



STATE FINANCIAL DISCLOSURE STATEMENT

(For use by all Public Officers and Candidates in the State of Arizona)

Name of Public Officer or Candidate:

Diane M. Johnsen

Address: (Please note: this address is public information and not subject to redaction)

501 W. Washington St, Phoenix, Arizona 85007

Public Office Held or Sought:

Judge, Arizona Court of Appeals

District / Division Number (if applicable):

Division One

Please check the appropriate box that reflects your service for this filing year:

- I am a **public officer** filing this Financial Disclosure Statement covering the 12 months of calendar year 2017.
- I have been **appointed** to fill a vacancy in a public office within the last 60 days and am filing this Financial Disclosure Statement covering the 12 month period ending with the last full month prior to the date I took office.
- I am a **public officer who has served in the last full year of my final term**, which expires less than thirty-one days into calendar year 2018. This is my final Financial Disclosure Statement covering the last 12 months plus the final days of my term for the current year.
- I am a **candidate** for a public office, and am filing this Financial Disclosure Statement covering the 12 months preceding the date of this statement, from the month of _____, to the month of _____.

VERIFICATION

I verify under penalty of perjury that the information provided in this Financial Disclosure Statement is true and correct.

Diane M. Johnsen

Signature of Public Officer or Candidate
(Digital and Typewritten signatures accepted)

A. PERSONAL FINANCIAL INTERESTS

This section requires disclosure of your financial interests and/or the financial interests of the member(s) of your household.¹

1. Identification of Household Members and Business Interests

What to disclose: If you are married, is your spouse a member of your household? **Yes** **No** **N/A** (If not married/widowed, select N/A)

Are any minor children² members of your household? **Yes** (if yes, disclose how many) **No** **N/A** (If no children, select N/A)

For the remaining questions in this Financial Disclosure Statement, the term “member of your household” or “household member” will be defined as the person(s) who correspond to your “yes” answers above.

You are not required to disclose the names of your spouse or minor children when answering the questions below. Thus, you may identify your household members as “spouse,” “minor child 1,” “minor child 2,” etc. **Please note that if you choose to identify your spouse or minor children by name, the Secretary of State’s Office or other local filing officer are not expected to redact that information when posting this Financial Disclosure Statement on the internet or providing it in response to a public records request.**

2. Sources of Personal Compensation

What to disclose: In subsection (2)(a), provide the name and address of each employer who paid you or any member of your household more than \$1,000 in salary, wages, commissions, tips or other forms of compensation (other than “gifts”) during the period covered by this report. Describe the nature of each employer’s business and the type of services for which you or a member of your household were compensated.

In subsection (2)(b), if applicable, list anything of value that any other person (outside your household) received for your or a member of your household’s use or benefit. For example, if a person was paid by a third-party to be your personal housekeeper, identify that person, describe the nature of that person’s services that benefited you, and provide information about the third-party who paid for the services on your behalf.

You need not disclose income of a business, including money you or any member of your household received that constitutes income paid to a business that you or your household member owns or does business as. This type of business income will be disclosed in Question 12 below.

¹ If additional space is needed to report information on this Financial Disclosure Statement, please attach additional information as numbered exhibits.

² Minor children include children 18 years old and younger over whom you have joint or sole legal custody.

2. (cont.)

Subsection (2)(a):

PUBLIC OFFICER OR HOUSEHOLD MEMBER ³ BENEFITTED	NAME AND ADDRESS OF EMPLOYER WHO PROVIDED COMPENSATION > \$1,000	NATURE OF EMPLOYER'S BUSINESS	NATURE OF SERVICES PROVIDED BY PUBLIC OFFICER OR HOUSEHOLD MEMBER FOR EMPLOYER
Diane Johnsen	State of Arizona 1501 W. Washington St., Phoenix 85007	Arizona Court of Appeals	Judge
Spouse	State of Arizona 201 W. Jefferson St., Phoenix 85003	Maricopa County Superior Court	Judge
Spouse	Maricopa County 201 W. Jefferson St., Phoenix 85003	Maricopa County Superior Court	Judge

Subsection (2)(b) (if applicable):

PUBLIC OFFICER OR HOUSEHOLD MEMBER ³ BENEFITTED	NAME AND ADDRESS OF PERSON WHO PROVIDED SERVICES VALUED OVER \$1,000 FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NATURE OF SERVICES PROVIDED BY PERSON FOR YOUR OR YOUR HOUSEHOLD MEMBER'S USE OR BENEFIT	NAME AND ADDRESS OF THIRD PARTY WHO PAID FOR PERSON'S SERVICES ON YOUR OR YOUR HOUSEHOLD MEMBER'S BEHALF
N.A.			

