STATE FINANCIAL DISCLOSURE STATEMENT

(For use by all Public Officers and Candidates in the State of Arizona)

Name of Public Officer or Candidate:

David Bruce Gass

Address: (Please note: this address is public information and not subject to redaction)

1724 West Steinway Drive; Phoenix, AZ 85041

Public Office Held or Sought:

Judge, Arizona Superior Court in Maricopa County

District / Division Number (if applicable):

Division 42

Please check the appropriate box that reflects your service for this filing year:

- [x] I am a **public officer** filing this Financial Disclosure Statement covering the 12 months of calendar year 2017.
- [ ] I have been **appointed** to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.
- [ ] I am a **public officer who has served in the last full year of my final term**, which expires less than thirty-one days into calendar year 2018. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.
- [ ] I am a **candidate** for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of , to the month of .

VERIFICATION

I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.

David B. Gass

Signature of Public Officer or Candidate

(Digital and Typewritten signatures accepted)

A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of your financial interests and/or the financial interests of the member(s) of your household.[1]

1. Identification of Household Members and Business Interests

What to disclose: If you are married, is your spouse a member of your household? ☒ **Yes** ☐ **No** ☐ **N/A** (If not married/widowed, select N/A)

Are any minor children[2] members of your household? ☐ **Yes** (if yes, disclose how many) ☒ **No** ☐ **N/A** (If no children, select N/A)

For the remaining questions in this Financial Disclosure Statement, the term "member of your household" or "household member" will be defined as the person(s) who correspond to your "yes" answers above.

You are not required to disclose the names of your spouse or minor children when answering the questions below. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc. **Please note that if you choose to identify your spouse or minor children by name, the Secretary of State's Office or other local filing officer are not expected to redact that information when posting this Financial Disclosure Statement on the internet or providing it in response to a public records request.**

2. Sources of Personal Compensation

What to disclose: In subsection (2)(a), provide the name and address of each employer who paid you or any member of your household more than $1,000 in salary, wages, commissions, tips or other forms of compensation (other than "gifts") during the period covered by this report. Describe the nature of each employer's business and the type of services for which you or a member of your household were compensated.

In subsection (2)(b), if applicable, list anything of value that any other person (outside your household) received for your or a member of your household's use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person's services that benefited you, and provide information about the third-party who paid for the services on your behalf.

You need not disclose income of a business, including money you or any member of your household received that constitutes income paid to a business that you or your household member owns or does business as. This type of business income will be disclosed in Question 12 below.

[1] If additional space is needed to report information on this Financial Disclosure Statement, please attach additional information as numbered exhibits.
[2] Minor children include children 18 years old and younger over whom you have joint or sole legal custody.

2. (cont.)

Subsection (2)(a):

Public Officer or Household Member[3] Benefitted	Name and Address of Employer Who Provided Compensation > $1,000	Nature of Employer's Business	Nature of Services Provided by Public Officer or Household Member for Employer
David Bruce Gass	State of Arizona/Maricopa County 101 East Jefferson Street Phoenix, AZ 85003	Government	Judicial
Spouse	Blue Cross Blue Shield of Arizona 8220 N. 23rd Avenue Phoenix, AZ 85021	Health Insurance	Legal

Subsection (2)(b) (if applicable):

Public Officer or Household Member[3] Benefitted	Name and Address of Person Who Provided Services Valued Over $1,000 For Your or Your Household Member's Use or Benefit	Nature of Services Provided by Person For Your or Your Household Member's Use or Benefit	Name and Address of Third Party Who Paid For Person's Services on Your or Your Household Member's Behalf
Not Applicable			

3. Professional, Occupational and Business Licenses

What to disclose: List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Question 12 below.

[3] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

3. (cont.)

