

# Arizona Administrative REGISTER

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# From the Publisher



## ABOUT THIS PUBLICATION

The paper copy of the *Administrative Register* (A.A.R.) is the official publication for rules and rulemaking activity in the state of Arizona.

Rulemaking is defined in Arizona Revised Statutes known as the Arizona Administrative Procedure Act (APA), A.R.S. Title 41, Chapter 6, Articles 1 through 10.

The Office of the Secretary of State does not interpret or enforce rules published in the *Arizona Administrative Register* or *Code*. Questions should be directed to the state agency responsible for the promulgation of the rule as provided in its published filing.

The *Register* is cited by volume and page number. Volumes are published by calendar year with issues published weekly. Page numbering continues in each weekly issue.

In addition, the *Register* contains the full text of the Governor's Executive Orders and Proclamations of general applicability, summaries of Attorney General opinions, notices of rules terminated by the agency, and the Governor's appointments of state officials and members of state boards and commissions.

## ABOUT RULES

Rules can be: made (all new text); amended (rules on file, changing text); repealed (removing text); or renumbered (moving rules to a different Section number). Rules activity published in the *Register* includes: proposed, final, emergency, expedited, and exempt rules as defined in the APA.

Rulemakings initiated under the APA as effective on and after January 1, 1995, include the full text of the rule in the *Register*. New rules in this publication (whether proposed or made) are denoted with underlining; repealed text is stricken.

## WHERE IS A "CLEAN" COPY OF THE FINAL OR EXEMPT RULE PUBLISHED IN THE REGISTER?

The *Arizona Administrative Code* (A.A.C) contains the codified text of rules. The A.A.C. contains rules promulgated and filed by state agencies that have been approved by the Attorney General or the Governor's Regulatory Review Council. The *Code* also contains rules exempt from the rulemaking process.

The printed *Code* is the official publication of a rule in the A.A.C. is prima facie evidence of the making, amendment, or repeal of that rule as provided by A.R.S. § 41-1012. Paper copies of rules are available by full Chapter or by subscription. The *Code* is posted online for free.

## LEGAL CITATIONS AND FILING NUMBERS

On the cover: Each agency is assigned a Chapter in the *Arizona Administrative Code* under a specific Title. Titles represent broad subject areas. The Title number is listed first; with the acronym A.A.C., which stands for the *Arizona Administrative Code*; following the Chapter number and Agency name, then program name. For example, the Secretary of State has rules on rulemaking in Title 1, Chapter 1 of the *Arizona Administrative Code*. The citation for this chapter is 1 A.A.C. 1, Secretary of State, Rules and Rulemaking

Every document filed in the office is assigned a file number. This number, enclosed in brackets, is located at the top right of the published documents in the *Register*. The original filed document is available for 10 cents a copy.

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**PUBLICATION DEADLINES**  
Publication dates are published in the back of the *Register*. These dates include file submittal dates with a three-week turnaround from filing to published document.

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# Participate in the Process

## Look for the Agency Notice

Review (inspect) notices published in the *Arizona Administrative Register*. Many agencies maintain stakeholder lists and would be glad to inform you when they proposed changes to rules. Check an agency's website and its newsletters for news about notices and meetings.

Feel like a change should be made to a rule and an agency has not proposed changes? You can petition an agency to make, amend, or repeal a rule. The agency must respond to the petition. (See A.R.S. § 41-1033)

## Attend a public hearing/meeting

Attend a public meeting that is being conducted by the agency on a Notice of Proposed Rulemaking. Public meetings may be listed in the Preamble of a Notice of Proposed Rulemaking or they may be published separately in the *Register*. Be prepared to speak, attend the meeting, and make an oral comment.

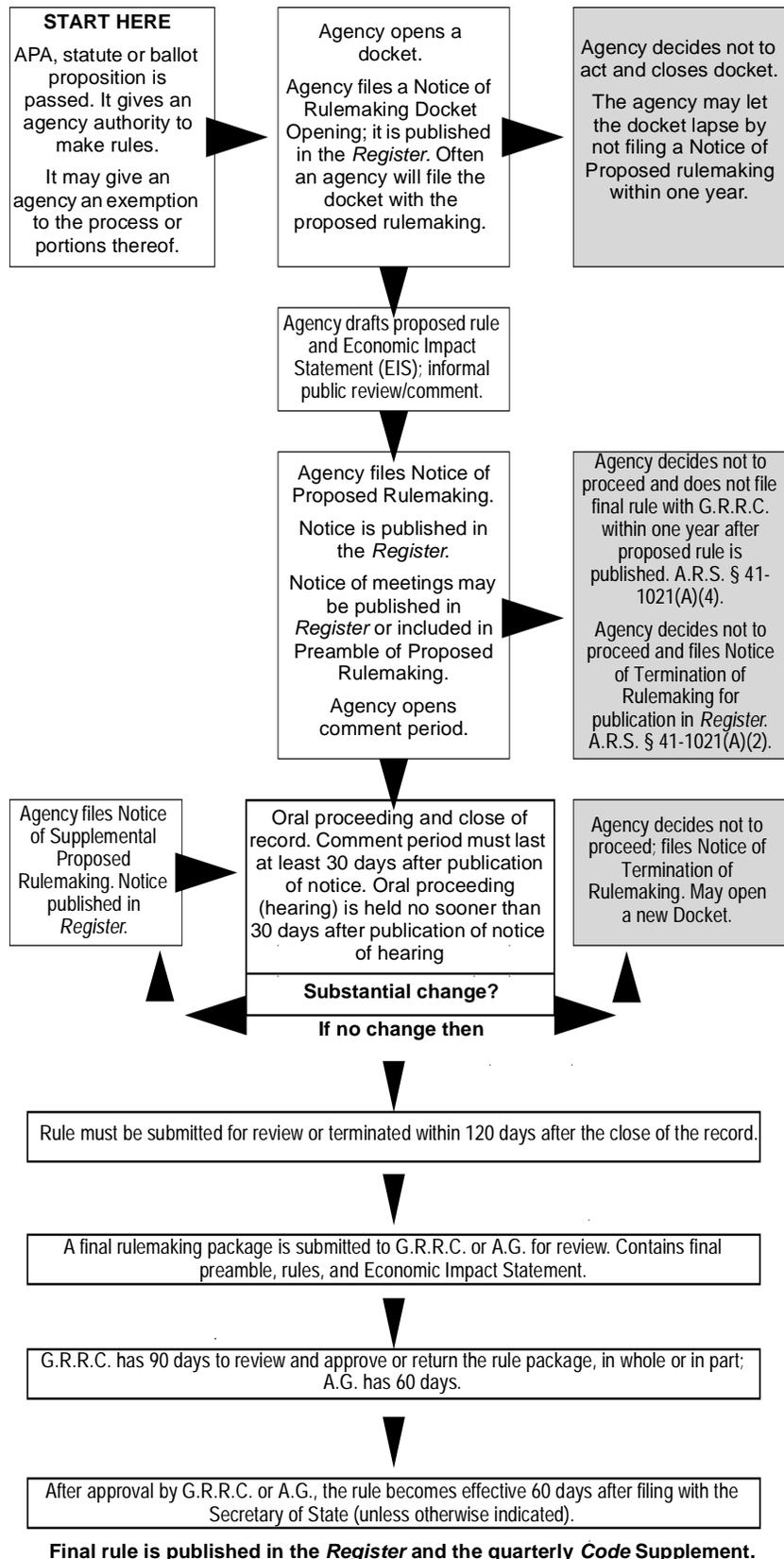
An agency may not have a public meeting scheduled on the Notice of Proposed Rulemaking. If not, you may request that the agency schedule a proceeding. This request must be put in writing within 30 days after the published Notice of Proposed Rulemaking.

## Write the agency

Put your comments in writing to the agency. In order for the agency to consider your comments, the agency must receive them by the close of record. The comment must be received within the 30-day comment timeframe following the *Register* publication of the Notice of Proposed Rulemaking.

