STATE FINANCIAL DISCLOSURE STATEMENT

(For use by all Public Officers and Candidates in the State of Arizona)

Name of Public Officer or Candidate: Diane Johnsen

Address: 1501 W. Washington Street, Phoenix, Arizona 85007

(please note: this address is public information and not subject to redaction)

Public Office Held or Sought: Arizona Court of Appeals

District / Division # (if applicable): Division One

Please select the appropriate box that reflects your service for this filing year (**double-click the box and change the default value to "checked"**):

☒ I am a **public officer** filing this Financial Disclosure Statement covering the 12 months of calendar year 2016.

☐ I have been **appointed** to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.

☐ I am a **public officer who has served in the last full year of my final term**, which expires less than thirty-one days into calendar year 2017. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.

☐ I am a **candidate** for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of _____ 20____, to the month of _____ 20____.

VERIFICATION

I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.

/s/ Diane M. Johnsen

Signature of Public Officer or Candidate
(Typewritten signatures accepted)

A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of your financial interests and/or the financial interests of the member(s) of your household.[1]

1. Identification of Household Members and Business Interests

What to disclose: If you are married, is your spouse a member of your household? ☒ **Yes** ☐ **No** ☐ **N/A** (If not married/widowed, select N/A)

Are any minor children[2] members of your household? ☐ **Yes** (if yes, disclose how many _____) ☒ **No** ☐ **N/A** (If no children, select N/A)

For the remaining questions in this Financial Disclosure Statement, the term "member of your household" or "household member" will be defined as the person(s) who correspond to your "yes" answers above.

You are not required to disclose the names of your spouse or minor children when answering the questions below. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc. **Please note that if you choose to identify your spouse or minor children by name, the Secretary of State's office or other local filing officer are not expected to redact that information when posting this Financial Disclosure Statement on the internet or providing it in response to a public records request.**

2. Sources of Personal Compensation

What to disclose: In subsection (2)(a), provide the name and address of each employer who paid you or any member of your household more than $1,000 in salary, wages, commissions, tips or other forms of compensation (other than "gifts") during the period covered by this report. Describe the nature of each employer's business and the type of services for which you or a member of your household were compensated.

In subsection (2)(b), if applicable, list anything of value that any other person (outside your household) received for your or a member of your household's use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person's services that benefited you, and provide information about the third-party who paid for the services on your behalf.

You need not disclose income of a business, including money you or any member of your household received that constitutes income paid to a business that you or your household member owns or does business as. This type of business income will be disclosed in Question 11 below.

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[1] If additional space is needed to report information on this Financial Disclosure Statement, select the appropriate reporting area and add additional rows to the form. For example, to report an additional employer's name in Section 2, right-click in any row, click "Insert," and click "Insert Rows Above" or "Insert Rows Below" as needed.

[2] Minor children include children 18 years old and younger whom you have joint or sole legal custody over.

Subsection (2)(a):

PUBLIC OFFICER OR HOUSEHOLD MEMBER[3] BENEFITTED	NAME AND ADDRESS OF EMPLOYER WHO PROVIDED COMPENSATION > $1,000	NATURE OF EMPLOYER'S BUSINESS	NATURE OF SERVICES PROVIDED BY PUBLIC OFFICER OR HOUSEHOLD MEMBER FOR EMPLOYER
Diane Johnsen	State of Arizona 1501 W. Washington St., Phoenix 85007	Arizona Court of Appeals	Judge
Spouse	State of Arizona 201 W. Jefferson St., Phoenix 85003	Maricopa County Superior Court	Judge
Spouse	Maricopa County 201 W. Jefferson St., Phoenix 85003	Maricopa County Superior Court	Judge

Subsection (2)(b) (if applicable):

PUBLIC OFFICER OR HOUSEHOLD MEMBER[3] BENEFITTED	NAME AND ADDRESS OF PERSON WHO PROVIDED SERVICES VALUED OVER $1,000 FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NATURE OF SERVICES PROVIDED BY PERSON FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NAME AND ADDRESS OF THIRD PARTY WHO PAID FOR PERSON'S SERVICES ON YOUR OR YOUR HOUSEHOLD MEMBER'S BEHALF
N.A.			

3. Professional, Occupational and Business Licenses

What to disclose: List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Question 11 below.