3. Professional, Occupational and Business Licenses

What to disclose: List all professional, occupational or business licenses held by you or any member of your household at any time during the period covered by this Financial Disclosure Statement.

This includes licenses in which you or a member of your household had an "interest," which includes (but is not limited to) any business license held by a "controlled" or "dependent" business as defined in Question 12 below.

³ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

3. (cont.)

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁴ AFFECTED	TYPE OF LICENSE	PERSON OR ENTITY HOLDING THE LICENSE	JURISDICTION OR ENTITY THAT ISSUED LICENSE
Diane Johnsen	Law	Diane Johnsen	State Bar of Arizona
Spouse	Law	Spouse	State Bar of Arizona

4. Personal Creditors

What to disclose: The name and address of each creditor to whom you or a member of your household owed a qualifying personal debt⁵ over \$1,000 during any point during the period covered by this Financial Disclosure Statement.

Additionally, if the qualifying personal debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the applicable box to indicate whether it was incurred or discharged. Otherwise, check the box for "N/A" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as “personal debt”:

- Debts resulting from the ordinary conduct of a business (these will be disclosed in Section B below);
- Debts on any personal residence or recreational property;
- Debts on motor vehicles used primarily for personal purposes (not commercial purposes);
- Debts secured by cash values on life insurance;
- Debts owed to relatives;
- Personal credit card transactions or the value of any retail installment contracts you or your household member entered into.

⁴ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as “spouse,” “minor child 1,” “minor child 2,” etc.

⁵ A “qualifying” debt is a personal debt *other than* the types of debts in the bullet point list above.

4. (cont.)

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁴ OWING THE DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A

5. Personal Debtors

What to disclose: The name of each debtor who owed you or a member of your household a debt over \$1,000 at any time during the period covered by this Financial Disclosure Statement, along with the approximate value of the debt by financial category.

Additionally, if the debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁶ OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A

⁶ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

6. Gifts

What to disclose: The name of the donor who gave you or a member of your household a single gift or an accumulation of gifts during the preceding calendar year with a cumulative value over \$500, subject to the exceptions listed in the below “You need not disclose” paragraph. A “gift” means a gratuity (tip), special discount, favor, hospitality, service, economic opportunity, loan or other benefit received without adequate consideration (reciprocal value) and not provided to members of the public at large (in other words, a personal benefit you or your household member received without providing an equivalent benefit in return).

Please note: the concept of a “gift” for purposes of this Financial Disclosure Statement is separate and distinct from the gift restrictions outlined in Arizona’s lobbying statutes. Thus, disclosure in a lobbying report does not relieve you or a member of your household’s duty to disclose gifts in this Financial Disclosure Statement.

You need not disclose the following, which do not qualify as “gifts”:

- Gifts received by will;
- Gift received by intestate succession (in other words, gifts distributed to you or a household member according to Arizona’s intestate succession laws, not by will);
- Gift distributed from an *inter vivos* (living) or testamentary (by will) trust established by a spouse or family member;
- Gifts received from any other member of the household;
- Gifts received by parents, grandparents, siblings, children and grandchildren; or
- Political campaign contributions reported on campaign finance reports.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁷ WHO RECEIVED GIFT(S) OVER \$500	NAME OF GIFT DONOR
Diane Johnsen	Pound Civil Justice Institute

⁷ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as “spouse,” “minor child 1,” “minor child 2,” etc.

7. Office, Position or Fiduciary Relationship in Businesses, Nonprofit Organizations or Trusts

What to disclose: The name and address of each business, organization, trust or nonprofit organization or association in which you or any member of your household held any office, position, or fiduciary relationship during the period covered by this Financial Disclosure Statement, including a description of the office, position or relationship.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁷ HAVING THE REPORTABLE RELATIONSHIP	NAME AND ADDRESS OF BUSINESS, ORGANIZATION, TRUST, OR NONPROFIT ORGANIZATION OR ASSOCIATION	DESCRIPTION OF OFFICE, POSITION OR FIDUCIARY RELATIONSHIP HELD BY THE PUBLIC OFFICER OR HOUSEHOLD MEMBER
None		