You can also submit to the Governor's Regulatory Review Council written comments that are relevant to the Council's power to review a given rule (A.R.S. § 41-1052). The Council reviews the rule at the end of the rulemaking process and before the rules are filed with the Secretary of State.

# Arizona Regular Rulemaking Process





**Definitions**

**Arizona Administrative Code (A.A.C.):** Official rules codified and published by the Secretary of State’s Office. Available online at [www.azsos.gov](http://www.azsos.gov).

**Arizona Administrative Register (A.A.R.):** The official publication that includes filed documents pertaining to Arizona rulemaking. Available online at [www.azsos.gov](http://www.azsos.gov).

**Administrative Procedure Act (APA):** A.R.S. Title 41, Chapter 6, Articles 1 through 10. Available online at [www.azleg.gov](http://www.azleg.gov).

**Arizona Revised Statutes (A.R.S.):** The statutes are made by the Arizona State Legislature during a legislative session. They are compiled by Legislative Council, with the official publication codified by Thomson West. Citations to statutes include Titles which represent broad subject areas. The Title number is followed by the Section number. For example, A.R.S. § 41-1001 is the definitions Section of Title 41 of the Arizona Administrative Procedures Act. The “§” symbol simply means “section.” Available online at [www.azleg.gov](http://www.azleg.gov).

**Chapter:** A division in the codification of the *Code* designating a state agency or, for a large agency, a major program.

**Close of Record:** The close of the public record for a proposed rulemaking is the date an agency chooses as the last date it will accept public comments, either written or oral.

**Code of Federal Regulations (CFR):** The *Code of Federal Regulations* is a codification of the general and permanent rules published in the *Federal Register* by the executive departments and agencies of the federal government.

**Docket:** A public file for each rulemaking containing materials related to the proceedings of that rulemaking. The docket file is established and maintained by an agency from the time it begins to consider making a rule until the rulemaking is finished. The agency provides public notice of the docket by filing a Notice of Rulemaking Docket Opening with the Office for publication in the *Register*.

**Economic, Small Business, and Consumer Impact Statement (EIS):** The EIS identifies the impact of the rule on private and public employment, on small businesses, and on consumers. It includes an analysis of the probable costs and benefits of the rule. An agency includes a brief summary of the EIS in its preamble. The EIS is not published in the *Register* but is available from the agency promulgating the rule. The EIS is also filed with the rulemaking package.

**Governor’s Regulatory Review (G.R.R.C.):** Reviews and approves rules to ensure that they are necessary and to avoid unnecessary duplication and adverse impact on the public. G.R.R.C. also assesses whether the rules are clear, concise, understandable, legal, consistent with legislative intent, and whether the benefits of a rule outweigh the cost.

**Incorporated by Reference:** An agency may incorporate by reference standards or other publications. These standards are available from the state agency with references on where to order the standard or review it online.

**Federal Register (FR):** The *Federal Register* is a legal newspaper published every business day by the National Archives and Records Administration (NARA). It contains federal agency regulations; proposed rules and notices; and executive orders, proclamations, and other presidential documents.

**Session Laws or “Laws”:** When an agency references a law that has not yet been codified into the Arizona Revised Statutes, use the word “Laws” is followed by the year the law was passed by the Legislature, followed by the Chapter number using the abbreviation “Ch.,” and the specific Section number using the Section symbol (§). For example, Laws 1995, Ch. 6, § 2. Session laws are available at [www.azleg.gov](http://www.azleg.gov).

**United States Code (U.S.C.):** The Code is a consolidation and codification by subject matter of the general and permanent laws of the United States. The Code does not include regulations issued by executive branch agencies, decisions of the federal courts, treaties, or laws enacted by state or local governments.

**Acronyms**

A.A.C. – *Arizona Administrative Code*

A.A.R. – *Arizona Administrative Register*

APA – *Administrative Procedure Act*

A.R.S. – *Arizona Revised Statutes*

CFR – *Code of Federal Regulations*

EIS – *Economic, Small Business, and Consumer Impact Statement*

FR – *Federal Register*

G.R.R.C. – *Governor’s Regulatory Review Council*

U.S.C. – *United States Code*

**About Preambles**

The Preamble is the part of a rulemaking package that contains information about the rulemaking and provides agency justification and regulatory intent.

It includes reference to the specific statutes authorizing the agency to make the rule, an explanation of the rule, reasons for proposing the rule, and the preliminary Economic Impact Statement.

The information in the Preamble differs between rulemaking notices used and the stage of the rulemaking.



NOTICES OF FINAL RULEMAKING

This section of the Arizona Administrative Register contains Notices of Final Rulemaking. Final rules have been through the regular rulemaking process as defined in the Administrative Procedures Act. These rules were either approved by the Governor's Regulatory Review Council or the Attorney General's Office. Certificates of Approval are on file with the Office.

The final published notice includes a preamble and

text of the rules as filed by the agency. Economic Impact Statements are not published.

The Office of the Secretary of State is the filing office and publisher of these rules. Questions about the interpretation of the final rules should be addressed to the agency that promulgated them. Refer to Item #5 to contact the person charged with the rulemaking. The codified version of these rules will be published in the Arizona Administrative Code.

NOTICE OF FINAL RULEMAKING

TITLE 12. NATURAL RESOURCES

CHAPTER 1. RADIATION REGULATORY AGENCY

[R15-05]

PREAMBLE

- 1. Article, Part, or Section Affected (as applicable) Rulemaking Action
R12-1-1215 Amend
Table A Amend
R12-1-1302 Amend
R12-1-1306 Amend
2. Citations to the agency's statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):
Authorizing statute: A.R.S. § 30-654(B)(5)
Implementing statutes: A.R.S. §§ 30-651, 30-654, 30-657, 30-671(B), 30-672, 30-673, 30-681, 30-687, 30-688, and 30-689.
3. The effective date of the rule:
April 6, 2015
4. Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:
Notice of Rulemaking Docket Opening: 20 A.A.R. 2121, August 8, 2014
Notice of Proposed Rulemaking: 20 A.A.R. 2067, August 8, 2014
5. The agency's contact person who can answer questions about the rulemaking:
Name: Jerry W. Perkins
Address: Arizona Radiation Regulatory Agency
4814 S. 40th St.
Phoenix, AZ 85040
Telephone: (602) 255-4833
Fax: (602) 437-0705
E-mail: jperkins@azrra.gov
Website: www.azrra.gov
6. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:
This rulemaking package amends several rules to modify the existing fee structure for non-ionizing devices related to radio frequency coagulators. These changes are necessary in order to reduce the fees to a level more consistent with the workload of regulating the radiation safety program for these units.
7. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:
None



**8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:**

Not applicable

**9. A summary of the economic, small business, and consumer impact:**

Currently, all registrants pay an annual fee which covers the administrative cost and inspection fees for each facility registration number. This package reduces the fee table for some radio frequency devices by separating these units from the current medical fee grouping currently listed under R12-1-1306(F)(8). No new FTE's were needed for this rulemaking package so additional notice was not sent to Joint Legislative Budget Committee (JLBC).

**10. A description of any changes between the proposed rulemaking, to include supplemental notices, and the final rulemaking:**

Grammatical, clarifying, and formatting changes were made to the proposed rules following the suggestions of the Office of the Secretary of State. The Agency submitted a reduced fee structure for the class of radiofrequency devices found during inspections at existing registrants. The four new classifications had proposed fees of \$47, \$120, \$295, or \$470, however, the Arizona Radiation Regulatory Hearing Board reduced the fees to zero.

**11. An agency's summary of the public or stakeholder comments made about the rulemaking and the agencies response to the comments:**

With the approval of the Governor's office to proceed with rulemaking to reduce regulatory fees, as well as comments received by the Agency staff during, the rulemaking process was initiated. No comments were received after the docket was opened and before the oral proceeding took place. No members of the public appeared at the oral proceedings. The Agency made a single recommendation during the hearing, to reduce the fee for all four classes to zero because the units did not add to the cost of inspection at the facility and the original intent of the rulemaking was to reduce fees for the registrants which was approved by the board.