PUBLIC OFFICER OR HOUSEHOLD MEMBER[4] AFFECTED	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
David Bruce Gass	State Bar of Arizona Federal District Court of Arizona 9th Circuit Court of Appeal United States Supreme Court	David Bruce Gass	State Bar of Arizona Federal District Court of Arizona 9th Circuit Court of Appeal United States Supreme Court
Spouse	State Bar of Arizona Federal District Court of Arizona 9th Circuit Court of Appeal United States Supreme Court	Spouse	State Bar of Arizona Federal District Court of Arizona 9th Circuit Court of Appeal United States Supreme Court

4. Personal Creditors

What to disclose: The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt[5] over $1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the applicable box to indicate whether it was incurred or discharged. Otherwise, check the box for "N/A" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need <u>not</u> disclose the following, which <u>do not</u> qualify as "personal debt":

- Debts resulting from the ordinary conduct of a business (these will be disclosed in Section B below);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

[4] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.
[5] A "qualifying" debt is a personal debt *other than* the types of debts in the bullet point list above.

4. (cont.)

Public Officer or Household Member[4] Owing the Debt	Name and Address of Creditor (or Person to Whom Payments are Made)	If the Debt Was First Incurred or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
Not Applicable		Date: ☐ Incurred ☐ Discharged ☐ N/A
		Date: ☐ Incurred ☐ Discharged ☐ N/A
		Date: ☐ Incurred ☐ Discharged ☐ N/A

5. Personal Debtors

What to disclose: The name of each debtor who owed you or a member of your household a debt over $1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category.

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

Public Officer or Household Member[6] Owed the Debt	Name of Debtor	Approximate Value of Debt	If the Debt Was First Incurred or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
Not Applicable		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A

[6] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

6. Gifts

What to disclose: The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over $500, subject to the exceptions listed in the below "You need not disclose" paragraph. A "gift" means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration (reciprocal value) and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a "gift" for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona's lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household's duty to disclose gifts in this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as "gifts":

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona's intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;
- Gifts received from any other member of the household;
- Gifts received by parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[7] WHO RECEIVED GIFT(S) OVER $500	NAME OF GIFT DONOR
Not Applicable	

[7] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts

What to disclose: The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

Public Officer or Household Member[7] Having the Reportable Relationship	Name and Address of Business, Organization, Trust, or Nonprofit Organization or Association	Description of Office, Position or Fiduciary Relationship Held by the Public Officer or Household Member
Spouse	KG Corgi LLC 1724 West Steinway Drive, Phoenix, AZ 85041	Managing Member
David Bruce Gass	KG Corgi LLC 1724 West Steinway Drive, Phoenix, AZ 85041	Member
David Bruce Gass	Arizona Judges Association 1501 West Washington Street, Phoenix, AZ 85007	Second Past President

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

What to disclose: The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over $1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also, check the box to indicate the value of the interest.

Public Officer or Household Member[8] Having the Interest	Name and Address of Business, Trust or Investment Fund	Description of the Business, Trust or Investment Fund	Approximate Equity Value of the Interest
See Exhibit A beginning following my answer to question 17			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
			☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +

[8] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as “spouse,” “minor child 1”, “minor child 2,” etc.

9. Ownership of Bonds

What to disclose: Bonds issued by a state or local government agency worth more than $1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also, check the box to indicate the approximate value of the bonds.

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box whether the bonds were acquired or divested. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the bonds were not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

Public Officer or Household Member[8] Issued Bonds	Issuing State or Local Government Agency	Approximate Value of Bonds	If the Bonds Were First Acquired or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
Not Applicable		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A

10. Real Property Ownership

What to disclose: Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box to indicate whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: Your primary residence or property you use for personal recreation.

10. (cont.)

