



**STATE FINANCIAL DISCLOSURE STATEMENT**  
 (For use by all Public Officers and Candidates in the State of Arizona)

Name of Public Officer or Candidate: Andrew W. Gould

Address: 8993 W. Ruth Avenue Peoria, Arizona 85345  
 (please note: this address is public information and not subject to redaction)

Public Office Held or Sought: Justice, Arizona Supreme Court

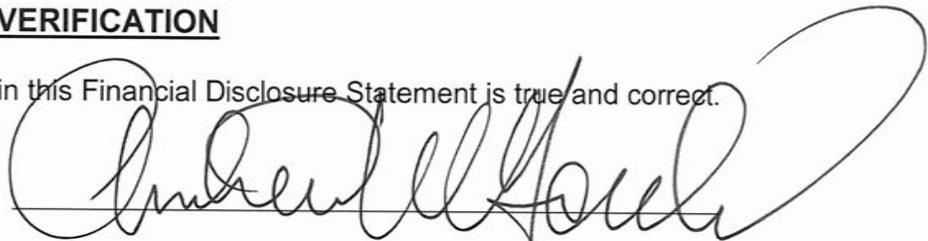
District / Division # (if applicable): \_\_\_\_\_

Please select the appropriate box that reflects your service for this filing year (**double-click the box and change the default value to "checked"**):

- I am a **public officer** filing this Financial Disclosure Statement covering the 12 months of calendar year 2016.
- I have been **appointed** to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.
- I am a **public officer who has served in the last full year of my final term**, which expires less than thirty-one days into calendar year 2017. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.
- I am a **candidate** for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of \_\_\_\_\_ 20\_\_\_\_, to the month of \_\_\_\_\_ 20\_\_\_\_.

**VERIFICATION**

I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.



Signature of Public Officer or Candidate  
 (Typewritten signatures accepted)

## A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of your financial interests and/or the financial interests of the member(s) of your household.<sup>1</sup>

### 1. Identification of Household Members and Business Interests

**What to disclose:** If you are married, is your spouse a member of your household?  **Yes**  **No**  **N/A** (If not married/widowed, select N/A)

Are any minor children<sup>2</sup> members of your household?  **Yes** (if yes, disclose how many \_\_\_\_\_)  **No**  **N/A** (If no children, select N/A)

For the remaining questions in this Financial Disclosure Statement, the term "member of your household" or "household member" will be defined as the person(s) who correspond to your "yes" answers above.

You are not required to disclose the names of your spouse or minor children when answering the questions below. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc. **Please note that if you choose to identify your spouse or minor children by name, the Secretary of State's office or other local filing officer are not expected to redact that information when posting this Financial Disclosure Statement on the internet or providing it in response to a public records request.**

### 2. Sources of Personal Compensation

**What to disclose:** In subsection (2)(a), provide the name and address of each employer who paid you or any member of your household more than \$1,000 in salary, wages, commissions, tips or other forms of compensation (other than "gifts") during the period covered by this report. Describe the nature of each employer's business and the type of services for which you or a member of your household were compensated.

In subsection (2)(b), if applicable, list anything of value that any other person (outside your household) received for your or a member of your household's use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person's services that benefited you, and provide information about the third-party who paid for the services on your behalf.

**You need not disclose** income of a business, including money you or any member of your household received that constitutes income paid to a business that you or your household member owns or does business as. This type of business income will be disclosed in Question 11 below.

Subsection (2)(a):

<sup>1</sup> If additional space is needed to report information on this Financial Disclosure Statement, select the appropriate reporting area and add additional rows to the form. For example, to report an additional employer's name in Section 2, right-click in any row, click "Insert," and click "Insert Rows Above" or "Insert Rows Below" as needed.

<sup>2</sup> Minor children include children 18 years old and younger whom you have joint or sole legal custody over.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>3</sup> BENEFITTED	NAME AND ADDRESS OF EMPLOYER WHO PROVIDED COMPENSATION > \$1,000	NATURE OF EMPLOYER'S BUSINESS	NATURE OF SERVICES PROVIDED BY PUBLIC OFFICER OR HOUSEHOLD MEMBER FOR EMPLOYER
Andrew W. Gould	Arizona Court of Appeals, Division 1 1501 W. Washington Phoenix, Arizona	Judge	Note: Served as Judge on Division One through January 5, 2017.
Connie T. Gould	The Cavanagh Law Firm 1850 N. Central Avenue, Suite 2400 Phoenix, Arizona	Attorney	

Subsection (2)(b) (if applicable):

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>3</sup> BENEFITTED	NAME AND ADDRESS OF PERSON WHO PROVIDED SERVICES VALUED OVER \$1,000 FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NATURE OF SERVICES PROVIDED BY PERSON FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NAME AND ADDRESS OF THIRD PARTY WHO PAID FOR PERSON'S SERVICES ON YOUR OR YOUR HOUSEHOLD MEMBER'S BEHALF
N/A			

### 3. Professional, Occupational and Business Licenses

**What to disclose:** List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Question 11 below.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>4</sup> AFFECTED	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
Andrew W. Gould	Attorney Bar License		Arizona
Andrew W. Gould	Attorney Bar License		Federal District of Arizona

<sup>3</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

<sup>4</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

Andrew W. Gould	Attorney Bar License		Minnesota (inactive)
Connie T. Gould	Attorney Bar License		Arizona
Connie T. Gould	Attorney Bar License		Federal District of Arizona
Connie T. Gould	Attorney Bar License		Illinois; Federal District of Northern Illinois

#### 4. Personal Creditors

**What to disclose:** The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt<sup>5</sup> over \$1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the applicable box to indicate whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

**You need not disclose the following, which do not qualify as "personal debt":**

- Debts resulting from the ordinary conduct of a business (these will be disclosed in Section B below);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>4</sup> OWING THE DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
Andrew W. Gould/Connie T. Gould	Bank of America Crosspoint Parkway PO Box 9000 Getzville, NY 14068	Date: 2/22/1999 <input checked="" type="checkbox"/> Incurred <input type="checkbox"/> Discharged

<sup>5</sup> A "qualifying" debt is a personal debt *other than* the types of debts in the bullet point list above.

## 5. Personal Debtors

**What to disclose:** The name of each debtor who owed you or a member of your household a debt over \$1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category (double-click the applicable box and change the default value to "checked").

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>6</sup> OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
Andrew W. Gould/Connie T. Gould	John and Dorothy Gould	<input type="checkbox"/> \$1,000 - \$25,000 <input checked="" type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: 2/22/1999  <input checked="" type="checkbox"/> Incurred <input type="checkbox"/> Discharged

## 6. Gifts

**What to disclose:** The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over \$500, subject to the exceptions listed in the below "You need not disclose" paragraph. A "gift" means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration (reciprocal value) and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a "gift" for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona's lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household's duty to disclose gifts in this Financial Disclosure Statement.

**You need not disclose the following, which do not qualify as "gifts":**

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona's intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;
- Gifts received from any other member of the household;
- Gifts received by parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

<sup>6</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>7</sup> WHO RECEIVED GIFT(S) OVER \$500	NAME OF GIFT DONOR
NONE	

### 7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts

**What to disclose:** The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>7</sup> HAVING THE REPORTABLE RELATIONSHIP	NAME AND ADDRESS OF BUSINESS, ORGANIZATION, TRUST, OR NONPROFIT ORGANIZATION OR ASSOCIATION	DESCRIPTION OF OFFICE, POSITION OR FIDUCIARY RELATIONSHIP HELD BY THE PUBLIC OFFICER OR HOUSEHOLD MEMBER
None		

### 8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

**What to disclose:** The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over \$1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also, put a check mark to indicate the value of the debt (double-click the applicable box and change the default value to "checked").

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>8</sup> HAVING THE INTEREST	NAME AND ADDRESS OF BUSINESS, TRUST OR INVESTMENT FUND	DESCRIPTION OF THE BUSINESS, TRUST OR INVESTMENT FUND	APPROXIMATE EQUITY VALUE OF THE INTEREST
See attached for answer to question 8 and Exhibits attached hereto			<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +

<sup>7</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

<sup>8</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

## 9. Ownership of Bonds

**What to disclose:** Bonds issued by a state or local government agency worth more than \$1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also, put a check mark to indicate the value of the bonds (double-click the applicable box and change the default value to "checked").