**12. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:**

The rulemaking by the Agency was discussed and adopted by the Arizona Radiation Regulatory Hearing Board unanimously in compliance with A.R.S. § 30-655 which requires review and approval of rules promulgated by the Agency.

**a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:**

The Agency believes that it is exempt from A.R.S. § 41-1037 under subsection (A)(2) as the issuance of an alternative type of permit is authorized under the statutory requirement of A.R.S. §§ 30-672(A), (B), and (G).

**b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:**

The rule amendments are compatible with existing federal regulations and are not more stringent in sections that have a federal equivalent. Currently the regulation of radiation producing equipment is conducted at the state level; federal regulations in Title 21 of the Code of Federal Regulations govern the manufacture and classification of radiation producing electronic devices. The devices are classified as Class II prescription only medical instruments by the U. S. Food and Drug Administration (FDA) but the practice of medicine for these devices is regulated by various boards such as the Medical board, the Chiropractic board, the Naturopathic board, and other occupational licensing boards in the state of Arizona, the registration and safety regulations are the only portion of regulatory control this Agency has on these devices.

**c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:**

No analysis has been submitted.

**13. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:**

Not applicable

**14. Whether the rule was previously made, amended or repealed as an emergency rule. If so, cite the notice published in the Register as specified in R1-1-409(A). Also, the agency shall state where the text was changed between the emergency and the final rulemaking packages:**

Not applicable

**15. The full text of the rules follows:**



**TITLE 12. NATURAL RESOURCES**

**CHAPTER 1. RADIATION REGULATORY AGENCY**

**ARTICLE 12. ADMINISTRATIVE PROVISIONS**

Section

- R12-1-1215. License and Registration Divisions
- Table A. Registration and Licensing Time-frames

**ARTICLE 13. LICENSE AND REGISTRATION FEES**

Section

- R12-1-1302. License and Registration Categories
- R12-1-1306. Table of Fees

**ARTICLE 12. ADMINISTRATIVE PROVISIONS**

**R12-1-1215. License and Registration Divisions**

**A.** Each registrant or license type is classified into one of three administrative sanction divisions.

1. Division I licenses and registrations:

- a. No change
- b. No change
- c. No change
- d. No change
- e. No change
- f. No change
- g. No change
- h. No change
- i. No change
- j. No change
- k. No change
- l. No change
- m. No change
- n. No change
- o. No change
- p. No change
- q. No change
- r. No change
- s. No change
- t. No change
- u. No change

2. Division II licenses and registrations:

- a. No change
- b. No change
- c. No change
- d. No change
- e. No change
- f. No change
- g. No change
- h. No change
- i. No change
- j. No change
- k. No change
- l. No change
- m. No change
- n. No change
- o. No change
- p. No change
- q. No change
- r. No change
- s. No change



- t. No change
- u. No change
- 3. Division III licenses and registrations:
  - a. No change
  - b. No change
  - c. No change
  - d. No change
  - e. No change
  - f. No change
  - g. No change
  - h. No change
  - i. No change
  - j. No change
  - k. No change
  - l. No change
  - m. No change
  - n. No change
  - o. No change
  - p. No change
  - q. No change
  - r. No change
  - s. No change
  - t. No change
  - u. Class A Medical (non-cosmetic) Radiofrequency Facility.
  - v. Class B Medical (non-cosmetic) Radiofrequency Facility.
  - w. Class C Medical (non-cosmetic) Radiofrequency Facility.
  - x. Class D Medical (non-cosmetic) Radiofrequency Facility.
- B. No change
- C. No change
- D. No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change

**Table A. Registration and Licensing Time-frames**  
**REGISTRATION AND LICENSING TIME-FRAMES**

License or Registration category in R12-1-1306	Administrative Completeness		Overall Time-frame, in days
	Review Time-frame, in days	Substantive Review Time-frame, in days	
A1	90	30	120
A2	90	30	120
A3	90	30	120
A4	60	30	90
B1	90	30	120
B2	90	30	120
B3	90	30	120
B4	90	30	120
B5	90	30	120
B6	40	20	60
C1	60	30	90
C2	60	30	90
C3	60	30	90
C4	60	30	90
C5	60	30	90
C6	60	30	90
C7	60	30	90



C8	90	30	120
C9	60	30	90
C10	40	20	60
C11	90	30	120
C12	90	30	120
C13	90	30	120
C14	90	30	120
C15	90	30	120
C16	90	30	120
C17	90	30	120
D1	90	30	120
D2	90	30	120
D3	90	30	120
D4	40	20	60
D5	40	20	60
D6	90	30	120
D7	40	20	60
D8	60	30	90
D9	90	30	120
D10	90	30	120
D11	1095	365	1460
D12	730	180	910
D13	365	90	455
D14	90	30	120
D15	40	20	60
D16	20	10	30
D17	40	20	60
D18	90	30	120
D19	365	120	485
E1	40	20	60
E2	40	20	60
E3	40	20	60
E4	40	20	60
E5	90	30	120
E6	90	30	120
F1	40	20	60
F2	40	20	60
F3	40	20	60
F4	40	20	60
F5	20	10	30
F6	40	20	60
F7	40	20	60
F8	40	20	60
F9	40	20	60
F10	40	20	60
F11	40	20	60
<u>F12</u>	<u>40</u>	<u>20</u>	<u>60</u>
<u>F13</u>	<u>40</u>	<u>20</u>	<u>60</u>
<u>F14</u>	<u>40</u>	<u>20</u>	<u>60</u>
<u>F15</u>	<u>40</u>	<u>20</u>	<u>60</u>
<del>F16</del> 16	90	30	120

Footnote: No change



**ARTICLE 13. LICENSE AND REGISTRATION FEES**

**R12-1-1302. License and Registration Categories**

- A.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
- B.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
- C.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
  - 10. No change
  - 11. No change
  - 12. No change
  - 13. No change
  - 14. No change
  - 15. No change
  - 16. No change
  - 17. No change
- D.** No change
  - 1. No change
    - a. No change
    - b. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
  - 10. No change
  - 11. No change
  - 12. No change
  - 13. No change
  - 14. No change
  - 15. No change
  - 16. No change
  - 17. No change
  - 18. No change
  - 19. No change
- E.** No change
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change



- 6. No change
- F. Category F registrations are those that register nonionizing radiation producing sources regulated under 12 A.A.C. 1, Article 14. The Agency shall not combine Category F registrations with any other registration categories that have a difference in fee per unit.
  - 1. No change
  - 2. No change
  - 3. No change
  - 4. No change
  - 5. No change
  - 6. No change
  - 7. No change
  - 8. No change
  - 9. No change
  - 10. No change
  - 11. No change
  - 12. A class A medical radiofrequency device registration authorizes the operation of one or two radiofrequency diathermy or electrocoagulation units not used in non-ionizing cosmetic procedures.
  - 13. A class B medical radiofrequency device registration authorizes the operation of three to nine radiofrequency diathermy or electrocoagulation units not used in non-ionizing cosmetic procedures.
  - 14. A class C medical radiofrequency device registration authorizes the operation of 10 to 19 radiofrequency diathermy or electrocoagulation units not used in non-ionizing cosmetic procedures.
  - 15. A class D medical radiofrequency device registration authorizes the operation of 20 or more radiofrequency diathermy or electrocoagulation units not used in non-ionizing cosmetic procedures.
  - ~~12.~~16. An “other” nonionizing radiation device authorizes the operation of a nonionizing radiation device or other device not included in any other category specified in subsection (F).