8. Ownership or Financial Interests in Businesses, Trusts or Investment Funds

What to disclose: The name and address of each business, trust, or investment fund in which you or any member of your household had an ownership or beneficial interest of over \$1,000 during the period covered by this Financial Disclosure Statement. This includes stocks, annuities, mutual funds, or retirement funds. It also includes any financial interest in a limited liability company, partnership, joint venture, or sole proprietorship. Also, check the box to indicate the value of the interest.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁸ HAVING THE INTEREST	NAME AND ADDRESS OF BUSINESS, TRUST OR INVESTMENT FUND	DESCRIPTION OF THE BUSINESS, TRUST OR INVESTMENT FUND	APPROXIMATE EQUITY VALUE OF THE INTEREST
See attached lists.			<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +
			<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +
			<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +

⁸ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

9. Ownership of Bonds

What to disclose: Bonds issued by a state or local government agency worth more than \$1,000 that you or a member of your household held during the period covered by this Financial Disclosure Statement. Also, check the box to indicate the approximate value of the bonds.

Additionally, if the bonds were either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box whether the bonds were acquired or divested. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the bonds were not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁸ ISSUED BONDS	ISSUING STATE OR LOCAL GOVERNMENT AGENCY	APPROXIMATE VALUE OF BONDS	IF THE BONDS WERE FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
See attached lists.		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A

10. Real Property Ownership

What to disclose: Arizona real property (land) and improvements which was owned by you or a member of your household during the period covered by this Financial Disclosure Statement, other than your primary residence or property you use for personal recreation. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check the box to indicate whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: Your primary residence or property you use for personal recreation.

10. (cont.)

PUBLIC OFFICER OR HOUSEHOLD MEMBER ⁹ THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
None		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A

11. Travel Expenses

What to disclose: Each meeting, conference or other event during the period covered in this Financial Disclosure Statement where you participated in your official capacity and travel-related expenses of \$1,000 or more were paid on your behalf (or which you were reimbursed) for that meeting, conference, or other event. "Travel-related expenses" include, but are not limited to, the value of transportation, meals, and lodging to attend the meeting, conference, or other event.

You need not disclose: Any meeting, conference, or other event where paid or reimbursed travel-related expenses were less than \$1,000 or your personal monies were expended related to the travel.

NAME OF MEETING, CONFERENCE, OR EVENT ATTENDED IN OFFICIAL CAPACITY AS PUBLIC OFFICER	LOCATION	AMOUNT OR VALUE OF TRAVEL COSTS
Pound Civil Justice Institute: Forum for State Appellate Court Judges; Jurisdiction: Defining State Courts' Authority	Boston	<input checked="" type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +

⁹ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

B. BUSINESS FINANCIAL INTERESTS

This section requires disclosure of any financial interests of a business owned by you or a member of your household.

12. Business Names

What to disclose: The name of any business under which you or any member of your household owns or did business under (in other words, if you or your household member were self-employed) during the period covered by this Financial Disclosure Statement, which include any corporations, limited liability companies, partnerships, sole proprietorships or any other type of business conducted under a trade name.

Also disclose if the named business is controlled or dependent. A business is “controlled” if you or any member of your household (individually or combined) had an ownership interest that amounts to more than 50%. A business is classified as “dependent,” on the other hand, if: (1) you or any household member (individually or combined) had an ownership interest that amounts more than 10%; *and* (2) the business received more than \$10,000 from a single source during the period covered by this Financial Disclosure Statement, which amounted to more than 50% of the business’ gross income for the period.

Please note: If the business was either controlled or dependent, check the box to indicate whether it was controlled or dependent in the last column below. If the business was both controlled *and* dependent during the period covered by this Financial Disclosure Statement, check *both* boxes. Otherwise, leave the boxes in the last column below blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ¹⁰ OWNING THE BUSINESS	NAME AND ADDRESS OF BUSINESS	CHECK THE APPROPRIATE BOX IF THE BUSINESS IS “CONTROLLED” BY OR “DEPENDENT” ON YOU OR A HOUSEHOLD MEMBER
None		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent
		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent
		<input type="checkbox"/> Controlled <input type="checkbox"/> Dependent

Please note: If a business listed in the foregoing Question 12 was neither “controlled” nor “dependent” during the period covered by this Financial Disclosure Statement, you need not complete the remainder of this Financial Disclosure Statement with respect to that business. If none of the businesses listed in Question 12 were “controlled” or “dependent,” you need not complete the remainder of this Financial Disclosure Statement.

¹⁰ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as “spouse,” “minor child 1,” “minor child 2,” etc.