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the bonds were acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the bonds were not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>8</sup> ISSUED BONDS	ISSUING STATE OR LOCAL GOVERNMENT AGENCY	APPROXIMATE VALUE OF BONDS	IF THE BONDS WERE FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
Andrew W. Gould	Bonds may have been acquired or sold with respect to any of the investments listed in response to question 8.	<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
	See also exhibits attached hereto	<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested

## 10. Real Property Ownership

**What to disclose:** Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked").

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

**You need not disclose:** Your primary residence or property you use for personal recreation.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>9</sup> THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
Andrew W. Gould Connie T. Gould John Gould Dorothy Gould	13871 N. 91 <sup>st</sup> Lane Peoria, AZ 85381 Lot No. 46 Villas at Desert Harbor	<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input checked="" type="checkbox"/> \$100,001 +	Date: 2/22/1999  <input checked="" type="checkbox"/> Acquired <input type="checkbox"/> Divested

### B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

#### 11. Business Names

**What to disclose:** The name of any business under which you or any member of your household owns or did business under (in other words, if you or your household member were self-employed) during the period covered by this Financial Disclosure Statement, which include any corporations, limited liability companies, partnerships, sole proprietorships or any other type of business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is "controlled" if you or any member of your household (individually or combined) had an ownership interest that amounts to more than 50%. A business is classified as "dependent," on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts more than 10%; *and* (2) the business received more than \$10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to more than 50% of the business' gross income for the period.

**Please note:** If the business was either controlled or dependent, check whether it was controlled or dependent (double-click the appropriate box and change the default value to "checked") in the last column below. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes in the last column below blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>10</sup> OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	CHECK THE APPROPRIATE BOX IF THE BUSINESS IS "CONTROLLED" BY OR "DEPENDENT" ON YOU OR A HOUSEHOLD MEMBER
None		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent

**Please note:** If a business listed in the foregoing Question 11 was neither "controlled" nor "dependent" during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Question 11 were "controlled" or "dependent," you need not complete the remainder of this Financial Disclosure Statement.

<sup>9</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

<sup>10</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

## 12. Controlled Business Information

**What to disclose:** The name of each controlled business listed in Question 11 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than \$10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below.

**You need not disclose:** The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a controlled business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY THE CONTROLLED BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CLIENT	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CLIENT (IF A BUSINESS)
None			

## 13. Dependent Business Information

**What to disclose:** The name of each dependent business listed in Question 11 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than \$10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below. Likewise, if the dependent business is also a controlled business, disclose the business only in Question 12 above and leave this question blank.

**You need not disclose:** The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE DEPENDENT BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CUSTOMER	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CUSTOMER (IF A BUSINESS)
None			

#### 14. Real Property Owned by a Controlled or Dependent Business

**What to disclose:** Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked"). If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

**You need not disclose:** If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> Acquired <input type="checkbox"/> Divested

#### 15. Controlled or Dependent Business' Creditors

**What to disclose:** The name and address of each creditor to which a controlled or dependent business owed more than \$10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

**You need not disclose:** If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS OWING THE QUALIFYING DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged
		<input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

### 16. Controlled or Dependent Business' Debtors

**What to disclose:** The name of each debtor who owed more than \$10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt"). Also disclose the approximate value of the debt by financial category (double-click the applicable box and change the default value to "checked").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

**You need not disclose:** If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER <sup>11</sup> OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged

<sup>11</sup> You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1," "minor child 2," etc.

**ANSWER TO QUESTION NO. 8: OWNERSHIP OR FINANCIAL INTEREST IN TRUSTS OR INVESTMENT FUNDS**

	<u>Name and Address</u>	<u>Owner</u>	<u>% Interest</u>	<u>Approximate Value</u>
1.	Wells Fargo Advisors 20551 N. Pima Road Suite 200 Scottsdale, AZ 85255	Andrew and Connie Gould	100%	\$100,001 +
2.	Transamerica Life Insurance Company (Annuity) 4333 Edgewood Road NE Cedar Rapids, IA 52499	Andrew and Connie Gould	100%	\$100,001 +
3.	State of Arizona Elected Officials Retirement Plan 1020 Missouri Ave. Phoenix, AZ	Andrew and Connie Gould	100%	\$100,001 +
4.	Nationwide Ret. Solutions State of Arizona 4747 N. 7 <sup>th</sup> Street Suite 418 Phoenix, AZ 85014	Andrew and Connie Gould	100%	\$100,001 +

**EXHIBIT 1**



# COMBINED SNAPSHOT

ANDREW AND CONNIE GOULD

December 1, 2016 - December 31, 2016

## Your Financial Advisor



Please visit us at: [www.wellsfargoadvisors.com](http://www.wellsfargoadvisors.com)

20551 NORTH PIMA ROAD  
SUITE 200  
SCOTTSDALE, AZ 85255

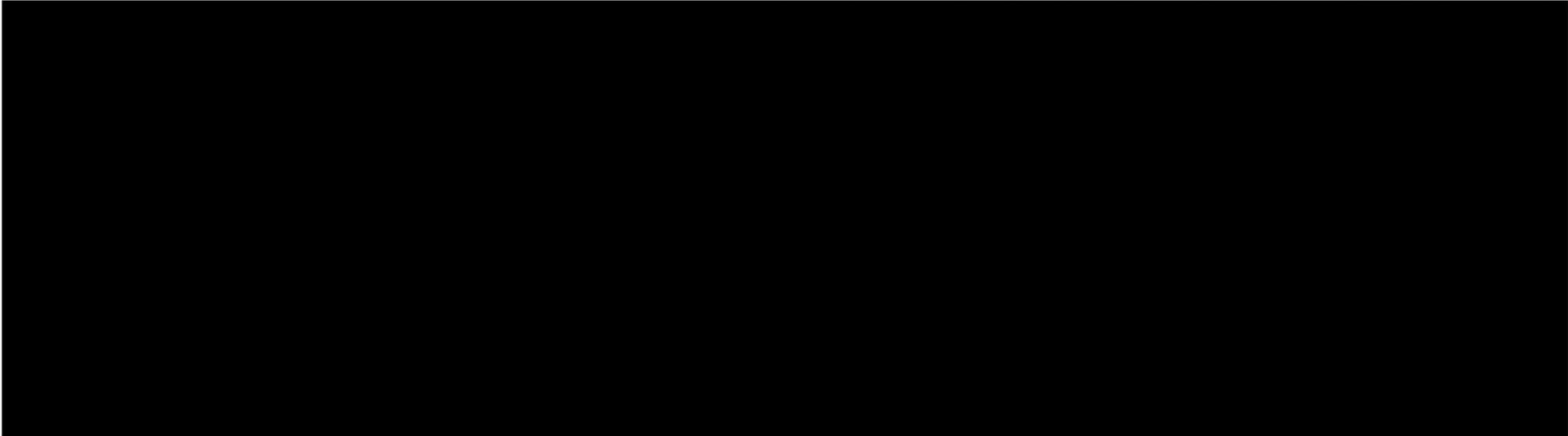
## What's inside your Combined Snapshot ...

