



NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statement issued by agencies (A.R.S. § 41-1013(B)(14)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal

procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

NOTICE OF SUBSTANTIVE POLICY STATEMENT

BOARD OF BEHAVIORAL HEALTH EXAMINERS

[M16-267]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

2016-01 Exam Requirements for Substance Abuse Counselor Licensure

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

March 4, 2016

3. Summary of the contents of the substantive policy statement:

A.A.C. R4-6-704 establishes the approved examinations for substance abuse counselor licensure. The substantive policy clarified a "grandfathering" process for applicants who had previously taken an approved examination prior to rule changes effective 11/01/15.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

Revision – Rescind Substantive Policy Statement

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Donna Dalton
Address: Board of Behavioral Health Examiners
3443 N. Central Ave., Suite 1700
Phoenix, AZ 85012
Telephone: (602) 542-1811
Fax: (602) 364-0890
E-mail: donna.dalton@azbbhe.us
Web site: www.azbbhe.us

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

A person may obtain a copy of the substantive policy statement by contacting the individual listed in item 6, or visiting the Board's website at www.azbbhe.us.

**NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT****DEPARTMENT OF INSURANCE**

[M16-268]

- 1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**  
January 1, 2017 Operative Date of Principle-Based Valuation Manual (Regulatory Bulletin 2016-03).
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**  
The substantive policy statement was issued on October 21, 2016.
- 3. Summary of the content of the substantive policy statement:**  
The Regulatory Bulletin advises all life and health insurers that the operative date of the uniform valuation manual, for purposes of the Arizona Standard Valuation Law, A.R.S. § 20-510, is January 1, 2017.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**  
A.R.S. § 20-510.
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**  
This is a new statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**  
Name: Mary Kosinski  
Address: Department of Insurance  
2910 N. 44th St., Suite 210  
Phoenix, AZ 85018-7269  
Email: mkosinski@azinsurance.gov  
Telephone: (602) 364-3471
- 7. Information about where a person may obtain a copy of the substantive policy statement:**  
Copies of this policy are available via the internet at <http://insurance.az.gov> or from the person listed in question #6 for 25 cents per page.

**NOTICE OF AGENCY SUBSTANTIVE POLICY STATEMENT****DEPARTMENT OF INSURANCE**

[M16-269]

- 1. Subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:**  
Service Fees Charged by Surplus Lines Brokers
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**  
The substantive policy statement was issued on October 25, 2016.
- 3. Summary of the content of the substantive policy statement:**  
The Regulatory Bulletin clarifies that HB 2149 (Laws 2016, Chapter 38), effective August 6, 2016, did not change the requirements for surplus lines brokers (SLBs) to report and pay taxes and stamping fees for service fees that SLBs charge insureds.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**  
A.R.S. § 20-415
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**  
This is a new statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**  
Name: Scott Greenberg  
Address: Department of Insurance  
2910 N. 44th St., Suite 210  
Phoenix, AZ 85018-7269  
Email: sgreenberg@azinsurance.gov  
Telephone: (602) 364-3764



**7. Information about where a person may obtain a copy of the substantive policy statement:**

Copies of this policy are available via the internet at <http://insurance.az.gov> or from the person listed in question #6 for 25 cents per page.