13. Controlled Business Information

What to disclose: The name of each controlled business listed in Question 12 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than \$10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a controlled business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S CONTROLLED BUSINESS	GOODS OR SERVICES PROVIDED BY THE CONTROLLED BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CLIENT	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CLIENT (IF A BUSINESS)
N.A.			

14. Dependent Business Information

What to disclose: The name of each dependent business listed in Question 12 above, and the goods or services provided by the business.

If a single client or customer (whether a person or business) accounts for more than \$10,000 *and* 25% of the business' gross income during the period covered by this Financial Disclosure Statement, the client or customer is deemed a "major client" and therefore you must describe what your business provided to this major client in the third column below. Also, if the major client is a business, please describe the client's type of business activities in the final column below (but if the major client is an individual, write "N/A" for "not applicable" in the final column below).

If the business does not have a major client, write "N/A" for "not applicable" in the last two columns below. Likewise, if the dependent business is also a controlled business, disclose the business only in Question 13 above and leave this question blank.

You need not disclose: The name of any major client, or the activities of any major client that is an individual.

If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF YOUR OR YOUR HOUSEHOLD MEMBER'S DEPENDENT BUSINESS	GOODS OR SERVICES PROVIDED BY THE DEPENDENT BUSINESS	DESCRIBE WHAT YOUR BUSINESS PROVIDES TO ITS MAJOR CUSTOMER	TYPE OF BUSINESS ACTIVITIES OF THE MAJOR CUSTOMER (IF A BUSINESS)
N.A.			

15. Real Property Owned by a Controlled or Dependent Business

What to disclose: Arizona real property (land) and improvements which was owned by a controlled or dependent business during the period covered by this Financial Disclosure Statement. Also describe the property's location (city and state) and approximate size (acreage or square footage), and check the box to indicate the approximate value of the land. If the business is one that deals in real property and improvements, check the box that corresponds to the aggregate value of all parcels held by the business during the period covered by this Financial Disclosure Statement.

Additionally, if the land was either acquired for the first time or completely divested (sold in full) during this period, list the date and check whether the land was acquired or divested. Otherwise, check "N/A" (for "not applicable") if the land was not first acquired or fully divested during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS THAT OWNS LAND	LOCATION AND APPROXIMATE SIZE	APPROXIMATE VALUE OF LAND	IF THE LAND WAS FIRST ACQUIRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N.A.		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Acquired <input type="checkbox"/> Divested <input type="checkbox"/> N/A

16. Controlled or Dependent Business' Creditors

What to disclose: The name and address of each creditor to which a controlled or dependent business owed more than \$10,000, if that amount was also more than 30% of the business' total indebtedness at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt").

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") after the word "Date" if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

NAME OF CONTROLLED OR DEPENDENT BUSINESS OWING THE QUALIFYING DEBT	NAME AND ADDRESS OF CREDITOR (OR PERSON TO WHOM PAYMENTS ARE MADE)	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N.A.		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A

17. Controlled or Dependent Business' Debtors

What to disclose: The name of each debtor who owed more than \$10,000 to a controlled or dependent business, if that amount was also more than 30% of the total indebtedness owed to the controlled or dependent business at any time during the period covered by this Financial Disclosure Statement ("qualifying business debt"). Also check the box to indicate the approximate value of the debt by financial category.

Additionally, if the qualifying business debt was either incurred for the first time or completely discharged (paid in full) during this period, list the date and check the box to indicate whether it was incurred or discharged. Otherwise, check "N/A" (for "not applicable") if the business debt was not first incurred or fully discharged during the period covered by this Financial Disclosure Statement.

You need not disclose: If you or your household member does not own a business, or if your or your household member's business is not a controlled or dependent business, you may leave this question blank.

PUBLIC OFFICER OR HOUSEHOLD MEMBER ¹¹ OWED THE DEBT	NAME OF DEBTOR	APPROXIMATE VALUE OF DEBT	IF THE DEBT WAS FIRST INCURRED OR COMPLETELY DISCHARGED DURING THIS REPORTING PERIOD, PROVIDE THE DATE (MM/DD/YYYY) AND CHECK THE APPROPRIATE BOX
N.A.		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A
		<input type="checkbox"/> \$1,000 - \$25,000 <input type="checkbox"/> \$25,001 - \$100,000 <input type="checkbox"/> \$100,001 +	Date: <input type="text"/> <input type="checkbox"/> Incurred <input type="checkbox"/> Discharged <input type="checkbox"/> N/A

¹¹ You are not required to disclose the names of your spouse or minor children. Thus, you may identify your household members as "spouse," "minor child 1", "minor child 2," etc.