ACCOUNT NAME	STATEMENT ENCLOSED		CURRENT VALUE ON DEC 31
CONNIE T GOULD (IRA) WFCS AS CUSTODIAN	Yes		112,552.59
ANDREW W GOULD (IRA) WFCS AS CUSTODIAN	Yes		41,575.63
ANDREW W GOULD FBO GEORGE GOULD 529 PLAN	Yes		23,443.37
ANDREW W GOULD FBO ANNA GOULD 529 PLAN	Yes		34,686.55
ANDREW W GOULD & CONNIE T GOULD JT WROS	Yes		167,895.47
ANDREW W GOULD & CONNIE T GOULD JT WROS	Yes		990,595.52
<b>Total</b>			<b>\$1,370,749.13</b>



**Additional information**

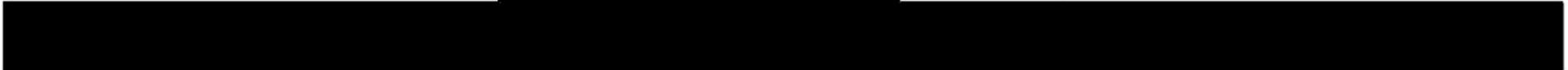
Gross proceeds	THIS PERIOD 2,607.61	THIS YEAR 31,844.40
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**Stocks, options & ETFs**

**Stocks and ETFs**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
ISHARES CORE S&P SMALLCAP IJR Acquired 08/17/16 S	12.83	105	14,439.60
ISHARES CORE U.S. AGGREGATE BOND AGG Acquired 08/17/16 S		99	10,697.94



CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
Acquired 12/13/16 S		6	648.36
<b>Total</b>	<b>10.08</b>	<b>105</b>	<b>\$11,346.30</b>
ISHARES S&P MIDCAP ET 400 VALUE IJJ			
Acquired 07/03/14 L		11	1,597.31
Acquired 09/24/14 L		3	435.63
Acquired 02/19/16 S		4	580.84
Acquired 03/08/16 S		32	4,646.72
<b>Total</b>	<b>6.45</b>	<b>50</b>	<b>\$7,260.50</b>
ISHARES S&P MIDCAP ET 400 GROWTH IJK			
Acquired 07/03/14 L		7	1,275.40
Acquired 02/19/16 S		4	728.80
Acquired 03/08/16 S		28	5,101.60
<b>Total</b>	<b>6.31</b>	<b>39</b>	<b>\$7,105.80</b>
ISHARES S&P 500 ET GROWTH IWW			
Acquired 07/03/14 L		39	4,749.81
Acquired 02/19/16 S		8	974.32
Acquired 03/08/16 S		86	10,473.94
<b>Total</b>	<b>14.39</b>	<b>133</b>	<b>\$16,198.07</b>
ISHARES S&P500 VALUE ET IVE			
Acquired 07/03/14 L		47	4,764.86
Acquired 02/19/16 S		11	1,115.18
Acquired 03/08/16 S		109	11,050.42
<b>Total</b>	<b>15.04</b>	<b>167</b>	<b>\$16,930.46</b>

DECEMBER 1, 2016 - DECEMBER 31, 2016

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
POWERSHARES DB ET COMMODITY INDEX TRACKING FUND DBC Acquired 03/08/16 S nc Acquired 07/13/16 S nc		140 8	2,217.60 126.72
<b>Total</b>	<b>2.08</b>	<b>148</b>	<b>\$2,344.32</b>
POWERSHARES EM MAR ET SOV DE PT PCY Acquired 08/17/16 S	2.74	109	3,080.34
SPDR BLOOMBERG ET BARCLAYS HIGH YIELD BOND ETF JNK Acquired 03/08/16 S Acquired 07/13/16 S		89 1	3,244.05 36.45
<b>Total</b>	<b>2.91</b>	<b>90</b>	<b>\$3,280.50</b>
VANGUARD FTSE ET DEVELOPED MARKETS ETF VEA Acquired 07/03/14 L Acquired 09/24/14 L Acquired 08/20/15 L Acquired 02/19/16 S Acquired 03/08/16 S		41 2 4 27 220	1,498.14 73.08 146.16 986.58 8,038.80
<b>Total</b>	<b>9.54</b>	<b>294</b>	<b>\$10,742.76</b>
VANGUARD GLOBAL ET EX-U.S. REAL ESTATE VNQI Acquired 08/20/15 L Acquired 02/19/16 S Acquired 03/08/16 S		11 2 25	545.27 99.14 1,239.25
<b>Total</b>	<b>1.67</b>	<b>38</b>	<b>\$1,883.66</b>

CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
VANGUARD INTL EQUITY ET INDEX FDS FTSE EMERGING MKTS ETF VWO			
Acquired 07/03/14 L		8	286.24
Acquired 08/20/15 L		18	644.04
Acquired 02/19/16 S		29	1,037.62
Acquired 03/08/16 S		232	8,300.96
Acquired 12/13/16 S		24	858.72
<b>Total</b>	<b>9.89</b>	<b>311</b>	<b>\$11,127.58</b>
VANGUARD REIT ET VNQ			
Acquired 07/03/14 L		8	660.24
Acquired 08/20/15 L		3	247.59
Acquired 02/19/16 S		2	165.06
Acquired 03/08/16 S		24	1,980.72
Acquired 12/13/16 S		5	412.65
<b>Total</b>	<b>3.08</b>	<b>42</b>	<b>\$3,466.26</b>
<b>Total Stocks and ETFs</b>	<b>97.03</b>		<b>\$109,206.15</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>97.03</b>		<b>\$109,206.15</b>

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

SNAPSHOT

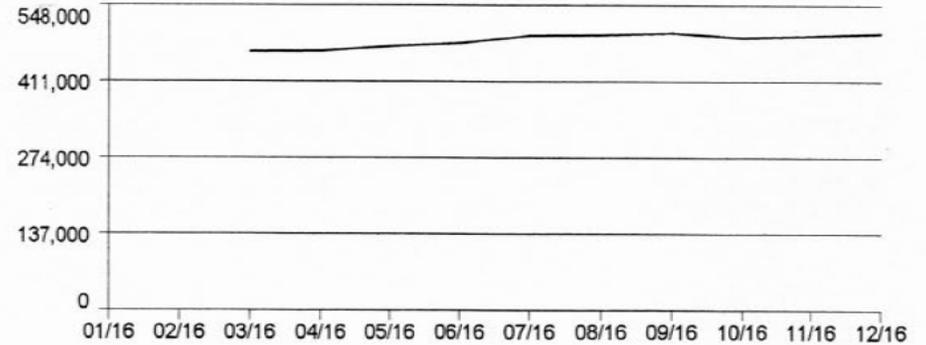
CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

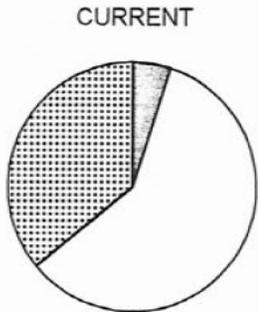
Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$493,005.59	\$0.00
Cash deposited	11.82	500,132.03
Securities deposited	0.00	0.00
Cash withdrawn	0.00	-40,885.58
Securities withdrawn	0.00	0.00
Change in value	4,747.41	38,518.37
<b>Closing value</b>	<b>\$497,764.82</b>	<b>\$497,764.82</b>

Value over time



Portfolio summary



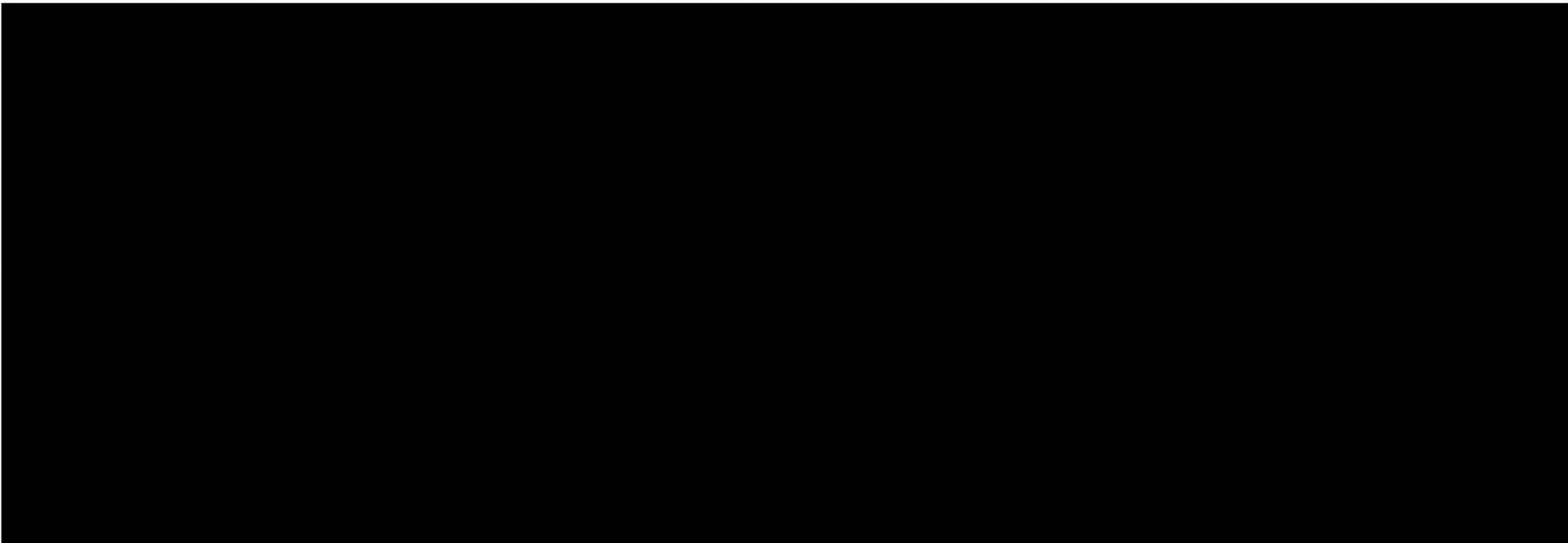
ASSETS	ASSET TYPE
	Cash and sweep balances
	Stocks, options & ETFs
	Fixed income securities
	Mutual funds
	<b>Asset value</b>