[3] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[4] AFFECTED	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
Diane Johnsen	Law	Diane Johnsen	Arizona State Bar
Spouse	Law	Spouse	Arizona State Bar

4. Personal Creditors

What to disclose: The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt[5] over $1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the applicable box to indicate whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need <u>not</u> disclose the following, which <u>do not</u> qualify as "personal debt":

- Debts resulting from the ordinary conduct of a business (these will be disclosed in Section B below);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[4] OWING THE DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		Date: ☐ Incurred ☐ Discharged
		Date: ☐ Incurred ☐ Discharged
		Date: ☐ Incurred ☐ Discharged

[4] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.
[5] A "qualifying" debt is a personal debt *other than* the types of debts in the bullet point list above.

5. Personal Debtors

What to disclose: The name of each debtor who owed you or a member of your household a debt over $1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category (double-click the applicable box and change the default value to "checked").

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[6] OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged

6. Gifts

What to disclose: The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over $500, subject to the exceptions listed in the below "You need not disclose" paragraph. A "gift" means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration (reciprocal value) and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a "gift" for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona's lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household's duty to disclose gifts in this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as "gifts":

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona's intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;

[6] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

- Gifts received from any other member of the household;
- Gifts received by parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[7] WHO RECEIVED GIFT(S) OVER $500	NAME OF GIFT DONOR
Diane Johnsen	Pound Civil Justice Institute

7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts

What to disclose: The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[7] HAVING THE REPORTABLE RELATIONSHIP	NAME AND ADDRESS OF BUSINESS, ORGANIZATION, TRUST, OR NONPROFIT ORGANIZATION OR ASSOCIATION	DESCRIPTION OF OFFICE, POSITION OR FIDUCIARY RELATIONSHIP HELD BY THE PUBLIC OFFICER OR HOUSEHOLD MEMBER
None		

[7] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

What to disclose: The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over $1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also, put a check mark to indicate the value of the debt (double-click the applicable box and change the default value to "checked").

PUBLIC OFFICER OR HOUSEHOLD MEMBER[8] HAVING THE INTEREST	NAME AND ADDRESS OF BUSINESS, TRUST OR INVESTMENT FUND	DESCRIPTION OF THE BUSINESS, TRUST OR INVESTMENT FUND	APPROXIMATE EQUITY VALUE OF THE INTEREST
See attached lists.			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +

9. Ownership of Bonds

What to disclose: Bonds issued by a state or local government agency worth more than $1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also, put a check mark to indicate the value of the bonds (double-click the applicable box and change the default value to "checked").

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the bonds were acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the bonds were not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[8] ISSUED BONDS	ISSUING STATE OR LOCAL GOVERNMENT AGENCY	APPROXIMATE VALUE OF BONDS	IF THE BONDS WERE FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
See attached lists.		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested

[8] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

10. Real Property Ownership

What to disclose: Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked").

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: Your primary residence or property you use for personal recreation.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[9] THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested

B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

11. Business Names

What to disclose: The name of any business under which you or any member of your household owns or did business under (in other words, if you or your household member were self-employed) during the period covered by this Financial Disclosure Statement, which include any corporations, limited liability companies, partnerships, sole proprietorships or any other type of business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is "controlled" if you or any member of your household (individually or combined) had an ownership interest that amounts to more than 50%. A business is classified as "dependent," on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts more than 10%; *and* (2) the business received more than $10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to more than 50% of the business' gross income for the period.

[9] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

Please note: If the business was either controlled or dependent, check whether it was controlled or dependent (double-click the appropriate box and change the default value to "checked") in the last column below. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes in the last column below blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[10] OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	CHECK THE APPROPRIATE BOX IF THE BUSINESS IS "CONTROLLED" BY OR "DEPENDENT" ON YOU OR A HOUSEHOLD MEMBER
None		☐ Controlled ☐ Dependent
		☐ Controlled ☐ Dependent
		☐ Controlled ☐ Dependent

Please note: If a business listed in the foregoing Question 11 was neither "controlled" nor "dependent" during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Question 11 were "controlled" or "dependent," you need not complete the remainder of this Financial Disclosure Statement.

12. Controlled Business Information

What to disclose: The name of each controlled business listed in Question 11 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than $10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a controlled business, you may leave this question blank.

[10] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY THE CONTROLLED BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CLIENT	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CLIENT (IF A BUSINESS)
N.A.			

13. Dependent Business Information

What to disclose: The name of each dependent business listed in Question 11 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than $10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below. Likewise, if the dependent business is also a controlled business, disclose the business only in Question 12 above and leave this question blank.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE DEPENDENT BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CUSTOMER	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CUSTOMER (IF A BUSINESS)
N.A.			