	A	B	C	D
1		Ownership or Financial Interests in Businesses, Trusts or Funds		
2	Public Officer or Member of Household	Names and Address of Business, Trust or Fund	Description	Approximate Value
3		Funds		
4	Diane Johnsen and spouse	Alps Alerian MLP ETF, c/o Charles Schwab, Phoenix	Investment Fund	1,000-25,000
5	Diane Johnsen and spouse	AMG Yacktman Fund, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
6	Diane Johnsen and spouse	Baron Real Estate, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
7	Diane Johnsen and spouse	Boston Partners All Cap Value, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001 +
8	Diane Johnsen and spouse	DoubleLine Total Return Bond I, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
9	Diane Johnsen and spouse	First Eagle Global I, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
10	Diane Johnsen and spouse	Guggenheim Defensive Equity ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
11	Diane Johnsen and spouse	Invesco Dev Markets Y, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
12	Diane Johnsen and spouse	Ishares Edge Min Vol, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
13	Diane Johnsen and spouse	Matthews Asian Japan, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
14	Diane Johnsen and spouse	Metropolitan West Total Return Bond, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
15	Diane Johnsen and spouse	PIMCO Income Fund, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
16	Diane Johnsen and spouse	Principal Midcap, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
17	Diane Johnsen and spouse	SPDR BLMBRG BRCLY, c/o Charles Schwab, Phoenix	Investment Fund	1,000-25,000
18	Diane Johnsen and spouse	Touchstone Sands Capital Sel Growth, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
19	Diane Johnsen and spouse	Vanguard Growth ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	100,001+
20	Diane Johnsen and spouse	Vanguard Inflat Pro Sec Inv, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
21	Diane Johnsen and spouse	Vanguard Intermediate, c/o Charles Schwab	Investment Fund	1,000-25,000
22	Diane Johnsen and spouse	Wisdomtree Tr UK Hedg Eq Fd, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
23	Diane Johnsen and spouse	Wisdom Tree Trust Japan Hedge Eq, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
24	Diane Johnsen and spouse	WisdomTree Europe Hedged Equity, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
25	Diane Johnsen	Active Bear ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
26	Diane Johnsen	Cohen & Steers Preferred Securities In., c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
27	Diane Johnsen	Columbia Select Lg Cap GW, c/o Morgan Stanley	Investment Fund	1,000-25,000
28	Diane Johnsen	Doubleline Shiller Enhanced CAPE Index, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
29	Diane Johnsen	First Eagle Gold, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
30	Diane Johnsen	Janus Henderson Euro Focus I, c/o Morgan Stanley, Scottsdale	Investment Fund	1,000-25,000
31	Diane Johnsen	Vanguard Mid Cap Value ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
32	Diane Johnsen	Vanguard Value ETF, c/o Morgan Stanley, Scottsdale	Investment Fund	25,001-100,000
33	Diane Johnsen	Am Funds 2015 TrgtDtRtrmt R6, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
34	Spouse	AmFdsEuroPacfc Gr R6, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
35	Spouse	AIG Focused Div Strat C, c/o Nationwide, Phoenix	Investment Fund	1,000-25,000
36	Spouse	DodgeCox Int'l Stock Fd, c/o Nationwide, Phoenix	Investment Fund	100,001+
37	Spouse	Fid Contra, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
38	Spouse	Loomis Value, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
39	Spouse	NeuBer Soc Resp R6, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
40	Spouse	Nw Maricopa Fixed Option, c/o Nationwide, Phoenix	Investment Fund	100,001+
41	Spouse	PIMCO Hi Yld Inst., c/o Nationwide, Phoenix	Investment Fund	25,001-100,000