	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
	24,461.25	4.91	2
	294,151.00	59.09	4,709
	0.00	0.00	0
	179,152.57	35.99	6,487
<b>Asset value</b>	<b>\$497,764.82</b>	<b>100%</b>	<b>\$11,198</b>



CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016



### Stocks, options & ETFs

#### Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
FIRST DATA CORP CL A FDC Acquired 04/20/16 S	1.71	600	8,514.00



CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
FIRST TR VALUE LINE FVD			
Acquired 04/04/16 S		300	8,409.00
Acquired 08/01/16 S		200	5,606.00
<b>Total</b>	<b>2.82</b>	<b>500</b>	<b>\$14,015.00</b>
FIRST TRUST CONSUMERETF DISCRETIONARY ALPHADEx FUND FXD			
Acquired 04/20/16 S	2.85	400	14,200.00
FIRST TRUST DOW JONES INTERNET INDEX FUND FDN			
Acquired 04/04/16 S		100	7,983.00
Acquired 04/20/16 S		200	15,966.00
<b>Total</b>	<b>4.81</b>	<b>300</b>	<b>\$23,949.00</b>
FIRST TRUST LARGE ET CAP VALUE OPPORTUNITIES FTA			
Acquired 04/04/16 S		338	15,842.06
Acquired 04/04/16 S		262	12,279.94
Acquired 04/04/16 S		100	4,687.00
<b>Total</b>	<b>6.59</b>	<b>700</b>	<b>\$32,809.00</b>
FIRST TRUST LARGE ET CAP GROWTH OPPORTUNITIES ALPHADEx FUND FTC			
Acquired 04/04/16 S		650	31,876.00
Acquired 04/04/16 S		100	4,904.00
<b>Total</b>	<b>7.39</b>	<b>750</b>	<b>\$36,780.00</b>
FIRST TRUST NASDAQ100ET TECHNOLOGY INDEXFUND QTEC			
Acquired 04/05/16 S		375	19,747.50
Acquired 04/05/16 S		325	17,114.50
<b>Total</b>	<b>7.41</b>	<b>700</b>	<b>\$36,862.00</b>

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
ISHARES ET HIGH DIVIDEND HDV Acquired 04/04/16 S	5.78	350	28,787.50
MOBILEYE NV MBLY Acquired 04/04/16 S	1.91	250	9,530.00
POWERSHARES AEROSPACE & DEFENSE PORTFOLIO PPA Acquired 09/14/16 S	2.52	300	12,522.00
QUALCOMM INC QCOM Acquired 04/04/16 S	3.27	250	16,300.00
SELECT SECTOR SPDR TR CONSUMER STAPLES XLP Acquired 04/04/16 S	5.19	500	25,855.00
SONY CORP ADR NEW SNE Acquired 04/04/16 S	1.69	300	8,409.00
ST JUDE MEDICAL INC STJ Acquired 04/04/16 S	2.42	150	12,028.50
WESTERN DIGITAL CORP WDC Acquired 08/01/16 S	2.73	200	13,590.00
<b>Total Stocks and ETFs</b>	<b>59.09</b>		<b>\$294,151.00</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>59.09</b>		<b>\$294,151.00</b>

CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

### Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
INVESCO CORE PLUS BOND FUND CLASS Y CPBYX			
On Reinvestment			
Acquired 04/04/16 S		1,867.41400	19,981.32
Reinvestments S		41.82700	447.55
<b>Total</b>	<b>4.10</b>	<b>1,909.24100</b>	<b>\$20,428.87</b>
	Client Investment (Excluding Gain/Loss on Client Investment)		\$20,000.00
			\$428.87
DELAWARE CORE PLUS BOND FUND I DUGIX			
On Reinvestment			
Acquired 05/05/16 S		1,184.83400	9,763.03
Reinvestments S		21.60200	178.00
<b>Total</b>	<b>2.00</b>	<b>1,206.43600</b>	<b>\$9,941.03</b>
	Client Investment (Excluding Gain/Loss on Client Investment)		\$10,000.00
			-\$58.97
JOHN HANCOCK SOVEREIGN BOND FUND CLASS I JHBIX			
On Reinvestment			
Acquired 04/04/16 S		1,273.07400	19,923.61
Reinvestments S		28.76500	450.17
<b>Total</b>	<b>4.09</b>	<b>1,301.83900</b>	<b>\$20,373.78</b>
	Client Investment (Excluding Gain/Loss on Client Investment)		\$20,000.00
			\$373.78

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Mutual Funds**

**Open End Mutual Funds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE	ESTIMATED
JANUS INVT FD INTECH US MANAGED VOLATILITY FD I ISHARES JRSIX On Reinvestment Acquired 04/04/16 S Reinvestments S		1,582.27800 23.35800	15,284.80 225.64	
<b>Total</b>	<b>3.12</b>	<b>1,605.63600</b>	<b>\$15,510.44</b>	
	Client Investment (Excluding Gain/Loss on Client Investment)		\$15,000.00 \$510.44	
JPMORGAN TR II CORE BD FD SELECT CL WOBDX On Reinvestment Acquired 04/04/16 S Reinvestments S		1,693.48000 37.89600	19,441.14 435.05	
<b>Total</b>	<b>3.99</b>	<b>1,731.37600</b>	<b>\$19,876.19</b>	
	Client Investment (Excluding Gain/Loss on Client Investment)		\$20,000.00 -\$123.81	
WELLS FARGO FUNDS WELLS FARGO HIGH YIELD BOND FUND INSTL CL EKHIX On Reinvestment Acquired 04/04/16 S Reinvestments S		7,936.50000 212.54700	26,190.45 701.40	
<b>Total</b>	<b>5.40</b>	<b>8,149.04700</b>	<b>\$26,891.85</b>	
	Client Investment (Excluding Gain/Loss on Client Investment)		\$25,000.00 \$1,891.85	



CONNIE T GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE	ESTIMATED
OPPENHEIMER FUNDS FUNDAMENTAL ALTERNATIVES FUND Y QOPYX On Reinvestment Acquired 04/04/16 S Reinvestments S		369.00400 2.02700	10,140.23 55.70	
<b>Total</b>	<b>2.05</b>	<b>371.03100</b>	<b>\$10,195.93</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$10,000.00 \$195.93	
PRINCIPAL FUNDS INC PREFERRED SECURITIES INSTAL CLASS PPSIX On Reinvestment Acquired 04/04/16 S Reinvestments S		2,510.04000 115.06700	24,824.29 1,138.01	
<b>Total</b>	<b>5.22</b>	<b>2,625.10700</b>	<b>\$25,962.30</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$25,000.00 \$962.30	
TRANSAMERICA FDS FLEXIBLE INCOME CLASS I TFXIX On Reinvestment Acquired 04/04/16 S Reinvestments S		2,205.07200 57.39400	20,286.66 528.02	
<b>Total</b>	<b>4.18</b>	<b>2,262.46600</b>	<b>\$20,814.68</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$20,000.00 \$814.68	
<b>Total Open End Mutual Funds</b>	<b>34.15</b>		<b>\$169,995.07</b>	