Public Officer or Household Member[9] That Owns Land	Location and Approximate Size	Approximate Value of Land	If the Land Was First Acquired or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
David Bruce Gass	2210 E Boulder Creek Lane Prescott, AZ 86303	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
Spouse	2210 E Boulder Creek Lane Prescott, AZ 86303	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A

11. Travel Expenses

What to disclose: Each meeting, conference or other event during the period covered in this Financial Disclosure Statement where you participated in your official capacity and travel-related expenses of $1,000 or more were paid on your behalf (or which you were reimbursed) for that meeting, conference, or other event. “Travel-related expenses” include, but are not limited to, the value of transportation, meals, and lodging to attend the meeting, conference, or other event.

You need not disclose: Any meeting, conference, or other event where paid or reimbursed travel-related expenses were less than $1,000 or your personal monies were expended related to the travel.

Name of Meeting, Conference, or Event Attended in Official Capacity as Public Officer	Location	Amount or Value of Travel Costs
Not Applicable		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +

[9] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as “spouse,” “minor child 1”, “minor child 2,” etc.

B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

12. Business Names

What to disclose: The name of any business under which you or any member of your household owns or did business under (in other words, if you or your household member were self-employed) during the period covered by this Financial Disclosure Statement, which include any corporations, limited liability companies, partnerships, sole proprietorships or any other type of business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is "controlled" if you or any member of your household (individually or combined) had an ownership interest that amounts to more than 50%. A business is classified as "dependent," on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts more than 10%; *and* (2) the business received more than $10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to more than 50% of the business' gross income for the period.

Please note: If the business was either controlled or dependent, check the box to indicate whether it was controlled or dependent in the last column below. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes in the last column below blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[10] OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	CHECK THE APPROPRIATE BOX IF THE BUSINESS IS "CONTROLLED" BY OR "DEPENDENT" ON YOU OR A HOUSEHOLD MEMBER
David Bruce Gass	KG Corgi LLC 1724 West Steinway Drive Phoenix, AZ 85041	☒ Controlled ☐ Dependent
Spouse	KG Corgi LLC 1724 West Steinway Drive Phoenix, AZ 85041	☒ Controlled ☐ Dependent
		☐ Controlled ☐ Dependent

Please note: If a business listed in the foregoing Question 12 was neither "controlled" nor "dependent" during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Question 12 were "controlled" or "dependent," you need not complete the remainder of this Financial Disclosure Statement.

[10] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

13. Controlled Business Information

What to disclose: The name of each controlled business listed in Question 12 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than $10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a controlled business, you may leave this question blank.

Name of Your or Your Household Member's Controlled Business	Goods or Services Provided by the Controlled Business	Describe What Your Business Provides to its Major Client	Type of Business Activities of the Major Client (if a Business)
KG Corgi LLC	Housing	Housing for brother, a disabled veteran	None

14. Dependent Business Information

What to disclose: The name of each dependent business listed in Question 12 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than $10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below. Likewise, if the dependent business is also a controlled business, disclose the business only in Question 13 above and leave this question blank.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

Name of Your or Your Household Member's Dependent Business	Goods or Services Provided by the Dependent Business	Describe What Your Business Provides to its Major Customer	Type of Business Activities of the Major Customer (if a Business)
Not Applicable			

15. Real Property Owned by a Controlled or Dependent Business

What to disclose: Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land. If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

Name of Controlled or Dependent Business That Owns Land	Location and Approximate Size	Approximate Value of Land	If the Land Was First Acquired or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
KG Corgi LLC	4521 North 17th Avenue Phoenix, AZ 85013	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Acquired ☐ Divested ☐ N/A

16. Controlled or Dependent Business' Creditors

What to disclose: The name and address of each creditor to which a controlled or dependent business owed more than $10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

Name of Controlled or Dependent Business Owing the Qualifying Debt	Name and Address of Creditor (or Person to Whom Payments are Made)	If the Debt Was First Incurred or Completely Discharged During This Reporting Period, Provide the Date (MM/DD/YYYY) and Check the Appropriate Box
Not Applicable		Date: ☐ Incurred ☐ Discharged ☐ N/A
		Date: ☐ Incurred ☐ Discharged ☐ N/A
		Date: ☐ Incurred ☐ Discharged ☐ N/A

17. Controlled or Dependent Business' Debtors

What to disclose: The name of each debtor who owed more than $10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt"). Also check the box to indicate the approximate value of the debt by financial category.