	A	B	C	D
42	Spouse	PIMCO T Rtn Inst., c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
43	Spouse	Principal MidCap Value 1 Ins., c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
44	Spouse	Vanguard 500 Index Fd AS, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
45	Spouse	Vanguard MdCap Indx Inst, c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
46	Spouse	Vanguard SmCap Indx Inst., c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
47	Spouse	Vanguard Ttl Bd Mkt Indx Inst., c/o Nationwide, Phoenix	Investment Fund	25,001-100,000
48				
49		Stocks		
50	Diane Johnsen and spouse	Abbot Labs, Chicago, IL	Health Care	1,000-25,000
51	Diane Johnsen and spouse	Accenture, Dublin, Ireland	Business consulting	1,000-25,000
52	Diane Johnsen and spouse	Allergan PLC SHS, Dublin (held through Morgan Stanley U.S. Equity Portfolio)	Pharmaceutical company	1,000-25,000
53	Diane Johnsen and spouse	Alphabet Inc. Cl. A, Cl. C, Mountain View, California	Tech company	100,001 +
54	Diane Johnsen and spouse	Altria Group Inc., Richmond, VA	Tobacco	1,000-25,000
55	Diane Johnsen and spouse	Amazon, Seattle (held through Morgan Stanley U.S. Equity Portfolio)	Retailer	1,000-25,000
56	Diane Johnsen and spouse	American International Grp, New York City	Insurance, financial	1,000-25,000
57	Diane Johnsen and spouse	Amgen, Thousand Oaks, CA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
58	Diane Johnsen and spouse	Analog Devices, Inc., Norwood, MA	Technology	1,000-25,000
59	Diane Johnsen and spouse	Apple, Seattle (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
60	Diane Johnsen and spouse	AT&T, TX	Communications	1,000-25,000
61	Diane Johnsen and spouse	Arthur Gallagher & Co., Itasca, IL	Insurance	1,000-25,000
62	Diane Johnsen and spouse	BB&T Corp., Winston-Salem, N.C.	Financial services	1,000-25,000
63	Diane Johnsen and spouse	Becton Dickinson & Co., Franklin Lakes, N.J.	Medical technology	1,000-25,000
64	Diane Johnsen and spouse	Berkshire Hathaway, Omaha	Investment Fund	100,001 +
65	Diane Johnsen and spouse	Blackstone Group LP, NY (held through Morgan Stanley U.S. Equity Portfolio)	Asset manager	1,000-25,000
66	Diane Johnsen and spouse	CBS Corp New LC B, NY (held through Morgan Stanley U.S. Equity Portfolio)	Communications	1,000-25,000
67	Diane Johnsen and spouse	Charles Schwab New, NY (held through Morgan Stanley U.S. Equity Portfolio)	Asset manager	1,000-25,000
68	Diane Johnsen and spouse	Chevron Corp, Ramon, CA (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
69	Diane Johnsen and spouse	Chubb Ltd, N.J. (held through Morgan Stanley U.S. Equity Portfolio)	Insurance	1,000-25,000
70	Diane Johnsen and spouse	Citigroup Inc. New (C), NY, NY	Finance	1,000-25,000
71	Diane Johnsen and spouse	Cisco Systems, San Jose, CA	Technology	1,000-25,000
72	Diane Johnsen and spouse	Coco Cola, Atlanta	Food and beverage	1,000-25,000
73	Diane Johnsen and spouse	Cognizant Tech Solutoins CL A, NJ (held through Morgan Stanley U.S. Equity Portfolio)	Tech consulting	1,000-25,000
74	Diane Johnsen and spouse	Colgate-Palmolive, New York	Consumer products	1,000-25,000
75	Diane Johnsen and spouse	CVS Health Group, Woonsocket, RI	Retail pharmacy and healthcare	1,000-25,000
76	Diane Johnsen and spouse	Danaher, D.C. (held through Morgan Stanley U.S. Equity Portfolio)	Medical technology	1,000-25,000
77	Diane Johnsen and spouse	Deere & Co., Moline, IL	Manufacturing	1,000-25,000
78	Diane Johnsen and spouse	Diageo PLC, London, UK	Alcoholic beverages	1,000-25,000
79	Diane Johnsen and spouse	DowDupont, Midland, Minnesota	Chemical company	1,000-25,000
80	Diane Johnsen and spouse	EOG Resources, Houston (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
81	Diane Johnsen and spouse	Eversource Energy, Boston, MA	Energy	1,000-25,000
82	Diane Johnsen and spouse	Exelon Corp., IL (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000