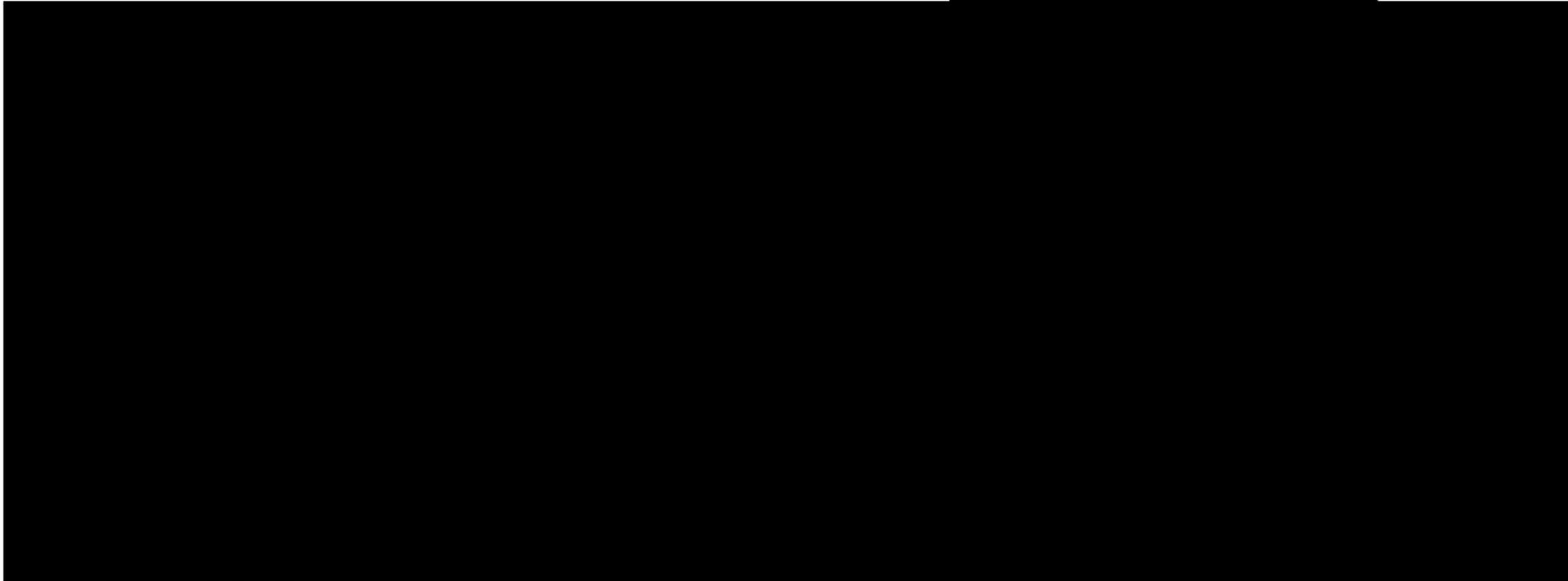
**Mutual Funds**

**Closed End Mutual Funds**

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
COHEN & STEERS QUALITY INCOME REALTY FUND INC RQI			
Acquired 04/04/16 S		628	7,667.88
Acquired 04/04/16 S		122	1,489.62
<b>Total</b>	<b>1.84</b>	<b>750</b>	<b>\$9,157.50</b>
<b>Total Closed End Mutual Funds</b>	<b>1.84</b>		<b>\$9,157.50</b>
<b>Total Mutual Funds</b>	<b>35.99</b>		<b>\$179,152.57</b>

DECEMBER 1, 2016 - DECEMBER 31, 2016



Stocks, Options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
ISHARES CORE S&P SMALLCAP IJR Acquired 08/17/16 S	12.90	39	5,363.28
ISHARES CORE U.S. AGGREGATE BOND AGG Acquired 08/17/16 S		36	3,890.16



ANDREW W GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
Acquired 12/13/16 S		3	324.18
<b>Total</b>	<b>10.14</b>	<b>39</b>	<b>\$4,214.34</b>
ISHARES S&P MIDCAP ET 400 VALUE IJJ			
Acquired 07/03/14 L		11	1,597.31
Acquired 09/24/14 L		3	435.63
Acquired 02/19/16 S		4	580.84
<b>Total</b>	<b>6.29</b>	<b>18</b>	<b>\$2,613.78</b>
ISHARES S&P MIDCAP ET 400 GROWTH IJK			
Acquired 07/03/14 L		11	2,004.20
Acquired 02/19/16 S		4	728.80
<b>Total</b>	<b>6.57</b>	<b>15</b>	<b>\$2,733.00</b>
ISHARES S&P 500 ET GROWTH IVW			
Acquired 07/03/14 L		41	4,993.39
Acquired 02/19/16 S		8	974.32
<b>Total</b>	<b>14.35</b>	<b>49</b>	<b>\$5,967.71</b>
ISHARES S&P500 VALUE ET IVE			
Acquired 07/03/14 L		52	5,271.76
Acquired 02/19/16 S		11	1,115.18
<b>Total</b>	<b>15.36</b>	<b>63</b>	<b>\$6,386.94</b>
POWERSHARES DB ET COMMODITY INDEX TRACKING FUND DBC			
Acquired 07/03/14 L nc		11	174.24
Acquired 08/20/15 L nc		24	380.16
Acquired 02/19/16 S nc		20	316.80
<b>Total</b>	<b>2.10</b>	<b>55</b>	<b>\$871.20</b>

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
POWERSHARES EM MAR ET SOV DE PT PCY Acquired 08/17/16 S	2.72	40	1,130.40
SPDR BLOOMBERG ET BARCLAYS HIGH YIELD BOND ETF JNK Acquired 08/20/15 L Acquired 02/19/16 S		22 11	801.90 400.95
<b>Total</b>	<b>2.89</b>	<b>33</b>	<b>\$1,202.85</b>
VANGUARD FTSE ET DEVELOPED MARKETS ETF VEA Acquired 04/28/14 L Acquired 07/03/14 L Acquired 09/24/14 L Acquired 08/20/15 L Acquired 02/19/16 S		24 52 3 4 26	876.96 1,900.08 109.62 146.16 950.04
<b>Total</b>	<b>9.58</b>	<b>109</b>	<b>\$3,982.86</b>
VANGUARD GLOBAL ET EX-U.S. REAL ESTATE VNQI Acquired 08/20/15 L Acquired 02/19/16 S		12 2	594.84 99.14
<b>Total</b>	<b>1.67</b>	<b>14</b>	<b>\$693.98</b>
VANGUARD INTL EQUITY ET INDEX FDS FTSE EMERGING MKTS ETF VWO Acquired 07/03/14 L Acquired 08/20/15 L Acquired 02/19/16 S Acquired 12/13/16 S		59 19 28 9	2,111.02 679.82 1,001.84 322.02
<b>Total</b>	<b>9.90</b>	<b>115</b>	<b>\$4,114.70</b>

ANDREW W GOULD (IRA)  
WFCS AS CUSTODIAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
VANGUARD REIT ET VNQ			
Acquired 07/03/14 L		9	742.77
Acquired 08/20/15 L		3	247.59
Acquired 02/19/16 S		2	165.06
Acquired 12/13/16 S		1	82.53
<b>Total</b>	<b>2.98</b>	<b>15</b>	<b>\$1,237.95</b>
<b>Total Stocks and ETFs</b>	<b>97.44</b>		<b>\$40,512.99</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>97.44</b>		<b>\$40,512.99</b>

no Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

ANDREW W GOULD FBO  
 GEORGE GOULD  
 529 PLAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Portfolio detail**

**Mutual Funds**

**Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE	ESTIMATED
FIDELITY ADVISOR 529 *** PLAN PORT 2016 CL A On Reinvestment Acquired UNKNOWN S nc	100.00	1,319.26700	23,443.37	
<b>Total Open End Mutual Funds</b>	<b>100.00</b>		<b>\$23,443.37</b>	
<b>Total Mutual Funds</b>	<b>100.00</b>		<b>\$23,443.37</b>	

\*\*\* These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing mutual fund. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements.

## Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

ANDREW W GOULD FBO  
ANNA GOULD  
529 PLAN

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Portfolio detail**

**Mutual Funds**

**Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
FIDELITY ADVISORS 529 *** COLLEGE PORTFOLIO CLASS A On Reinvestment Acquired UNKNOWN S nc	100.00	2,109.88800	34,686.55
<b>Total Open End Mutual Funds</b>	<b>100.00</b>		<b>\$34,686.55</b>
<b>Total Mutual Funds</b>	<b>100.00</b>		<b>\$34,686.55</b>

\*\*\* These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing mutual fund. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements.

## Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

ANDREW W GOULD &  
CONNIE T GOULD JT WROS

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Portfolio detail

### Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

#### Variable Annuities

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
TRANSAMERICA LIFE INS CO INS PRODS TRANSAMERICA	100.00	12/29/16	167,895.47
ISSUE DATE 12/10/13 TOTAL PREMIUM(S) \$160,407.02			
Sub Funds	Units	Estimated Value	% Market Value
TA JPMGN TACT ALLOC	15,588.49590	167,895.47	100.00
SURRENDER VALUE (As of 12/28/16)		164,564.16	
ELECTED BENEFIT(S) (As of 12/28/16)			
RIC-JOINT-DESIGNATED			
PROTECTED BENEFIT BASE		185,691.16	
DEATH BENEFIT		167,675.07	
RETURN OF PREMIUM			
<b>Total Variable Annuities</b>	<b>100.00</b>		<b>\$167,895.47</b>
<b>Total Annuities/Insurance</b>	<b>100.00</b>		<b>\$167,895.47</b>

ANDREW W GOULD &  
CONNIE T GOULD JT WROS

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
ALCOA CORP AA			
Acquired 12/18/15 L		110.66666	3,107.52
Acquired 01/22/16 S		55.33334	1,553.76
Acquired 11/22/16 S		234	6,570.72
<b>Total</b>	<b>1.13</b>	<b>400</b>	<b>\$11,232.00</b>

DECEMBER 1, 2016 - DECEMBER 31, 2016

**Stocks, options & ETFs**

**Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
ARCONIC INC ARNC			
Acquired 12/18/15 L		333.33333	6,180.00
Acquired 01/22/16 S		166.66667	3,090.00
<b>Total</b>	<b>0.94</b>	<b>500</b>	<b>\$9,270.00</b>
EMERSON ELECTRIC CO EMR			
Acquired 07/28/15 L		200	11,150.00
Acquired 07/28/15 L		150	8,362.50
<b>Total</b>	<b>1.97</b>	<b>350</b>	<b>\$19,512.50</b>
FACEBOOK INC CLASS A FB			
Acquired 10/17/13 L	3.48	300	34,515.00
FIRST TRUST ET NYSE ARCA BIOTEC FBT			
Acquired 07/26/13 L	2.29	250	22,720.00
FIRST TRUST CONSUMERETF DISCRETIONARY ALPHADEX FUND FXD			
Acquired 07/26/13 L		500	17,750.00
Acquired 10/17/13 L		350	12,424.99
Reinvestments L		4.35200	154.50
<b>Total</b>	<b>3.06</b>	<b>854.35200</b>	<b>\$30,329.49</b>
GENERAL ELECTRIC COMPANY GE			
Acquired 05/22/12 L		500	15,799.99
Reinvestments L		42.79200	1,352.23
<b>Total</b>	<b>1.73</b>	<b>542.79200</b>	<b>\$17,152.22</b>
HALLIBURTON COMPANY HAL			
Acquired 12/02/11 L nc		400	21,636.00
Acquired 01/22/16 S		100	5,409.00

ANDREW W GOULD &  
CONNIE T GOULD JT WROS

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Stocks, options & ETFs

### Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
Reinvestments L		12.15700	657.57
<b>Total</b>	<b>2.80</b>	<b>512.15700</b>	<b>\$27,702.57</b>
MICROSOFT CORP MSFT			
Acquired 10/17/13 L		400	24,855.99
Reinvestments L		13.86300	861.45
<b>Total</b>	<b>2.60</b>	<b>413.86300</b>	<b>\$25,717.44</b>
PALO ALTO NETWORKS PANW			
Acquired 11/22/16 S		100	12,505.00
Acquired 11/22/16 S		100	12,505.00
<b>Total</b>	<b>2.52</b>	<b>200</b>	<b>\$25,010.00</b>
QUALCOMM INC QCOM			
Acquired 05/22/12 L		175	11,410.00
Reinvestments L		8.40100	547.74
<b>Total</b>	<b>1.21</b>	<b>183.40100</b>	<b>\$11,957.74</b>
SELECT SECTOR SPDR TR CONSUMER STAPLES XLP			
Acquired 05/22/12 L		300	15,513.00
Acquired 09/14/16 S		200	10,341.99
Reinvestments L		21.28300	1,100.55
<b>Total</b>	<b>2.72</b>	<b>521.28300</b>	<b>\$26,955.54</b>
<b>Total Stocks and ETFs</b>	<b>26.46</b>		<b>\$262,074.50</b>
<b>Total Stocks, options &amp; ETFs</b>	<b>26.46</b>		<b>\$262,074.50</b>

no Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

**Mutual Funds**

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

**Open End Mutual Funds**

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
INVESTCO TECHNOLOGY FD CLASS Y ITYYX				
On Reinvestment				
Acquired 12/31/09 L nc		1,009.56000	34,860.11	
Acquired 05/22/12 L		294.11800	10,155.89	
Acquired 10/17/13 L		257.53300	8,892.61	
Reinvestments L		601.67100	20,775.70	
Reinvestments S		145.99300	5,041.14	
<b>Total</b>	<b>8.05</b>	<b>2,308.87500</b>	<b>\$79,725.45</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$47,151.60	
			\$32,573.85	
FIDELITY ADVISOR HEALTH CARE FD CL I FHCIX				
On Reinvestment				
Acquired 07/29/08 L nc		212.31100	7,895.85	
Acquired 09/15/08 L nc		4.68500	174.24	
Acquired 05/14/09 L nc		218.81300	8,137.65	
Acquired 03/23/11 L nc		395.41300	14,705.41	
Acquired 05/22/12 L		202.67500	7,537.48	
Reinvestments L m		623.85600	23,201.20	
<b>Total</b>	<b>6.22</b>	<b>1,657.75300</b>	<b>\$61,651.83</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$22,923.74	
			\$38,728.09	
FIDELITY ADVISOR TOTAL BOND CLASS I FEPIX				
On Reinvestment				
Acquired 12/17/14 L		2,819.54900	29,605.26	
Reinvestments L		97.40400	1,022.73	
Reinvestments S		94.57500	993.05	

ANDREW W GOULD &  
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DECEMBER 1, 2016 - DECEMBER 31, 2016

**Mutual Funds**

**Open End Mutual Funds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE	ESTIMATED
<b>Total</b>	<b>3.19</b>	<b>3,011.52800</b>	<b>\$31,621.04</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$30,000.00	
			\$1,621.04	
JOHN HANCOCK INVTS FUNDAMENTAL LARGE CAP CORE FD CL I JLVIX On Reinvestment				
Acquired 05/29/08 L nc		756.22900	34,726.03	
Acquired 11/10/08 L nc		118.95300	5,462.32	
Acquired 10/17/13 L		561.79800	25,797.77	
Reinvestments L m		105.47500	4,843.42	
Reinvestments S		53.48800	2,456.16	
<b>Total</b>	<b>7.40</b>	<b>1,595.94300</b>	<b>\$73,285.70</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$47,174.90	
			\$26,110.80	
GOLDMAN SACHS TR GOVT INCOME FD INSTL SHS GSOIX On Reinvestment				
Acquired 12/18/15 L		2,033.89800	29,715.25	
Acquired 12/18/15 L		1,355.93200	19,810.17	
Reinvestments S		64.88600	947.98	
<b>Total</b>	<b>5.10</b>	<b>3,454.71600</b>	<b>\$50,473.40</b>	
	Client Investment (Excluding Gain/Loss on Client Investm		\$50,000.00	
			\$473.40	
GOLDMAN SACHS TR SMALL CP GROWTH INSIGHTS FUND INSTL SHS GSIOX On Reinvestment				
Acquired 12/18/15 L		1,261.43200	46,042.26	
Reinvestments S		2.45100	89.46	
<b>Total</b>	<b>4.66</b>	<b>1,263.88300</b>	<b>\$46,131.72</b>	