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicatewhether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER[11] OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
Not Applicable		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A
		☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +	Date: ☐ Incurred ☐ Discharged ☐ N/A

[11] You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

Exhibit A

David Bruce Gass Addendum to Financial Disclosure

DISCLAIMER: The following ownership interests are based on the information and values that were available at the time this disclosure was completed. Because of extreme market fluctuations, absolute accuracy is impossible. To avoid under-reporting, the value category was increased to the next highest level when it appeared reasonable that the asset may have had a value in that higher category at some point during the twelve month period. Some assets reported as category 3 may never have had a value of $100,001 or more, some assets reported as category 2 may never have had a value of $25,000 or more, and some assets reported as category 1 may never have had a value of $1,000 or more.

SECTION B: REPORTABLE INTERESTS: 8. Ownership or Financial Interest in Trusts, or Investment funds

Name and Address of Business, Trust or Investment Fund	Name of Public Officer or Member of Household Having the Interest	Description of the Business, Trust or Investment Fund	Approximate Equity Value of the Interest
Fidelity Contrafund Cincinnati, OH	David Bruce Gass	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
American Funds Capital World Growth Income Indianapolis, IN	David Bruce Gass	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
First Eagle Global Class I New York, NY	David Bruce Gass	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +

Mondelez International, Inc.	David Bruce Gass	Common Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Deerfield, IL			
Dodge & Cox International Stock Fund	David Bruce Gass	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			
Dreyfus Mid Cap Index A	David Bruce Gass	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Divested 2017			
T Rowe Price MdCap Growth Advance	David Bruce Gass	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Baltimore, MD			
Vanguard Institutional Index Fd	David Bruce Gass	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Valley Forge, PA			
Vanguard Mid Cap Index Fd Institutional Shares	David Bruce Gass	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Valley Forge, PA			
Arizona Fixed Fund; Nationwide	David Bruce Gass	Money Market	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Phoenix, AZ			
Sector SPDR Utilities, XLU	David Bruce Gass	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			

Merrill Lynch Bank USA RASP	David Bruce Gass	Money Account	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
(held by FIA Card Servs; Wilmington DE)			
Blackrock Equity Dividend Fund Institutional	David Bruce Gass	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Verizon Communications, Inc.	David Bruce Gass	Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Basking Ridge, NJ			
Kraft Foods, Inc.	David Bruce Gass	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Northfield, Illinois			
Elected Officials Retirement Plan	David Bruce Gass	Retirement Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Phoenix, AZ			
KG Corgi, LLC	Jointly held by	Limited Liabilty	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Phoenix, AZ	David B. Gass and	Company	
	Spouse		
Alamogordo Financial Corp. (Now Bancorp 34)	Jointly held by	Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Alburquerque, NM	David B. Gass and		
	Spouse		

Merrill Lynch Bank Deposit Program	Jointly held by	Money Account	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY	David B. Gass and		
	Spouse		
T Rowe Price Capital Appreciation Fund Retail	Jointly held by	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Baltimore, MD	David B. Gass and		
	Spouse		
Delaware Value Fund Institutional Class Philadelphia, PA	Jointly held by David B. Gass and	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
	Spouse		
First Eagle Global Class I	Jointly held by	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY	David B. Gass and		
	Spouse		
American Funds Growth Fund Of America	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			
American Funds Income Fund Of America	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			
American Funds New Perspective	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			