	A	B	C	D
83	Diane Johnsen and spouse	Exxon Mobil, TX	Petroleum	1,000-25,000
84	Diane Johnsen and spouse	Genuine Parts, Atlanta, GA	Automotive parts	1,000-25,000
85	Diane Johnsen and spouse	Gilead Science, Foster City, CA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
86	Diane Johnsen and spouse	Goldman Sachs Grp., NY (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
87	Diane Johnsen and spouse	Honeywell International, N.J. (held through Morgan Stanley U.S. Equity Portfolio)	Manufacturing	1,000-25,000
88	Diane Johnsen and spouse	Intersoll-Rand, NC (held through Morgan Stanley U.S. Equity Portfolio)	Manufacturing	1,000-25,000
89	Diane Johnsen and spouse	Intl Business Machines Corp. CA (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
90	Diane Johnsen and spouse	Intel, Santa Clara, CA	Technology	1,000-25,000
91	Diane Johnsen and spouse	JP Morgan Chase, NY (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000
92	Diane Johnsen and spouse	Johnson & Johnson, New Brunswick, NY	Pharmaceuticals	1,000-25,000
93	Diane Johnsen and spouse	Kraft Heinz Co., Chicago, IL	Food and beverage	1,000-25,000
94	Diane Johnsen and spouse	Lockheed Martin Corp., Bethesda, MD	Aerospace and defense	1,000-25,000
95	Diane Johnsen and spouse	Lowe's Companies, Inc., North Carolina	Retailer	1,000-25,000
96	Diane Johnsen and spouse	Microsoft, Redmond, WA	Technology	1,000-25,000
97	Diane Johnsen and spouse	Mondelez Int'l Inc. Com, NJ	Food and beverage	1,000-25,000
98	Diane Johnsen and spouse	Nestle SA, Switzerland	Food and beverage	1,000-25,000
99	Diane Johnsen and spouse	Novartis AG, Switzerland	Pharmaceuticals	1,000-25,000
100	Diane Johnsen and spouse	Occidental Petroleum Corp., TX (held through Morgan Stanley U.S. Equity Portfolio)	Energy	1,000-25,000
101	Diane Johnsen and spouse	Oracle, CA (held through Morgan Stanley U.S. Equity Portfolio)	Technology	1,000-25,000
102	Diane Johnsen and spouse	Omnicom Group Inc., New York	Marketing, communications	1,000-25,000
103	Diane Johnsen and spouse	Oracle Corp., Redwood City, CA	Technology	1,000-25,000
104	Diane Johnsen and spouse	Parker-Hannifin, Cleveland, OH	Motion & control tech	1,000-25,000
105	Diane Johnsen and spouse	Pepsico, NY (held through Morgan Stanley U.S. Equity Portfolio)	Soft drink	1,000-25,000
106	Diane Johnsen and spouse	Pfizer, NY (held through Morgan Stanley U.S. Equity Portfolio)	Pharmaceuticals	1,000-25,000
107	Diane Johnsen and spouse	Philip Morris, New York City	Tobacco	1,000-25,000
108	Diane Johnsen and spouse	PPG Industries, Pittsburgh, PA	Paints	1,000-25,000
109	Diane Johnsen and spouse	Procter & Gamble, Cincinnati, OH	Consumer products	1,000-25,000
110	Diane Johnsen and spouse	Raytheon, Waltham, MA	Defense	1,000-25,000
111	Diane Johnsen and spouse	Sherwin Williams Co. Ohio, Cleveland, OH	Building materials	1,000-25,000
112	Diane Johnsen and spouse	Stanley Black & Decker Inc., CT	Tools, hardware	1,000-25,000
113	Diane Johnsen and spouse	Thermo Fisher Scientific, MA (held through Morgan Stanley U.S. Equity Portfolio)	Biotech	1,000-25,000
114	Diane Johnsen and spouse	T-Mobile U.S., WA (held through Morgan Stanley U.S. Equity Portfolio)	Communications	1,000-25,000
115	Diane Johnsen and spouse	T Rowe Price, Baltimore, MD	Asset management	1,000-25,000
116	Diane Johnsen and spouse	Texas Instruments, Dallas, TX	Technology	1,000-25,000
117	Diane Johnsen and spouse	United Technologies, Farmington, CT	Aircraft manufacturing	1,000-25,000
118	Diane Johnsen and spouse	Verizon, Basking Ridge, NJ	Telecom	1,000-25,000
119	Diane Johnsen and spouse	VF Corporation, Greensboro, N.C.	Apparel	1,000-25,000
120	Diane Johnsen and spouse	Walt Disney Co. Hldg Co., Burbank, CA	Mass media	1,000-25,000
121	Diane Johnsen and spouse	Wells Fargo, CA (held through Morgan Stanley U.S. Equity Portfolio)	Finance	1,000-25,000