14. Real Property Owned by a Controlled or Dependent Business

What to disclose: Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and put a check mark to indicate the approximate value of the land (double-click the applicable box and change the default value to "checked"). If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested (double-click the appropriate box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N.A.		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested

15. Controlled or Dependent Business' Creditors

What to disclose: The name and address of each creditor to which a controlled or dependent business owed more than $10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

Name of Controlled or Dependent Business Owing the Qualifying Debt	Name and Address of Creditor (or Person to Whom Payments are Made)	If the Debt Was First Incurred or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
N.A.		Date: ☐ Incurred ☐ Discharged
		Date: ☐ Incurred ☐ Discharged
		Date: ☐ Incurred ☐ Discharged

16. Controlled or Dependent Business' Debtors

What to disclose: The name of each debtor who owed more than $10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt"). Also disclose the approximate value of the debt by financial category (double-click the applicable box and change the default value to "checked").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check whether it was incurred or discharged (double-click the box and change the default value to "checked"). Otherwise, write "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

Public Officer or Household Member[11] Owed the Debt	Name of Debtor	Approximate Value of Debt	If the Debt Was First Incurred or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
N.A.		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged

[11] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

	A	B	C	D
1		**Ownership or Financial Interests in Businesses, Trusts or Funds**		
2	**Public Officer or Member of Household**	**Names and Address of Business, Trust or Fund**	**Description**	**Approximate Value**
3	Diane Johnsen and spouse	Doubleline Shiller Enhanced CAPE Index, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
4	Diane Johnsen and spouse	IShares USA Minimum Volatility ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
5	Diane Johnsen and spouse	Guggenheim Defensive Equity ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
6	Diane Johnsen and spouse	AMG Yacktman Fund, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
7	Diane Johnsen and spouse	WisdomTree Dividend Ex Financials ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
8	Diane Johnsen and spouse	SunAmerica Focused Dividend Strategy A, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
9	Diane Johnsen and spouse	Vanguard Large Value Passive ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
10	Diane Johnsen and spouse	Boston Partners All Cap Value, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001 +
11	Diane Johnsen and spouse	Vanguard Large Growth ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001 +
12	Diane Johnsen and spouse	Touchstone Sands Capital Sel Growth, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001 +
13	Diane Johnsen and spouse	T. Rowe Price Midcap, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
14	Diane Johnsen and spouse	First Eagle Global I, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
15	Diane Johnsen and spouse	Henderson European Focus, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
16	Diane Johnsen and spouse	WisdomTree Europe Hedged Equity, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
17	Diane Johnsen and spouse	Matthews Asian Japan, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
18	Diane Johnsen and spouse	Vanguard Emerging Markets Passive ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
19	Diane Johnsen and spouse	Baron Real Estate, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
20	Diane Johnsen and spouse	First Eagle Gold, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
21	Diane Johnsen and spouse	Invesco Dev Markets Y, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
22	Diane Johnsen and spouse	Principal Midcap, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
23	Diane Johnsen and spouse	Vanguard Inflat Pro Sec Inv, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
24	Diane Johnsen and spouse	Wisdomtree Tr UK Hedg Eqt Fd, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
25	Diane Johnsen and spouse	Wisdom Tree Trust Japan Hedge Eq, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
26	Diane Johnsen and spouse	PIMCO Short Term Bond MF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
27	Diane Johnsen and spouse	Vanguard TIPS Fund, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
28	Diane Johnsen and spouse	Loomis Sayles Bond Institutional, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
29	Diane Johnsen and spouse	Metropolitan West Total Return Bond, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
30	Diane Johnsen and spouse	DoubleLine Total Return Bond I, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
31	Diane Johnsen and spouse	PIMCO Income Fund, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
32	Diane Johnsen and spouse	Morgan Stanley Bank, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
33	Diane Johnsen and spouse	First Trust Dorsey Wright Focus 5 ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
34	Diane Johnsen and spouse	SunAmerica Focused Dividend Strategy A, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
35	Diane Johnsen and spouse	Vanguard Large Value Passive ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
36	Diane Johnsen and spouse	Columbus Select Large Cap Z, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
37	Diane Johnsen and spouse	Vanguard Large Value Passive ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
38	Diane Johnsen and spouse	Vanguard Mid Cap Value Passive ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
39	Diane Johnsen and spouse	Henderson European Focus, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
40	Diane Johnsen and spouse	WisdomTree Europe Hedged Equity, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
41	Diane Johnsen and spouse	Ishares Edge Min Vol, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000