DECEMBER 1, 2016 - DECEMBER 31, 2016

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
			\$40,000.00
			\$6,131.72
JANUS INVT FD INTECH US MANAGED VOLATILITY FD I SHARES JRSIX On Reinvestment Acquired 07/01/16 S Reinvestments S		2,865.04600 42.29400	27,676.34 408.56
<b>Total</b>	<b>2.84</b>	<b>2,907.34000</b>	<b>\$28,084.90</b>
			\$28,249.35
			-\$164.45
LEGG MASON PARTNERS INCOME TR WESTERN ASSET SHORT DRTN MUN INC CL I SMDYX On Reinvestment Acquired 02/04/11 L nc Reinvestments L m Reinvestments S		9,957.41300 1,201.45400 125.44700	50,384.50 6,079.36 634.76
<b>Total</b>	<b>5.76</b>	<b>11,284.31400</b>	<b>\$57,098.62</b>
			\$50,583.65
			\$6,514.97
MFS CORPORATE BOND FD CL I MBDIX On Reinvestment Acquired 07/31/09 L nc Acquired 08/12/09 L nc Acquired 05/22/12 L Reinvestments L m Reinvestments S		997.18300 995.47100 363.90100 725.44700 108.91900	13,701.29 13,677.77 5,000.01 9,967.61 1,496.57
<b>Total</b>	<b>4.43</b>	<b>3,190.92100</b>	<b>\$43,843.25</b>
			\$29,140.93
			\$14,702.32

ANDREW W GOULD &  
CONNIE T GOULD JT WROS

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
MORGAN STANLEY INSTL FD TR CORE PLUS FIXED INCOME PORTFOLIO CL I MPFIX On Reinvestment Acquired 05/11/16 S Reinvestments S		2,804.73000 68.44700	30,206.94 737.17
<b>Total</b>	<b>3.12</b>	<b>2,873.17700</b>	<b>\$30,944.11</b>
	Client Investment (Excluding Gain/Loss on Client Investm		\$29,870.37 \$1,073.74
PRINCIPAL FDS INC GLOBAL DIVERSIFIED INCOME FD INSTL CL PGDIX On Reinvestment Acquired 12/17/14 L Reinvestments L Reinvestments S		1,802.45100 107.99700 113.69100	24,242.96 1,452.56 1,529.14
<b>Total</b>	<b>2.75</b>	<b>2,024.13900</b>	<b>\$27,224.66</b>
	Client Investment (Excluding Gain/Loss on Client Investm		\$25,000.00 \$2,224.66
J P MORGAN TR II MID CAP GROWTH FUND SELECT CL HLGEX On Reinvestment Acquired 05/29/08 L nc Acquired 11/10/08 L nc Acquired 12/05/08 L nc Acquired 10/17/13 L Reinvestments L m Reinvestments S		865.29400 65.75100 35.69900 62.89300 487.84900 0.66300	23,786.93 1,807.49 981.37 1,728.93 13,410.96 18.23
<b>Total</b>	<b>4.21</b>	<b>1,518.14900</b>	<b>\$41,733.91</b>
	Client Investment (Excluding Gain/Loss on Client Investm		\$22,401.79 \$19,332.12

DECEMBER 1, 2016 - DECEMBER 31, 2016

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
PIONEER SER TR II SELECT MIDCAP GROWTH FD CL Y GROYX On Reinvestment Acquired 08/03/09 L nc Reinvestments L Reinvestments S		1,118.34600 328.28300 22.72000	42,027.44 12,336.87 853.82
<b>Total</b>	<b>5.57</b>	<b>1,469.34900</b>	<b>\$55,218.13</b>
	Client Investment (Excludi		\$23,493.17
	Gain/Loss on Client Invest		\$31,724.96
THORNBURG INVT TR INVT INCOME BUILDER FD CL I TIBIX On Reinvestment Acquired 05/29/08 L nc Reinvestments L m Reinvestments S		661.07200 462.80900 48.81500	13,287.54 9,302.46 981.18
<b>Total</b>	<b>2.38</b>	<b>1,172.69600</b>	<b>\$23,571.18</b>
	Client Investment (Excludi		\$13,962.82
	Gain/Loss on Client Invest		\$9,608.36
TRANSAMERICA FUNDS SMALL/MID CAP VALUE CLASS I TSVIX On Reinvestment Acquired 12/31/09 L nc Reinvestments L m Reinvestments S		879.03600 487.79500 81.76600	24,278.97 13,472.90 2,258.37

ANDREW W GOULD &  
CONNIE T GOULD JT WROS

DECEMBER 1, 2016 - DECEMBER 31, 2016

## Mutual Funds

### Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
Total	4.04	1,448.59700	\$40,010.24
		Client Investment (Excluding Reinvestments)	\$14,816.51
		Gain/Loss on Client Investment (Including Reinvestments)	\$25,193.73
<b>Total Open End Mutual Funds</b>	<b>69.72</b>	<b>\$602,946.37</b>	<b>\$690,618.14</b>

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.  
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

### Closed End Mutual Funds

Closed End Fund shares are priced at the market which may be more or less than its net asset value.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT MARKET VALUE
COHEN & STEERS QUALITY INCOME REALTY FUND INC RQI			
Acquired 01/22/16 S	0.92	750	9,157.50
<b>Total Closed End Mutual Funds</b>	<b>0.92</b>		<b>\$9,157.50</b>
<b>Total Mutual Funds</b>	<b>70.64</b>		<b>\$699,775.64</b>

**EXHIBIT 2**



Attn: Annuity Customer Service  
 4333 Edgewood Road NE  
 Cedar Rapids, IA 52499

**Transamerica Axiom<sup>SM</sup> II**  
 October 1, 2016 - December 31, 2016

**Your Representative:**

WELLS FARGO ADV INS AGCY LLC  
 20551 N PIMA RD STE 200  
 SCOTTSDALE AZ 85255-9154  
 Phone: 480-419-2053

**ANDREW W GOULD**  
**CONNIE T GOULD**  
 8993 W RUTH AVE  
 PEORIA AZ 85345

[www.transamerica.com](http://www.transamerica.com)

**Policy Detail**

**Annuitant:** ANDREW W GOULD  
**Owner:** ANDREW W GOULD  
**Joint Owner:** CONNIE T GOULD

**Product:** Transamerica Axiom<sup>SM</sup> II  
**Death Benefit:** Return of Premium  
**Living Benefit:** Retirement Income Choice 1.6  
**Type:** Non-Qualified

Please refer to the Definitions and Disclosures Section for further information.

**Value Summary**

	<b>This Period</b>	<b>Year To Date</b>	<b>Since Issue</b>
Beginning Policy Value	<b>\$170,848.43</b>		
Total Premium Payments	\$0.00	\$0.00	\$160,407.02
Total Withdrawals	\$0.00	\$0.00	\$0.00
Value Change	- \$2,640.89		
<b>Ending Policy Value as of 12/31/2016</b>	<b>\$168,207.54</b>		

Surrender Value as of 09/30/2016 \$166,121.56  
 Surrender Value as of 12/31/2016 \$165,075.29

Minimum Required Cash Value as of 12/31/2016 \$165,075.29

Please refer to the Definitions and Disclosures Section for further information.

**Living Benefit Detail**

Retirement Income Choice 1.6 Issued: 12/10/2013  
 As of 12/31/2016

<b>Highest Monthiversary<sup>SM</sup> Value 8/10/2016</b>	<b>Withdrawal Base</b>	<b>Rider Withdrawal Amount</b>	<b>Remaining Rider Withdrawal Amount</b>
<b>\$171,465.64</b>	<b>\$185,691.16</b>	<b>\$0.00</b>	<b>\$0.00</b>



## Living Benefit Detail continued

The **Highest Monthiversary<sup>SM</sup> Value** is the greater of 1) your current **realized** Highest Monthiversary<sup>SM</sup> Value (locked in from a previous rider year) OR 2) the highest **tracked** Monthiversary<sup>SM</sup> value (from the current rider year). This value may be higher or lower than your current withdrawal base. This may occur because the withdrawal base is calculated by identifying the highest of: 1) the current withdrawal base, 2) the highest policy value on any rider Monthiversary<sup>SM</sup> in the last 12 months, or 3) the withdrawal base increased by an **annual 5% compounded growth rate** if applicable. Retirement Income Choice<sup>®</sup> 1.6 guarantees the rider withdrawal amount each rider year for the life of the annuitant, and spouse, if the joint life option is elected, regardless of your policy value. The remaining rider withdrawal amount is the amount available for withdrawal until the next rider anniversary date.

For assistance in determining your Rider Withdrawal Amount when you become eligible to start taking the amount please contact the insurance company.