	A	B	C	D
122	Diane Johnsen and spouse	Xilinx Inc., San Jose, CA	Tech company	1,000-25,000
123	Diane Johnsen and spouse	3M, Maplewood, Minnesota	Manufacturing	1,000-25,000
124	Diane Johnsen	Anardarko, TX	Energy	1,000-25,000
125	Diane Johnsen	AT&T, TX	Communications	1,000-25,000
126	Diane Johnsen	Comcast	Communications	1,000-25,000
127	Diane Johnsen	Exxon, TX	Energy	25,001-100,000
128	Diane Johnsen	PGE, CA	Communications	1,000-25,000
129	Diane Johnsen	Union Pacific, NE	Rail	25,001-100,000
130				
131		Bonds		
132	Diane Johnsen and spouse	Az Bd Regents Univ. Az Sys Rev Ref-A, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 03/15/17
133	Diane Johnsen and spouse	Az Bd Regents Univ. of Az Rev Ref , AZ, c/o Morgan Stanley	25,001-100,000	Divested, 02/21/17
134	Diane Johnsen and spouse	Austin Tex Pub Impt Genl Oblig, c/o Morgan Stanley	1,000-25,000	Acquired, 09/12/17
135	Diane Johnsen and spouse	Durham Cnty N.C. Genl Oblig Ref, NC, c/o Morgan Stanley	1,000-25,000	Held
136	Diane Johnsen and spouse	Gesinger Auth PA Health Sys Rev-A-2	1,000-25,000	Acquired, 04/19/17
137	Diane Johnsen and spouse	Honolulu City & Cnty Wastewtr Sys Rev-A, c/o Morgan Stanley	1,000-25,000	Acquired, 03/01/17
138	Diane Johnsen and spouse	Katy Tex Indpt Sch Dist Tax Sch Bldg PSF-GID, c/o Morgan Stanley	1,000-25,000	Acquired, 04/19/17
139	Diane Johnsen and spouse	Maricopoa Cnty Az Uni Sch Dist No. 097 Deer Vy, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 04/11/17
140	Diane Johnsen and spouse	Maryland St. Genl Oblig Ser-B	1,000-25,000	Acquired, 03/16/17
141	Diane Johnsen and spouse	Mass. Wtr Res Auth Genl Rev Ref-C, MA, c/o Morgan Stanley	1,000-25,000	Held
142	Diane Johnsen and spouse	Metropolitan Atlanta Rapid Tra Sales Tax Rev-C, GA, c/o Morgan Stanley	1,000-25,000	Held
143	Diane Johnsen and spouse	Minneapolis & St Paul Minn Met Arpts Commn ARPT Rev Ref-A, c/o Morgan Stanley	1,000-25,000	Acquired, 03/21/17
144	Diane Johnsen and spouse	Minnesota Pub Facs Auth St Revolving Fd, MN, c/o Morgan Stanley	1,000-25,000	Acquired, 03/21/17
145	Diane Johnsen and spouse	New York St Dorm Auth Revs Non St Supported Debt Rev-A, c/o Morgan Stanley	1,000-25,000	Acquired, 04/19/17
146	Diane Johnsen and spouse	Phoenix Ariz Civic Impt Corp Wtr Sys Rev B, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 04/11/17
147	Diane Johnsen and spouse	Regional Pub Transn Auth Az Transn Excise Tax, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 03/15/17
148	Diane Johnsen and spouse	Salt River Proj Az Agric Impt Pwr Dist Rev-A, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 04/11/17
149	Diane Johnsen and spouse	Surprise Az Pledged Rev Ref Obligations, AZ, c/o Morgan Stanley	1,000-25,000	Divested, 02/21/17
150	Diane Johnsen and spouse	Univ Houston Tex Univ Revs Rev Ref, TX, c/o Morgan Stanley	1,000-25,000	Held
151				
152		Other		
153	Diane Johnsen and spouse	Az Elected Officials Retirement Fund	Retirement fund (not self-managed)	100,001+
154	Diane Johnsen and spouse	Protective Life Ins. Co. policy (surrender value)	Life Insurance	25,001-100,000
155	Diane Johnsen and spouse	General America Financial Life Ins. policy (surrender value)	Life Insurance	100,001+
156	Diane Johnsen and spouse	General America Financial Life Ins. policy (surrender value)	Life Insurance	100,001+
157	Diane Johnsen and spouse	Phoenix Life Ins. Policy (surrender value)	Life Insurance	25,001-100,000
158	Diane Johnsen and spouse	Mass Mutual Life Ins. Policy (surrender value)	Life Insurance	1,000-25,000