	A	B	C	D
42	Diane Johnsen and spouse	Guggenheim S&P 500 Pure Growth, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-26,000
43	Diane Johnsen and spouse	SunAmerica Niw Focus Div Stra C, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
44	Diane Johnsen and spouse	Active Bear ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
45	Diane Johnsen and spouse	Vanguard Growth ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
46	Diane Johnsen and spouse	Cohen & Steers Preferred Securities In., c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
47	Diane Johnsen and spouse	Allergan PLC SHS, Dublin (held through Morgan Stanley U.S. Equity Portfolio)	Pharmaceutical company	1,000-25,000
48	Diane Johnsen and spouse	Amazon, Seattle (held through Morgan Stanley U.S. Equity Portfolio)	Retailer	1,000-25,000
49	Diane Johnsen and spouse	Amgen, Thousand Oaks, CA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
50	Diane Johnsen and spouse	Apple, Seattle (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
51	Diane Johnsen and spouse	Blackrock, Inc., NY (held through Morgan Stanley U.S. Equity Portfolio)	Asset manager	1,000-25,000
52	Diane Johnsen and spouse	Blackstone Group LP, NY (held through Morgan Stanley U.S. Equity Portfolio)	Asset manager	1,000-25,000
53	Diane Johnsen and spouse	Berkshire Hathaway, Omaha	Investment Fund	100,001 +
54	Diane Johnsen and spouse	CBS Corp New LC B, NY (held through Morgan Stanley U.S. Equity Portfolio)	Communications	1,000-25,000
55	Diane Johnsen and spouse	Charles Schwab New, NY (held through Morgan Stanley U.S. Equity Portfolio)	Asset manager	1,000-25,000
56	Diane Johnsen and spouse	Chevron Corp, Ramon, CA (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
57	Diane Johnsen and spouse	Chubb Ltd, N.J. (held through Morgan Stanley U.S. Equity Portfolio)	Insurance	1,000-25,000
58	Diane Johnsen and spouse	Cognizant Tech Solutoins CL A, N.J (held through Morgan Stanley U.S. Equity Portfolio)	Tech consulting	1,000-25,000
59	Diane Johnsen and spouse	Comcast Corp., PA (held through Morgan Stanley U.S. Equity Portfolio)	Communications	1,000-25,000
60	Diane Johnsen and spouse	CostcoWholesale Corp., WA (held through Morgan Stanley U.S. Equity Portfolio)	Retailer	1,000-25,000
61	Diane Johnsen and spouse	Crown Castle Intl, Houston (held through Morgan Stanley U.S. Equity Portfolio)	Communication infrastructure	1,000-25,000
62	Diane Johnsen and spouse	Danaher, D.C. (held through Morgan Stanley U.S. Equity Portfolio)	Medical technology	1,000-25,000
63	Diane Johnsen and spouse	EOG Resources, Houston (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
64	Diane Johnsen and spouse	Estee Lauder, NY (held through Morgan Stanley U.S. Equity Portfolio)	Cosmetics	1,000-25,000
65	Diane Johnsen and spouse	Exelon Corp., IL (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
66	Diane Johnsen and spouse	Gilead Science, Foster City, CA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
67	Diane Johnsen and spouse	Goldman Sachs Grp., NY (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
68	Diane Johnsen and spouse	Google (Alphabet), CA	Technology	25,001-100,000
69	Diane Johnsen and spouse	Honeywell International, N.J. (held through Morgan Stanley U.S. Equity Portfolio)	Manufacturing	1,000-25,000
70	Diane Johnsen and spouse	Intersoll-Rand, NC (held through Morgan Stanley U.S. Equity Portfolio)	Manufacturing	1,000-25,000