**R12-1-1306. Table of Fees**

A. The application and annual fee for each category and type are shown in Table 13-1.

Category	Type	Annual fee
A1.	Broad academic class A . . . . .	\$5,800
A2.	Broad academic class B . . . . .	\$5,800
A3.	Broad academic class C . . . . .	\$5,800
A4.	Limited academic. . . . .	\$1,000
B1.	Broad medical . . . . .	\$11,000
B2.	Medical materials class A . . . . .	\$1,900
B3.	Medical materials class B . . . . .	\$1,900
B4.	Medical materials class C . . . . .	\$1,900
B5.	Medical teletherapy . . . . .	\$5,200
B6.	General medical . . . . .	\$250
C1.	Broad industrial class A . . . . .	\$11,400
C2.	Broad industrial class B . . . . .	\$11,400
C3.	Broad industrial class C . . . . .	\$3,200
C4.	Limited industrial. . . . .	\$700
C5.	Portable gauge . . . . .	\$1,000
C6.	Fixed gauge class A . . . . .	\$1,000
C7.	Fixed gauge class B . . . . .	\$1,000
C8.	Leak detector . . . . .	\$1,330
C9.	Gas chromatograph . . . . .	\$1,000
C10.	General industrial. . . . .	No Fee
C11.	Industrial radiography class A . . . . .	\$5,500
C12.	Industrial radiography class B . . . . .	\$5,500
C13.	Open field irradiator. . . . .	\$3,000
C14.	Self-shielded irradiator. . . . .	\$1,500
C15.	Well logging. . . . .	\$2,000
C16.	Research and development . . . . .	\$2,100
C17.	Laboratory . . . . .	\$1,000
D1.	Distribution . . . . .	\$2,600
D2.	Nuclear pharmacy . . . . .	\$4,600
D3.	Nuclear laundry . . . . .	\$10,300



D4.	General industrial (with fee) . . . .	\$300
D5.	General depleted uranium . . . . .	\$200
D6.	Veterinary medicine. . . . .	\$1,000
D7.	General veterinary medicine . . . .	\$200
D8.	Health physics class A . . . . .	\$3,200
D9.	Health physics class B . . . . .	\$1,000
D10.	Secondary uranium recovery . . . .	\$5,100
D11.	Low-level radioactive waste disposal site . . . . .	(3)
D12.	Waste processor class A. . . . .	\$4,600
D13.	Waste processor class B. . . . .	\$3,600
D14.	Additional storage and use site . .	(1)
D15.	Possession only . . . . .	(2)
D16.	Reciprocal . . . . .	(3)
D17.	Reserved	
D18.	Unclassified . . . . .	Full Cost
D19.	NORM commercial disposal site	\$600,000
E1.	X-ray machine class A (per tube) . . . . .	\$75
E2.	X-ray machine class B (per tube).	\$51
E3.	X-ray machine class C (per tube).	\$42
E4.	Industrial radiation machine (per device) . . . . .	\$42
E5.	Accelerator facility. . . . .	\$750
E6.	Other ionizing radiation machine.	Full Cost
F1.	Tanning device (per device). . . .	\$28
F2.	Class A (1 to 10 laser devices). .	\$175
F3.	Class B (11 to 49 laser devices) .	\$408
F4.	Class C (50 or more laser devices)	\$699
F5.	Laser light show or laser demonstration. . . . .	\$408
F6.	Medical laser (per laser device) .	\$47
F7.	Class II surgical (per device) . . .	\$47
F8.	Medical RF <u>surgical and cosmetic</u> (per device) . . . . .	\$47
F9.	Class A industrial (1 to 5 radiofrequency devices) . . . . .	\$70
F10.	Class B industrial (6 to 20 radiofrequency devices) . . . . .	\$210
F11.	Class C industrial more than 20 radiofrequency devices) . . . . .	\$349
<u>F12.</u>	<u>Class A medical (1 or 2 non-cosmetic radiofrequency devices) (per device)</u>	<u>\$0</u>
<u>F13.</u>	<u>Class B medical (3 to 9 non-cosmetic radiofrequency devices)</u> .....	<u>\$0</u>
<u>F14.</u>	<u>Class C medical (10 to 19 non-cosmetic radiofrequency devices)</u> .....	<u>\$0</u>
<u>F15.</u>	<u>Class D medical (20 or more non-cosmetic radiofrequency devices)</u> .....	<u>\$0</u>
<del>F12</del> <u>16.</u>	Other nonionizing radiation device or other device . . . . .	Full Cost

- Notes: (1) No change  
 (2) No change  
 (3) No change

- B.** No change  
**C.** No change



**NOTICE OF FINAL RULEMAKING**

**TITLE 12. NATURAL RESOURCES**

**CHAPTER 14. ARIZONA POWER AUTHORITY**

[R15-06]

**PREAMBLE**

**1. Article, Part, or Section Affected (as applicable)**

**Rulemaking Action**

R12-14-602	Repeal
R12-14-603	New Section
R12-14-604	New Section
R12-14-605	New Section
R12-14-606	New Section
R12-14-607	New Section
R12-14-608	New Section
R12-14-609	New Section
R12-14-610	New Section
R12-14-611	New Section
R12-14-612	New Section
R12-14-613	New Section
R12-14-614	New Section
R12-14-615	New Section
R12-14-616	New Section
R12-14-617	New Section
R12-14-618	New Section
R12-14-619	New Section
R12-14-620	New Section
R12-14-621	New Section
R12-14-622	New Section
R12-14-623	New Section
R12-14-624	New Section
R12-14-625	New Section
R12-14-626	New Section
R12-14-627	New Section
R12-14-628	New Section
R12-14-629	New Section
R12-14-630	New Section
R12-14-631	New Section
R12-14-632	New Section

**2. Citations to the agency’s statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):**

Authorizing statute: A.R.S. §§ 30-103(A), 30-105(A), 30-124(D), 41-1003, and 41-1092.01(F)  
Implementing statute: A.R.S. §§ 41-1002(C) and 41-1092 through 41-1092.12

**3. The effective date of the rule:**

April 14, 2015

**4. Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:**

Notice of Rulemaking Docket Opening: 20 A.A.R. 2396, August 29, 2014  
Notice of Proposed Rulemaking: 20 A.A.R. 2605, September 26, 2014

**5. The agency’s contact person who can answer questions about the rulemaking:**

Name: Douglas V. Fant  
Address: Arizona Power Authority  
1810 Adams St.  
Phoenix, AZ 85007  
Telephone: (602) 368-4265  
Fax: (602) 253-7970  
E-mail: doug@powerauthority.org  
Web site: www.powerauthority.org



**6. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:**

This rulemaking establishes a set of procedural rules to govern hearings requested for appealable agency actions when the Arizona Power Authority decides to handle an appeal. An agency may directly conduct its own administrative hearings under A.R.S. § 41-1092.01(F) when the agency head, board, or commission serves as the administrative law judge. Section 10.4.2 of the Arizona Agency Handbook states that an agency which chooses to conduct its own hearing must follow the Uniform Administrative Hearing Procedures at A.R.S. §§41-1092 et seq., and should follow the procedural rules created by the Office of Administrative Hearings (OAH), AAC R2-19-101 et seq. The Authority's proposed rules are based upon those OAH procedural rules but updated and with relevant state law references incorporated therein. In addition the Arizona Power Authority certifies that it did not refer to, review, or rely on any study relevant to the rule in the agency's evaluation of or justification for the rule.

**7. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:**

None

**8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:**

Not applicable

**9. The summary of the economic, small business, and consumer impact:**

The Arizona Power Authority is putting in place an administrative appeals process. The Arizona Power Authority anticipates no economic impact as a result of any of these rules. That is because under the current Arizona Power Authority rules, a party whose legal rights, duties or privileges are affected by a decision or action of the Arizona Power Authority may currently appeal that action or decision to the Office of Administrative Hearings and Appeals (OAH). Once the new rules are in place, the party may still appeal any decision or action of the Commission. However the appeals will now be directed to the Arizona Power Authority rather than to OAH. In addition the new Arizona Power Authority rules essentially mimic the current rules of OAH. For that reason the Arizona Power Authority anticipates that there will be little difference in substance or in costs between the former OAH and new Arizona Power Authority appeals processes.

