	7/24	6/25	8/24
1/26	3/27	2/26	4/27	3/26	5/25	4/26	6/25	5/26	7/25	6/26	8/25
1/27	3/28	2/27	4/28	3/27	5/26	4/27	6/26	5/27	7/26	6/27	8/26
1/28	3/29	2/28	4/29	3/28	5/27	4/28	6/27	5/28	7/27	6/28	8/27
1/29	3/30			3/29	5/28	4/29	6/28	5/29	7/28	6/29	8/28
1/30	3/31			3/30	5/29	4/30	6/29	5/30	7/29	6/30	8/29
1/31	4/1			3/31	5/30			5/31	7/30		



July		August		September		October		November		December	
Date Filed	Effective Date										
7/1	8/30	8/1	9/30	9/1	10/31	10/1	11/30	11/1	12/31	12/1	1/30
7/2	8/31	8/2	10/1	9/2	11/1	10/2	12/1	11/2	1/1	12/2	1/31
7/3	9/1	8/3	10/2	9/3	11/2	10/3	12/2	11/3	1/2	12/3	2/1
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7/6	9/4	8/6	10/5	9/6	11/5	10/6	12/5	11/6	1/5	12/6	2/4
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7/10	9/8	8/10	10/9	9/10	11/9	10/10	12/9	11/10	1/9	12/10	2/8
7/11	9/9	8/11	10/10	9/11	11/10	10/11	12/10	11/11	1/10	12/11	2/9
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7/13	9/11	8/13	10/12	9/13	11/12	10/13	12/12	11/13	1/12	12/13	2/11
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7/16	9/14	8/16	10/15	9/16	11/15	10/16	12/15	11/16	1/15	12/16	2/14
7/17	9/15	8/17	10/16	9/17	11/16	10/17	12/16	11/17	1/16	12/17	2/15
7/18	9/16	8/18	10/17	9/18	11/17	10/18	12/17	11/18	1/17	12/18	2/16
7/19	9/17	8/19	10/18	9/19	11/18	10/19	12/18	11/19	1/18	12/19	2/17
7/20	9/18	8/20	10/19	9/20	11/19	10/20	12/19	11/20	1/19	12/20	2/18
7/21	9/19	8/21	10/20	9/21	11/20	10/21	12/20	11/21	1/20	12/21	2/19
7/22	9/20	8/22	10/21	9/22	11/21	10/22	12/21	11/22	1/21	12/22	2/20
7/23	9/21	8/23	10/22	9/23	11/22	10/23	12/22	11/23	1/22	12/23	2/21
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7/25	9/23	8/25	10/24	9/25	11/24	10/25	12/24	11/25	1/24	12/25	2/23
7/26	9/24	8/26	10/25	9/26	11/25	10/26	12/25	11/26	1/25	12/26	2/24
7/27	9/25	8/27	10/26	9/27	11/26	10/27	12/26	11/27	1/26	12/27	2/25
7/28	9/26	8/28	10/27	9/28	11/27	10/28	12/27	11/28	1/27	12/28	2/26
7/29	9/27	8/29	10/28	9/29	11/28	10/29	12/28	11/29	1/28	12/29	2/27
7/30	9/28	8/30	10/29	9/30	11/29	10/30	12/29	11/30	1/29	12/30	2/28
7/31	9/29	8/31	10/30			10/31	12/30			12/31	3/1



## REGISTER PUBLISHING DEADLINES

*The Secretary of State's Office publishes the Register weekly. There is a three-week turnaround period between a deadline date and the publication date of the Register. The weekly deadline dates and issue dates are shown below. Council meetings and Register deadlines do not correlate. Also listed are the earliest dates on which an oral proceeding can be held on proposed rulemakings or proposed delegation agreements following publication of the notice in the Register.*

<b>Deadline Date (paper only) Friday, 5:00 p.m.</b>	<b>Register Publication Date</b>	<b>Oral Proceeding may be scheduled on or after</b>
December 12, 2014	January 2, 2015	February 2, 2015
December 19, 2014	January 9, 2015	February 9, 2015
December 26, 2014	January 16, 2015	February 16, 2015
January 2, 2015	January 23, 2015	February 23, 2015
January 9, 2015	January 30, 2015	March 2, 2015
January 16, 2015	February 6, 2015	March 9, 2015
January 23, 2015	February 13, 2015	March 16, 2015
January 30, 2015	February 20, 2015	March 23, 2015
February 6, 2015	February 27, 2015	March 30, 2015
February 13, 2015	March 6, 2015	April 6, 2015
February 20, 2015	March 13, 2015	April 13, 2015
February 27, 2015	March 20, 2015	April 20, 2015
March 6, 2015	March 27, 2015	April 27, 2015
March 13, 2015	April 3, 2015	May 4, 2015
March 20, 2015	April 10, 2015	May 11, 2015
March 27, 2015	April 17, 2015	May 18, 2015
April 3, 2015	April 24, 2015	May 26, 2015 (Tuesday)
April 10, 2015	May 1, 2015	June 1, 2015
April 17, 2015	May 8, 2015	June 8, 2015
April 24, 2015	May 15, 2015	June 15, 2015
May 1, 2015	May 22, 2015	June 22, 2015
May 8, 2015	May 29, 2015	June 29, 2015
May 15, 2015	June 5, 2015	July 6, 2015
May 22, 2015	June 12, 2015	July 13, 2015
May 29, 2015	June 19, 2015	July 20, 2015
June 5, 2015	June 26, 2015	July 27, 2015
June 12, 2015	July 3, 2015	August 3, 2015
June 19, 2015	July 10, 2015	August 10, 2015

## GOVERNOR'S REGULATORY REVIEW COUNCIL DEADLINES

The following deadlines apply to all Five-Year-Review Reports and any adopted rule submitted to the Governor's Regulatory Review Council. Council meetings and *Register* deadlines do not correlate. We publish these deadlines as a courtesy.

All rules and Five-Year Review Reports are due in the Council office by 5:00 p.m. of the deadline date. The Council's office is located at 100 N. 15th Ave., Suite 402, Phoenix, AZ 85007. For more information, call (602) 542-2058 or visit [www.grrc.state.az.us](http://www.grrc.state.az.us).

DEADLINE TO BE PLACED ON COUNCIL AGENDA	FINAL MATERIALS DUE FROM AGENCIES	DATE OF COUNCIL STUDY SESSION	DATE OF COUNCIL MEETING
November 17, 2014	December 17, 2014	December 30, 2014	January 6, 2015
December 15, 2014	January 14, 2015	January 27, 2015	February 3, 2015
January 20, 2015	February 11, 2015	February 24, 2015	March 3, 2015
February 17, 2015	March 18, 2015	March 31, 2015	April 7, 2015
March 16, 2015	April 15, 2015	April 28, 2015	May 5, 2015
April 20, 2015	May 13, 2015	May 28, 2015	June 2, 2015
May 18, 2015	June 17, 2015	June 30, 2015	July 7, 2015
June 15, 2015	July 15, 2015	July 28, 2015	August 4, 2015
July 20, 2015	August 12, 2015	August 25, 2015	September 1, 2015
August 17, 2015	September 16, 2015	September 29, 2015	October 6, 2015
September 21, 2015	October 14, 2015	October 27, 2015	November 3, 2015
October 19, 2015	November 12, 2015	November 24, 2015	December 1, 2015
November 16, 2015	December 16, 2015	December 29, 2015	January 5, 2016