	A	B	C	D
71	Diane Johnsen and spouse	Intl Business Machines Corp. CA (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
72	Diane Johnsen and spouse	JP Morgan Chase, NY (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
73	Diane Johnsen and spouse	Mastercard Inc., NY (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
74	Diane Johnsen and spouse	McKesson Corp., CA (held through Morgan Stanley U.S. Equity Portfolio)	Pharmaceutical, medical supplies	1,000-25,000
75	Diane Johnsen and spouse	Medtronic PLC, Minnesota (held through Morgan Stanley U.S. Equity Portfolio)	Medical technology	1,000-25,000
76	Diane Johnsen and spouse	Microsoft, Seattle (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
77	Diane Johnsen and spouse	Nike, OR (held through Morgan Stanley U.S. Equity Portfolio)	Apparel	1,000-25,000
78	Diane Johnsen and spouse	Occidental Petroleum Corp., TX (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
79	Diane Johnsen and spouse	Oracle, CA (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
80	Diane Johnsen and spouse	Pepsico, NY (held through Morgan Stanley U.S. Equity Portfolio)	Soft drink	1,000-25,000
81	Diane Johnsen and spouse	Pfizer, NY (held through Morgan Stanley U.S. Equity Portfolio)	Pharmaceuticals	1,000-25,000
82	Diane Johnsen and spouse	Schlumberger Ltd, TX (held through Morgan Stanley U.S. Equity Portfolio)	Energy technology	1,000-25,000
83	Diane Johnsen and spouse	Thermo Fisher Scientific, MA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
84	Diane Johnsen and spouse	T-Mobile U.S., WA (held through Morgan Stanley U.S. Equity Portfolio)	Communications	1,000-25,000
85	Diane Johnsen and spouse	Union Pacific, NE (held through Morgan Stanley U.S. Equity Portfolio)	Rail	1,000-25,000
86	Diane Johnsen and spouse	Wells Fargo, CA (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
87	Diane Johnsen and spouse	Az Elected Officials Retirement Fund	Retirement fund (not self-managed)	100,001+
88	Diane Johnsen and spouse	Protective Life Ins. Co. policy (surrender value)	Life Insurance	25,001-100,000
89	Diane Johnsen and spouse	General America Financial Life Ins. policy (surrender value)	Life Insurance	25,001-100,000
90	Diane Johnsen and spouse	General America Financial Life Ins. policy (surrender value)	Life Insurance	100,001+
91	Diane Johnsen and spouse	Phoenix Life Ins. Policy (surrender value)	Life Insurance	25,001-100,000
92	Diane Johnsen and spouse	Mass Mutual Life Ins. Policy (surrender value)	Life Insurance	1,000-25,000
93	Diane Johnsen	Vanguard Value ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
94	Diane Johnsen	Exxon, TX	Energy	25,001-100,000
95	Diane Johnsen	Anardarko, TX	Energy	1,000-25,000
96	Diane Johnsen	AT&T, TX	Communications	1,000-25,000
97	Diane Johnsen	PGE, CA	Communications	1,000-25,000
98	Diane Johnsen	Union Pacific, NE	Rail	25,001-100,000
99	Diane Johnsen	Betterment, NY	Stock and bond ETF funds (not self-manage	25,001-100,000
100				
101	**Bonds**			
102	**Public Officer or Member of Household**	**Issuing Agency**	**Approximate Value**	**Acquired/Divested this Period**
103	Diane Johnsen and spouse	Az Bd Regents Univ. Az Sys Rev Ref-A, AZ, c/o Morgan Stanley	1,000-25,000	Acquired
104	Diane Johnsen and spouse	Az Bd Regents Univ. of Az Rev Ref , AZ, c/o Morgan Stanley	25,001-100,000	Acquired
105	Diane Johnsen and spouse	Durham Cnty N.C. Genl Oblig Ref, NC, c/o Morgan Stanley	1,000-25,000	Acquired
106	Diane Johnsen and spouse	Maricopoa Cnty Az Uni Sch Dist No. 097 Deer Vy, AZ, c/o Morgan Stanley	1,000-25,000	Acquired
107	Diane Johnsen and spouse	Mass. Wtr Res Auth Genl Rev Ref-C, MA, c/o Morgan Stanley	1,000-25,000	Acquired

	A	B	C	D
108	Diane Johnsen and spouse	Metropolitan Atlanta Rapid Tra Sales Tax Rev-C, GA, c/o Morgan Stanley	1,000-25,000	Acquired
109	Diane Johnsen and spouse	Minnesota Pub Facs Auth St Revolving Fd, MN, c/o Morgan Stanley	1,000-25,000	Acquired
110	Diane Johnsen and spouse	Phoenix Ariz Civic Impt Corp Wtr Sys Rev B, AZ, c/o Morgan Stanley	1,000-25,000	Acqured
111	Diane Johnsen and spouse	Regional Pub Transn Auth Az Transn Excise Tax, AZ, c/o Morgan Stanley	1,000-25,000	Acquired
112	Diane Johnsen and spouse	Salt River Proj Az Agric Impt Pwr Dist Rev-A, AZ, c/o Morgan Stanley	1,000-25,000	Acquired
113	Diane Johnsen and spouse	Surprise Az Pledged Rev Ref Obligations, AZ, c/o Morgan Stanley	1,000-25,000	Acquired
114	Diane Johnsen and spouse	Univ Houston Tex Univ Revs Rev Ref, TX, c/o Morgan Stanley	1,000-25,000	Acquired