**10. A description of any changes between the proposed rulemaking, to include supplemental notices, and the final rulemaking:**

There are the following changes between the proposed and final rules:

- a. In general, the Authority has substituted Commission for administrative law judge, Authority for office or Office of Administrative Hearings, and Commission or Authority for "agency" depending on the context.
- b. In R12-14-604, the proposed definitions of the terms "Agency" and "Matter" have been removed. In addition a definition of the term "Person" has been added and that definition references statutory provisions A.R.S. § 41-1001(15).
- c. In R12-14-605(A) the Authority deleted "the Commission's authority and obligation pursuant to" to make the reference more concise.
- d. In R12-14-606(B), the Authority clarified its method for giving official notice of an Appealable Agency Action in an effort to be more consistent with A.R.S. § 41-1092.04; removed the phrase "final administrative decision"; and changed the 3-day time period to a 5-day time period.
- e. In R12-14-607(A) the Commission removed "[t]he Party shall notify the Commission of the appeal or request for a hearing" as repetitive.
- f. In R12-14-610 Intervention; Amicus Curiae, subsection B has been revised to conform more closely with Arizona Rule of Civil Procedure 24(a)(2) Intervention of right.
- g. In R12-14-613(B) the Authority added a reference to R12-14-615.
- h. In R12-14-615(G) the Authority clarified how to make service upon parties.
- i. In R12-14-625 the Authority clarified the process if a party fails to appear for a hearing.
- j. In R12-14-629(B) the Authority replaced the phrase "takes final action" with "final administrative decision.
- k. In R12-14-632(A) the Authority revised the language to be more consistent with the September 8, 2014 changes made to the OAH rules because of Laws 2012, Ch. 322 to A.R.S. Title 12, Chapter 7, Article 6, Judicial Review of Administrative Decisions.

**11. A summary of the comments made regarding the rules and the agency response to them:**

The Arizona Power Authority received no written comments regarding the Notice of Proposed Rulemaking. The Arizona Power Authority at its October 29, 2014 proceeding did receive two oral comments in support of the rulemaking from Mr. Robert Lynch of the Irrigation and Electrical Districts of Arizona, and Mr. Jay Moyes of Moyes, Sellers, and Hendricks. The Arizona Power Authority thanks Messrs. Lynch and Moyes for commenting in support of the proposed rules.



**12. List other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:**

None

**a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:**  
Not applicable

**b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:**  
Not applicable

**c. Whether a person submitted an analysis to the agency that compares the rule’s impact on the competitiveness of business in this state to the impact on business in other states:**  
None

**13. A list of any incorporated by reference material as specified in A.R.S. §41-1028 and its location in the rule:**  
None

**14. Whether the rule was previously made, amended or repealed as an emergency rule.**  
No

**15. The full text of the rules follows:**

**TITLE 12. NATURAL RESOURCES**

**CHAPTER 14. ARIZONA POWER AUTHORITY**

**ARTICLE 6. CONFERENCES; APPEAL OF AGENCY ACTION**

Section

- R12-14-602. ~~Appeal of Agency Action~~ Repeal
- R12-14-603. ~~Repealed~~ General
- R12-14-604. ~~Repealed~~ Definitions
- R12-14-605. ~~Repealed~~ Applicability; Authority
- R12-14-606. ~~Repealed~~ Notice of Appealable Agency Action
- R12-14-607. ~~Renumbered~~ Request for Hearing; Setting the Hearing
- R12-14-608. ~~Repealed~~ Summary Dismissal
- R12-14-609. ~~Repealed~~ Waiver Rights
- R12-14-610. ~~Repealed~~ Intervention; Amicus Curiae
- R12-14-611. ~~Repealed~~ Informal Settlement Conference
- R12-14-612. ~~Repealed~~ Ex Parte Communications
- R12-14-613. ~~Repealed~~ Motions
- R12-14-614. Computing Time
- R12-14-615. Filing and Service of Documents
- R12-14-616. Consolidation or Severance of Appeals
- R12-14-617. Continuing or Expediting a Hearing; Reconvening a Hearing
- R12-14-618. Vacating a Hearing
- R12-14-619. Prehearing Conference
- R12-14-620. Subpoenas
- R12-14-621. Telephonic Testimony
- R12-14-622. Rights and Responsibilities of Parties
- R12-14-623. Hearings; Depositions
- R12-14-624. Conduct of Hearing
- R12-14-625. Failure of Party to Appear for Hearing
- R12-14-626. Witnesses; Exclusion from Hearing
- R12-14-627. Proof
- R12-14-628. Disruptions
- R12-14-629. Hearing Record
- R12-14-630. Final Administrative Decisions; Review
- R12-14-631. Rehearing or Review
- R12-14-632. Notice of Judicial Appeal; Transmitting the Transcript

**ARTICLE 6. CONFERENCES; APPEAL OF AGENCY ACTION****R12-14-602. ~~Appeal of Agency Action~~ Repeal**

~~Any decision or action by the Commission that determines the legal rights, duties, or privileges of an Entity with regard to any matter under the jurisdiction of the Commission is an "appealable agency action" as defined in, or limited by A.R.S. §41-1092.~~

**R12-14-603. ~~Repealed~~ General**

- A.** This article applies to any Appealable Agency Action arising from a decision or action of the Commission.
- B.** The Commission shall conduct any administrative hearing, pursuant to the requirements of this article, acting as the Administrative Law Judge pursuant to A.R.S. § 41-1092.01.
- C.** Because state statutes provide many of the procedural requirements for the conduct of administrative hearings, these rules will cross reference such statutes where appropriate. Copies of the statutes and these rules may be obtained from the Arizona Power Authority at its office at 1810 W. Adams Street, Phoenix, Arizona, during normal business hours.

**R12-14-604. ~~Repealed~~ Definitions**

For purposes of this article, the following definitions apply unless otherwise stated:

1. As used in this article, the terms "Commission" and "Administrative Law Judge" have the same meaning as in the relevant statutes and are used interchangeably.
2. "Appealable Agency Action" means any decision or action by the Commission determining matters related to the allocation of and contracting for power resources and associated services marketed by the Commission.
3. "Arizona Power Authority" or "Authority" means the agency established pursuant to title 30, chapter 1, article 1, Arizona Revised Statutes.
4. "Commission" means the Arizona Power Authority Commission as established and organized pursuant to title 30, chapter 1, article 1, Arizona Revised Statutes.
5. "Party" has the meaning described in A.R.S. § 41-1001(14).
6. "Person" has the meaning described in A.R.S. § 41-1001(15).

**R12-14-605. ~~Repealed~~ Applicability; Authority**

- A.** These rules apply to any Appealable Agency Action heard by the Commission. Unless otherwise required by law or waived pursuant to subsection (B), all hearings shall be scheduled at the convenience of the Commission and shall be held at the Arizona Power Authority's business office in Phoenix, Arizona. The rules in this article were drafted, proposed, and adopted pursuant to A.R.S. § 41-1003 and A.R.S. § 41-1092.01(F).
- B.** The Commission may waive the application of any of these rules to further administrative convenience, expedition, and economy:
  1. With the consent of the parties to the appeal, or
  2. If the waiver does not conflict with law, and does not cause undue prejudice to any party.
- C.** If a procedure is not provided by statute or these rules, the Commission may issue an order using the Arizona Rules of Civil Procedure or related local court rules for guidance.

**R12-14-606. ~~Repealed~~ Notice of Appealable Agency Action**

- A.** The Authority shall serve notice of an Appealable Agency Action pursuant to A.R.S. § 41-1092.04. Pursuant to A.R.S. § 41-1092.03, the notice shall:
  1. Identify the statute or rule on which the action is based.
  2. Include a description of any party's right to file a notice of appeal or to request a hearing on the Appealable Agency Action.
  3. Include a description of any party's right to request an informal settlement conference pursuant to A.R.S. § 41-1092.06.
- B.** Each Appealable Agency Action shall be posted to the Arizona Power Authority website within 48 hours after the action is taken. The posting shall constitute official notice of the action. The Authority additionally may elect to provide any party, and such other interested persons as have officially requested to be included, electronic notice of the posting which may be accompanied by the referenced document. Otherwise, each person or entity which has participated in the process leading to the Appealable Agency Action shall be provided with written notice thereof, with notice at the Person's last address of record within five days of the notice being published on the Arizona Power Authority website.