## Death Benefits Detail

As of 12/31/2016

Death benefit \$168,207.54

## Your Current Allocation

As of 12/31/2016

Asset Class	Investment Option and Allocation %	
Asset Allocation	TA JPMorgan Tactical Allocation	100%

Percentages may not be exact due to rounding.

## Your Allocation Detail

Investment Option	September 30, 2016			December 31, 2016		
	# of Units	Unit Value	Value	# of Units	Unit Value	Value
TA JPMorgan Tactical Allocation	15,618.4499	10.938885	\$170,848.43	15,588.4959	10.790492	\$168,207.54
<b>Totals</b>			<b>\$170,848.43</b>			<b>\$168,207.54</b>

The unit value of each investment option will increase or decrease during any statement period based upon performance for the investment option.

## Personal Rate of Return

As of 12/31/2016

This Period	Year To Date	Since Issue
-1.41 %	2.73 %	1.56 %

Your personal rate of return takes into account premiums, withdrawals, fees, and earnings. Returns for periods in excess of one year are annualized. Past performance does not guarantee future results.

## Primary Beneficiaries

CONNIE GOULD 100%

Recent beneficiary changes may not be reflected.

If you wish to change your beneficiary designation you may do so by completing and returning an Annuity Policy Change Form. This request must be signed by the owner. You may request this form by contacting our customer service center or logging on to [www.transamerica.com](http://www.transamerica.com).

**EXHIBIT 3**



- Member Account Record
- Contribution History
- PSPRS Website

1 of 1 Select a format Export

## Member Contribution Statement For: GOULD, ANDREW W.

Years of Service as of:

System: STATE  
 Contributor Name: GOULD, ANDREW W.  
 [Redacted]  
 Prior Service: 1.582  
 Service Date: 3/5/2001  
 Termination Date:  
 Years of Service: 17.478  
 Beneficiary: CONNIE T. GOULD  
 Relationship: SPOUSE

	<u>Not Yet Taxed</u>	<u>Already Taxed</u>	<u>Total</u>
Beginning Fiscal Balance:	\$195,737.72	\$0.00	\$195,737.7
Member Contributions:	\$10,510.51	\$0.00	\$10,510.5
*Transfers/Buy Backs:	\$0.00	\$0.00	\$0.0
Inter-System Transfer(Out):	\$0.00	\$0.00	\$0.0
Inter-System Transfer(In):	\$0.00	\$0.00	\$0.0
Contribution Refund:	\$0.00	\$0.00	\$0.0
Transfer to Benefits:	\$0.00	\$0.00	\$0.0
Writeoffs:	\$0.00	\$0.00	\$0.0
Ending Fiscal Balance:	\$206,246.23	\$0.00	\$206,248.2

Target Writeoff Date:

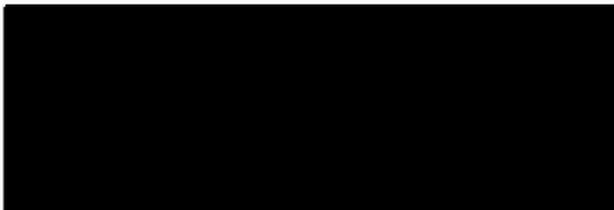
*For Informational Purposes Only*  
Cumulative Totals(as of 07/01/1994)  
 \*Transfers/Buy Backs: \$11,570.0  
 \*May not be eligible for Enchanced Refunds

### Contributions Received this Fiscal Year

**EXHIBIT 4**



STATE OF ARIZONA  
 4747 N 7TH STREET  
 SUITE 418  
 PHOENIX AZ 85014



ANDREW W GOULD  
 8993 W RUTH AVE  
 PEORIA AZ 85345-7983

Please review your statement carefully. Corrections may not be accepted more than 45 days after the closing date of the statement.

**Questions? Contact Us!**

**CUSTOMER SERVICE:** (800)796-9753  
**(ESPANOL):** (800)796-9753  
**TTY:** (800)995-4457  
**Website:** www.arizonadc.com  
**Write us at:** NATIONWIDE RETIREMENT SOLUTIONS  
 PO BOX 182797  
 COLUMBUS OH 43218-2797

Nationwide Investment Services Corporation, member FINRA, in Michigan Only:  
 Nationwide Investment Svcs. Corporation

**See where you are on your retirement journey.**

Your career is similar to a journey. You know where you're going, but it's a good idea to regularly see where you are.

Coming this February, when you log on to your Plan account, you will be able to use our new *Retirement Readiness Report* to help you answer questions like:

- Am I on track with my retirement goals?
- What can I do now to avoid an income gap in retirement?

You will be able to personalize your Report by using My Interactive Retirement Planner. Just complete the tool and then, click on "Generate Report." You'll get a better idea of where you are and how you can get closer to where you want to be at retirement.

NRQ-1184AC.1 (01/2017)

	<u>457(b) Plan</u>
<b>Balance as of 10-01-16</b>	<b>\$361,980.99</b>
Contributions/Transfers In*	\$4,213.80
Interest/Dividend/Cap Gain/Reimb	\$22,824.62
Gain/Loss	-\$19,343.15
Withdrawals/Transfers Out**	\$0.00
Charges/Fees	-\$118.90
<b>Balance as of 12-31-16</b>	<b>\$369,557.36</b>

\*Including, but not limited to, Employee and Employer Contributions, Rollovers and Transfers In.

\*\*Including, but not limited to, Rollovers and Transfers Out, Partial and Lump Sum Payments.

<u>Plan Type</u>	<u>Contributions Since Joining/ Transfers In/Loan Payment</u>	<u>Withdrawals/Transfers Out/Loan Disbursement</u>	<u>Gain/Loss/Fees</u>	<u>Total Balance as of 12-31-16</u>
457(b) Plan	\$234,395.18	\$0.00	\$135,162.18	<b>\$369,557.36</b>

"Since Joining" refers to the period of time your account has been administered by Nationwide. If applicable, the above data is inclusive of Self Directed Option balance. For more information about asset fees, contact your customer service center.

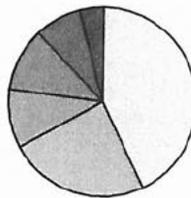
**Contributions and Distributions Summary - October 1, 2016 to December 31, 2016**

	Last Contribution Amount	Contributions This Period	Contributions Year to Date	Last Distribution Amount	Distributions Year to Date
457(b) Plan	\$256.56	\$4,213.80	\$24,000.00		\$0.00

**Your Asset Allocation - October 1, 2016 to December 31, 2016**

To the right is your Account Allocation. Your Account Allocation is how the money in your account is actually divided among the investment options you have selected.

**Your Account Allocation**



**Investment Type**

43% Asset Allocation	\$159,581.04
24% Large-Cap Stocks	\$90,284.89
11% Bonds	\$36,916.34
10% Mid-Cap Stocks	\$37,724.43
8% Small-Cap Stocks	\$29,172.67
4% International Stocks	\$15,877.99
<b>100% Total Percent/Value</b>	<b>\$369,557.36</b>

For more information on contribution and account allocation, log on to [WWW.ARIZONADC.COM](http://WWW.ARIZONADC.COM)

\*Percentages and totals may not be exact due to rounding.

**Investment Option Summary - October 1, 2016 to December 31, 2016**

Ending Price is as of 12/31/2016

<u>Investment Option</u>	<u>Current Investment Election</u>	<u>Ending Price</u>	<u>Units/Shares</u>	<u>Value</u>
<b>457(b) Plan</b>				
*AmFds Wshngtn Mut Inv R6	0.00%	40.9600	1,225.1399	\$50,181.73
*Brwn Cap Sm Co Inv	0.00%	73.4100	397.3937	\$29,172.67
*DodgeCox Intl Stock Fd	0.00%	38.1000	416.7452	\$15,877.99
*NW Inv Dest Mod Inst	100.00%	9.6200	16,588.4659	\$159,581.04
*NeuBer Hi Inc Bd R6	0.00%	8.7000	1,904.0930	\$16,565.61
*Vngrd MdCap Indx Inst	0.00%	35.9900	1,048.1921	\$37,724.43
*Vngrd Ttl Bnd Mkt Idx Adm	0.00%	10.6500	1,910.8666	\$20,350.73
*WF Gr Inst	0.00%	38.6300	1,038.1351	\$40,103.16
<b>TOTAL</b>	<b>100.00%</b>			<b>\$369,557.36</b>

\* Fund is valued in shares rather than units