American Funds Washington Mutual Fund	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			
Colgate-Palmolive Company	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Kraft Foods, Inc.	Spouse	Common Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Northfield, Illinois			
Merrill Lynch Bank Deposit Program	Spouse	Money Account	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
American Funds Balanced Fund	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			
American Funds Capital Income Builder Fund	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Indianapolis, IN			
Vornado Realty Trust Series L	Spouse	Preferred Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
CD Bank of China	Spouse	Cert. of Deposit	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York City, NY			
Divested 2017			

AT&T, Incorporated	Spouse	Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Dallas, TX			
Royal Dutch Shell, PLC Spons. ADR A	Spouse	Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
London, United Kingdom			
Royal Dutch Shell, PLC Spons. ADR B	Spouse	Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
London, United Kingdom			
American Funds European Pacific Fund	Spouse	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Indianapolis, IN			
Morgan Stanley Cp Tr III	Spouse	Preferred Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Divested 2017			
Cohen And Steers Quality	Spouse	Mutual Fund	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Financial Security Assurance Holdings	Spouse	Preferred Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Merrill Lynch Preferred Capital Trust V	Spouse	Preferred Security	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Divested 2017			

Merrill Lynch Bank USA RASP	Spouse	Money Account	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
(held by FIA Card Servs; Wilmington DE)			
Fidelity Freedom 2020 K	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Cincinnati, OH			
Fidelity U.S. Equity Index Class 2	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Cincinnati, OH			
Divested 2017			
Fidelity Growth Co K Pool	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Cincinnati, OH			
Times Square Mid Cap Growth Fund	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Divested 2017			
Dodge and Cox Income	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Boston, MA			

Artisan Mid Cap Value Fund	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Canton, MA			
Divested 2017			
AMEX Technology Select Fund, SPDR XLK	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Divested 2017			
Verizon Communications, Inc.	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Basking Ridge, NJ			
State Street Corp.	Spouse	Preferred Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			
Mondelez International, Inc.	Spouse	Common Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Deerfield, IL			
American Funds Capital World Growth Income	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Indianapolis, IN			
QWEST Corp.	Spouse	Preferred Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Denver, CO			
Divested 2018			
Yum Brands Inc.	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Louiseville, KY			

XCEL Energy, Inc.	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Minneapolis, MN			
Southern Company	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Atlanta, GA			
PepsiCo, Inc.	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Purchase, NY			
Microsoft, Corp.	Spouse	Common Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Redmond, WA			
Clearbridge Energy MLP Opp. Fund. Inc.	Spouse	Mutual Fund	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Emerson Electric	Spouse	Common Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
St. Louis, MO			
Protective Life Corporation	Spouse	Preferred Stock	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Wilmington, DE			
Divested 2017			
Pitney Bowes	Spouse	Preferred Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Stamford, CT			

Public Storage	Spouse	Preferred Stock	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Glendale, CA			
Sector SPDR Utilities, XLU	Spouse	Stocks	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			
BCE, Inc.	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Verdun, Quebec, Canada			
Nike, Inc.	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Beaverton, OR			
American Water Works Company, Inc.	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Voorhees, NJ			
Select Sector SPDR TR	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			
General Electric	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Seattle, WA			
FT NASDAQ US MA DIVERS	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
New York City, NY			

American Electric Power Co	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Columbus, OH			
Spartan℠ 500 Index Pool Class D	Spouse	Stocks	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Boston, MA			
Fidelity International Index IPR (FSPSX)	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Cincinnati, OH			
Harbor MDCR Val Inst (HAMVX)	Spouse	Stocks	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
Chicago, IL			
Bank of America NA RASP	Spouse	Money Account	☐ $1,000 - $25,000 ☒ $25,001 - $100,000 ☐ $100,001 +
New York, NY			
Starbucks Coffee Company	Spouse	Stocks	☒ $1,000 - $25,000 ☐ $25,001 - $100,000 ☐ $100,001 +
Boston, MA			
Blue Cross Blue Shield of Arizona Retirement	Spouse	Retirement Fund	☐ $1,000 - $25,000 ☐ $25,001 - $100,000 ☒ $100,001 +
Phoenix, AZ			