**R12-14-607. ~~Renumbered~~ Request for Hearing; Setting the Hearing**

- A.** A party may initiate an appeal by filing a notice of appeal or request for a hearing with the Arizona Power Authority within 30 days after receiving the notice prescribed in R12-14-606(A). The notice of appeal or request for a hearing may be filed by a party whose legal rights, duties or privileges were determined by the Appealable Agency Action. A notice of appeal or request for a hearing also may be filed by a party who will be adversely affected by the Appealable Agency Action and who exercised any right provided by law to comment on the action being appealed or contested, provided that the grounds for the notice of appeal or request for a hearing are limited to issues raised in that party's comments. The notice of appeal or request for a hearing shall identify the party, the party's address, and the action being appealed and shall contain a concise statement of the reasons for the appeal or request for a hearing. If requested, the Authority shall schedule an appeal hearing pursuant to this section.
- B.** If good cause is shown, the Commission may accept an appeal or request for a hearing that is not filed in a timely manner.



- C.** A party filing a notice of appeal or requesting the Commission schedule an administrative hearing shall provide the following information:
  1. Caption of the Appealable Agency Action, including the name and address of each party;
  2. The date the party appealed the agency action;
  3. A concise statement of the reasons for the appeal;
  4. Any request to expedite or consolidate the appeal; and
  5. If a hearing is requested:
    - a. the estimated time for the hearing;
    - b. the proposed hearing dates; and
    - c. any agreement of the Parties to waive applicable time limits to set the hearing.
- D.** The date scheduled for the hearing may be advanced or delayed on the agreement of the parties or on a showing of good cause, pursuant to A.R.S. § 41-1092.05.
- E.** Within 10 days of the Commission’s receipt of a request for hearing, the Commission shall provide notice of the date, time, and location of the hearing in the same manner as provided in R12-14-606(B).

**R12-14-608. Repealed Summary Dismissal**

An appeal to the Commission may be subject to summary dismissal by the Commission for any of the following causes:

1. If a statement of the reasons for the appeal is not included in the notice of appeal and is not filed within the time required;
2. If the notice of appeal or request for hearing is not filed within the time required.

**R12-14-609. Repealed Waiver of Rights**

Except to the extent precluded by another provision of law, a Person may waive any right conferred on that person by this article.

**R12-14-610. Repealed Intervention; Amicus Curiae**

- A.** A person who wishes to intervene in an appeal must file a motion to intervene. Except for good cause shown, a person must file the motion within 10 days after the Commission issues its notice pursuant to R12-14-607(E).
- B.** A motion to intervene must set forth the basis for the proposed intervention, including whether the person had a right to appeal the Appealable Agency Action or claims an interest in the subject of the action and the person is so situated that disposition of the action may as a practical matter impair or impede the person’s ability to protect that interest, unless the Person’s interest is adequately represented by existing parties.
- C.** The Commission may:
  1. Grant the motion to intervene;
  2. Deny the motion to intervene for good cause, e.g., where granting it would disadvantage the rights of the existing parties or unduly delay adjudication of the appeal; or
  3. Grant the motion to intervene but limit the person’s participation in the appeal.
- D.** A person may file a motion to file a brief as amicus curiae.
  1. The motion must state the person’s interest in the appeal and how its brief will be relevant to the Appealable Agency Action.
  2. The motion must contain a certification that the movant or movant’s counsel has read any relevant filed briefs of the parties and that the movant’s arguments are not duplicative of those presented by the parties.
  3. The Commission may grant or deny the motion in its discretion. The Commission may also allow a Person to file a brief as amicus curiae if it denies the Person’s motion to intervene.
- E.** A person granted full or limited intervener status is a party to the appeal, while an amicus curiae is not. A person granted amicus curiae status shall serve its brief on the parties to the appeal.

**R12-14-611. Repealed Informal Settlement Conference**

- A.** If requested by any party to an appeal of an Appealable Agency Action, the Commission shall hold an informal settlement conference within 15 days after receiving the request. A request for an informal settlement conference shall be in writing and shall be filed with the Commission no later than twenty days before the hearing. If an informal settlement conference is requested, the party shall notify the Commission of the request and the outcome of the conference. The request for an informal settlement conference does not toll the sixty day period in which the administrative hearing is to be held pursuant to A.R.S. § 41-1092.05.
- B.** If an informal settlement conference is held, a person designated by the Commission shall represent the Commission at the conference. The Commission representative shall notify the appellant in writing that statements, either written or oral, made by the appellant at the conference, including a written document, created or expressed solely for the purpose of settlement negotiations are inadmissible in any subsequent administrative hearing. By participating in the settlement conference, the party or parties waive their right to object to the participation of the Commission representative in the final administrative decision.

**R12-14-612. Repealed Ex Parte Communications**

A party shall not communicate, either directly or indirectly, with the Commission or individual Commissioners about any substantive issue in a pending appeal unless:

1. All parties are present;



2. It is during a scheduled proceeding, provided that a party that fails to appear after proper notice waives its right to object to the subjects discussed or any rebuttal of these subjects or
3. It is in writing, including facsimile or other electronic means, with copies to all Parties.

**R12-14-613. Repealed Motions**

- A. Purpose.** A party requesting a ruling from the Commission shall file a motion. Motions may be made for rulings such as:
1. Consolidation or severance of issues pursuant to R12-14-616;
  2. Continuing or expediting a hearing pursuant to R12-14-617;
  3. Vacating a hearing pursuant to R12-14-618;
  4. Prehearing conference pursuant to R12-14-619;
  5. Quashing a subpoena pursuant to R12-14-620;
  6. Telephonic testimony pursuant to R12-14-621; and
  7. Reconsideration of a previous order pursuant to R12-14-630 and R12-14-631.
- B. Form.** Unless made during a prehearing conference or hearing, motions shall be made in writing and shall conform to R12-14-615. All motions, whether written or oral, shall state the factual and legal grounds supporting the motion, and the requested action.
- C. Time Limits.** Absent good cause, or unless otherwise provided by law or these rules, written motions shall be filed with the Commission at least 10 days before any scheduled hearing. A party demonstrates good cause by showing that the grounds for the motion could not have been known in time, using reasonable diligence and:
1. A ruling on the motion will further administrative convenience, expedition or economy; or
  2. A ruling on the motion will avoid undue prejudice to any party.
- D. Response to Motion.** A party may file a written response stating any objection to the motion within 5 days of service, or as directed by the Commission.
- E. Oral Argument.** A party may request oral argument when filing a motion or response. The Commission may grant oral argument if it is necessary to develop a complete record.
- F. Rulings.** Rulings on motions, other than those made during a prehearing conference or the hearing, shall be in writing and served on all parties.

**R12-14-614. Computing Time**

In computing any time period, the Commission shall exclude the day from which the designated time period begins to run. The Commission shall include the last day of the period unless it falls on a Saturday, Sunday, or legal holiday. When the time period is 10 days or less, the Commission shall exclude Saturdays, Sundays, and legal holidays.

**R12-14-615. Filing and Service of Documents**

- A. Docket.** The Authority shall open a docket for each Appealable Agency Action upon receipt of a notice of appeal or request for hearing. All documents filed in an Appealable Agency Action with the Authority other than by electronic means shall be date stamped on the day received by the Authority and entered in the docket.
- B. Definition.** “Documents” include papers such as notices of appeal, requests for hearing, motions, responses, notices, and briefs.
- C. Form.** A party shall state on the document the name and address of each party served and how service was made pursuant to subsection (E). A document shall contain the Authority’s caption and docket number.
- D. Signature.** A document filed with the Authority shall be signed by the party or the party’s attorney. A signature constitutes a certification that the signer has read the document and has a good faith basis for submission of the document, and that it is not filed for the purpose of delay or harassment. Signatures can be either hand-written or electronic.
- E. Filing and service.** A copy of a document filed with the Authority shall be served on all parties. Filing with the Authority and service shall be completed by personal delivery; first-class, certified or express mail; or facsimile or other electronic means.
- F. Date of filing and service.** A document is filed with the Authority on the date it is received by the Authority, as established by the Authority’s date stamp on the face of the document, the facsimile date or the electronic receipt date. A copy of a document is served on a party as follows:
1. On the date it is personally served;
  2. Five days after it is mailed by express or 1st class mail;
  3. On the date of the return receipt if it is mailed by certified mail; or
  4. On the date indicated on the facsimile transmission or the electronic receipt date.
- G. Service.** Unless otherwise provided in this article, every notice or decision under this article shall be served by personal delivery or certified mail, return receipt requested, or by any other method reasonably calculated to effect actual notice on the Commission and every other party to the action to the party’s last address of record with the Authority. Each party shall inform the Authority of any change of address within five days of the change. Such service may include delivering the document by electronic means, such as email or facsimile, unless a party has specifically requested not to receive notice or service through electronic means, such as email or facsimile.

**R12-14-616. Consolidation or Severance of Appeals**

- A. Standards for consolidation.** The Commission may order consolidation of pending appeals, if:
1. There are substantially similar factual or legal issues, or
  2. All parties are the same, or



- 3. If there are different parties, all parties consent to the consolidation.
- B.** Order. The Commission shall send a written ruling granting or denying consolidation to all parties, identifying the cases, the reasons for the decision, and notification of any consolidated prehearing conference or consolidated hearing. The Commission shall designate the controlling docket number and caption to be used on all future documents.
- C.** Severance. The Commission may sever consolidated Appealable Agency Actions to further administrative convenience, expedition, and economy, or to avoid undue prejudice. Severance may be ordered upon the Commission's own review, or a party's motion.

**R12-14-617. Continuing or Expediting a Hearing; Reconvening a Hearing**

- A.** Continuing or expediting a hearing. When ruling on a motion to continue or expedite, the Commission shall consider such factors as:
  - 1. The time remaining between the filing of the motion and the hearing date;
  - 2. The position of other parties;
  - 3. The reasons for expediting the hearing or for the unavailability of the party, representative, or counsel on the date of the scheduled hearing;
  - 4. Whether testimony of an unavailable witness is authorized by law, and, if so, whether it can be taken telephonically or by deposition; and
  - 5. The status of settlement negotiations.
- B.** Reconvening a hearing. The Commission may recess a hearing and reconvene at a future date by a verbal ruling during the initially noticed hearing or thereafter during any continuation of the hearing.

**R12-14-618. Vacating a Hearing**

The Commission may vacate a calendared hearing if:

- 1. The parties agree to vacate the hearing;
- 2. The Commission dismisses the matter;
- 3. The party withdraws the appeal;
- 4. The party fails to comply with any order of the Commission; or
- 5. Facts demonstrate to the Commission that it is appropriate to vacate the hearing for the purpose of informal disposition, or if the action will further administrative convenience, expedition and economy and does not conflict with law or cause undue prejudice to any party.

**R12-14-619. Prehearing Conference**

- A.** Procedure. The Commission may hold a prehearing conference. The conference may be held telephonically. The Commission may issue a prehearing order outlining the issues to be discussed. As outlined by A.R.S. § 41-1092.05, prehearing conferences may be held for any of the following reasons:
  - 1. Clarify or limit procedural, legal or factual issues;
  - 2. Consider amendments to any pleadings;
  - 3. Identify and exchange lists of witnesses and exhibits intended to be introduced at the hearing;
  - 4. Obtain stipulations or rulings regarding testimony, exhibits, facts or law;
  - 5. Schedule deadlines, hearing dates and locations if not previously set;
  - 6. Allow the Parties opportunity to discuss settlement; or
  - 7. Any other similar reason determined by the Commission to further administrative convenience, expedition, and economy, or to avoid undue prejudice.
- B.** Record. The Commission may record any agreements reached during a prehearing conference by electronic or mechanical means, or memorialize them in an order.

**R12-14-620. Subpoenas**

- A.** Form. As provided by A.R.S. § 41-1092.07 and A.R.S. § 41-1092.10, any party may request a subpoena in writing from the Commission and shall include in the request:
  - 1. The caption of the Appealable Agency Action;
  - 2. A list or description of any documents sought;
  - 3. The full name and home or business address of the custodian of the documents sought or all persons to be subpoenaed;
  - 4. The date, time, and place to appear or to produce documents pursuant to the subpoena; and
  - 5. The name, address, and telephone number of the party, or the party's attorney, requesting the subpoena.
- B.** The Commission may require a brief statement of the relevance of testimony or documents.
- C.** Service of subpoena. Any person who is not a party and is at least 18 years of age may serve a subpoena. The person shall serve the subpoena by delivering a copy to the person to be served. The person serving the subpoena shall provide proof of service by filing with the Arizona Power Authority a certified statement of the date and manner of service and the name of the person served.
- D.** Objection to subpoena. A party, or the person served with a subpoena who objects to the subpoena, or any portion of it, may file an objection with the Commission. The objection shall be filed within 5 days after service of the subpoena, or at the outset of the hearing if the subpoena is served fewer than 5 days before the hearing.
- E.** Quashing, modifying subpoenas. The Commission shall quash or modify the subpoena if:
  - 1. It is unreasonable or oppressive, or



2. The desired testimony or evidence may be obtained by an alternative method, or
3. The existing administrative record contains the information and evidence that would otherwise be proffered pursuant to the subpoena.

**R12-14-621. Telephonic Testimony**

The Commission may grant a motion for telephonic testimony if:

1. Personal attendance by a party or witness at the hearing will present an undue hardship for the party or witness;
2. Telephonic testimony will not cause undue prejudice to any party; and
3. The proponent of the telephonic testimony pays for any cost of obtaining the testimony telephonically.

**R12-14-622. Rights and Responsibilities of Parties**

- A. Generally. A party may present testimony and documentary evidence and argue with respect to the issues and may examine and cross-examine witnesses.
- B. Preparation. A party shall have all witnesses, documents and exhibits available on the date of the hearing.
- C. Exhibits. A party shall provide a copy of each exhibit to all other parties at the time the exhibit is offered to the Commission, unless it was previously provided through discovery.
- D. Responding to Orders. A party shall comply with an order issued by the Commission concerning the conduct of a hearing. Unless objection is made orally during a pre-hearing conference or hearing, a party shall file a motion requesting the Commission to reconsider the order.

**R12-14-623. Hearings; Depositions**

- A. Pursuant to A.R.S. § 30-107, all members of the Commission shall attend all hearings, unless excused from attendance for a justifiable excuse which shall be made part of the record. Three members shall constitute a quorum for conducting a hearing.
- B. The Parties to an Appealable Agency Action have the right to be represented by counsel, or to proceed without counsel, to submit evidence and to cross-examine witnesses.
- C. The Commission may issue subpoenas to compel the attendance of witnesses and the production of documents. The subpoenas shall be served pursuant to R12-14-620(C) and, on application to the superior court, enforced in the manner provided by law for the service and enforcement of subpoenas in civil proceedings. The Commission may administer oaths and affirmations to witnesses.
- D. All parties shall have the opportunity to respond and present evidence and argument on all relevant issues. All relevant evidence is admissible, but the Commission may exclude evidence if its probative value is outweighed by the danger of unfair prejudice, by confusion of the issues or by considerations of undue delay, waste of time or needless presentation of cumulative evidence. The Commission shall exercise reasonable control over the manner and order of cross-examining witnesses and presenting evidence to make the cross-examination and presentation effective for ascertaining the truth, avoiding needless consumption of time and protecting witnesses from harassment or undue embarrassment.
- E. All hearings shall be recorded. The Commission shall secure either a court reporter or an electronic means of producing or preserving a clear and accurate record of the proceeding at the Authority's expense. Any party that requests a transcript of the proceeding shall pay the costs of the transcript to the court reporter or other transcriber.
- F. Unless otherwise provided by law, the following apply:
  1. A hearing may be conducted in an informal manner and without adherence to the rules of evidence required in judicial proceedings. Neither the manner of conducting the hearing nor the failure to adhere to the rules of evidence required in judicial proceedings is grounds for reversing any administrative decision or order if the evidence supporting the decision or order is substantial, reliable and probative.
  2. Copies of documentary evidence may be received in the discretion of the Commission. On request, Parties shall be given an opportunity to compare the copy with the original.
  3. Notice may be taken of judicially cognizable facts. In addition, notice may be taken of generally recognized technical or scientific facts within the Commission's specialized knowledge. Parties shall be notified either before or during the hearing or by reference in preliminary reports or otherwise of the material noticed including any staff memoranda or data and they shall be afforded an opportunity to contest the material so noticed. The Commission's experience, technical competence and specialized knowledge may be used in the evaluation of the evidence.
  4. On application of a party and for use as evidence, the Commission may permit a deposition to be taken, in the manner and on the terms designated by the Commission, of a witness who cannot be subpoenaed or who is unable to attend the hearing. Subpoenas for the production of documents may be ordered by the Commission if the party seeking the discovery demonstrates that the party has reasonable need of the materials being sought. All provisions of law compelling a person under subpoena to testify are applicable. Fees for attendance as a witness shall be the same as for a witness in court, unless otherwise provided by law or Arizona Power Authority rule. Notwithstanding A.R.S. § 12-2212, subpoenas, depositions or other discovery shall not be permitted except as provided by this subsection or subsection (C).
  5. Informal disposition may be made by stipulation, agreed settlement, consent order or default.
  6. Findings of fact shall be based exclusively on the evidence and on matters officially noticed.
  7. A final administrative decision shall include findings of fact and conclusions of law, separately stated. Findings of fact, if set forth in statutory language, shall be accompanied by a concise and explicit statement of the underlying facts supporting the findings.



**R12-14-624. Conduct of Hearing**

- A.** Public access. Unless otherwise provided by law, all hearings are open to the public.
- B.** Opening. The Commission shall begin the hearing by reading the caption, stating the nature and scope of the hearing, and identifying the parties, counsel, and witnesses for the record.
- C.** Stipulations. The Commission shall enter into the record any stipulation, settlement agreement, or consent order entered into by any of the parties before or during the hearing.
- D.** Opening statements. The party initiating the appeal may make an opening statement at the beginning of a hearing. All other parties may make statements in a sequence determined by the Commission.
- E.** Order of presentation. After opening statements, the party initiating the appeal shall begin the presentation of evidence, unless the parties agree otherwise or the Commission determines that requiring another party to proceed first would be more expeditious or appropriate, and would not prejudice any other party.
- F.** Examination. A party shall conduct direct and cross examination of witnesses in the order and manner determined by the Commission to expedite and ensure a fair hearing. The Commission shall make rulings necessary to prevent argumentative, repetitive, or irrelevant presentation of evidence, including testimony, and to expedite the examination to the extent consistent with the disclosure of all relevant testimony and information.
- G.** Closing argument. When all evidence has been received, parties shall have the opportunity to present closing oral argument, in a sequence determined by the Commission. The Commission may permit or require closing oral argument to be supplemented by written memoranda. The Commission may permit or require written memoranda to be submitted simultaneously or sequentially, within time periods the Commission may prescribe.
- H.** Conclusion of hearing. Unless otherwise provided by the Commission, the hearing is concluded upon the submission of all evidence, the making of final argument, or the submission of all post hearing memoranda, whichever occurs last.

**R12-14-625. Failure of Party to Appear for Hearing**

If a party fails to appear at a hearing, the Commission may proceed with the presentation of the evidence of the appearing party, vacate the hearing and return the matter to the Authority for any further action, or dismiss the appeal and conclude that there is a final action on the existing administrative record.

**R12-14-626. Witnesses: Exclusion from Hearing**

All witnesses at the hearing shall testify under oath or affirmation. At the request of a party, or at the discretion of the Commission, the Commission may exclude witnesses who are not parties from the hearing room so that they cannot hear the testimony of other witnesses.

**R12-14-627. Proof**

- A.** Standard of proof. Unless otherwise provided by law, the standard of proof is a preponderance of the evidence.
- B.** Burden of proof. Unless otherwise provided by law:
  1. The party asserting a claim, right, or entitlement has the burden of proof;
  2. A party asserting an affirmative defense has the burden of establishing the affirmative defense; and
  3. The proponent of a motion shall establish the grounds to support the motion.

**R12-14-628. Disruptions**

A person shall not interfere with access to or from the hearing room, or interfere, or threaten interference with the hearing. If a person interferes, threatens interference, or disrupts the hearing, the Commission may order the disruptive person to leave or be removed.

**R12-14-629. Hearing Record**

- A.** Maintenance. The Commission shall maintain the official record of appeal and hearing.
- B.** Transfer of record. Before the Commission makes a final administrative decision, the party may request that the record be available for its review or duplication. Any party requesting a copy of the record or any portion of the record shall make a request to the Commission and shall pay the reasonable costs of duplication.
- C.** Release of exhibits. Exhibits shall be released:
  1. Upon the order of a court of competent jurisdiction; or
  2. Upon motion of the party who submitted the exhibits if the time for judicial appeal has expired and no appeal is pending.

**R12-14-630. Final Administrative Decisions: Review**

- A.** For purposes of this article, the decision of the Commission on appeal is the final administrative decision.
- B.** A party may appeal a final administrative decision pursuant to title 12, chapter 7, article 6, except that if a party has not requested a hearing upon receipt of a notice of Appealable Agency Action pursuant to section 41-1092.03, the Appealable Agency Action is not subject to judicial review.

**R12-14-631. Rehearing or Review**

- A.** A party may file a motion for rehearing within 30 days after service of the final administrative decision pursuant to A.R.S. § 41-1092.09.
- B.** Any other party may file a response to the motion for rehearing within 15 days after the date the motion for rehearing is filed.
- C.** After a hearing has been held and a final administrative decision has been entered pursuant to A.R.S. § 41-1092.08, a party is not required to file a motion for rehearing or review of the decision in order to exhaust the party's administrative remedies.
- D.** Service is complete on personal service or five days after the date that the final administrative decision is mailed to the



party's last known address.

- E.** Except as provided in this subsection, the Commission shall rule on the motion within 15 days after the response to the motion is filed or, if a response is not filed, within five days of the expiration of the response period.

**R12-14-632. Notice of Judicial Appeal: Transmitting the Transcript**

- A.** Notification to the Arizona Power Authority. Within 10 days of filing a notice of appeal for judicial review of a final administrative decision, the party shall file a copy of the notice of appeal with the Arizona Power Authority. The Authority shall then transmit the record to the Superior Court.
- B.** Transcript. A party requesting a transcript shall arrange for transcription at the party's expense. The Authority shall make a copy of its audio taped record available to the transcriber. The party arranging for transcription shall deliver the transcript, certified by the transcriber under oath to be a true and accurate transcription of the audio taped record, to the Authority, together with one unbound copy.



**NOTICES OF SUBSTANTIVE POLICY STATEMENTS**

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statements issued by agencies (A.R.S. § 41-1013(B)(14)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect the internal

procedures of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**DEPARTMENT OF ENVIRONMENTAL QUALITY**

[M15-31]

**1. Title of the substantive policy statement and the substantive policy statement number by which the substantive policy statement is referenced:**

Mining Operations that are Exempt from Pollution Prevention Plans

**2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

Issuance date: February 11, 2015  
Effective date: March 6, 2015

**3. Summary of the contents of the substantive policy statement:**

The policy explains ADEQ's current position on whether sand and gravel, ready-mix concrete, hot-mix asphalt, and cement production facilities are "mining or metallurgical operations" for purposes of the requirement to do a pollution prevention plan.

**4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

A.R.S. § 49-963(A)

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

New

**6. The agency contact person who can answer questions about the substantive policy statement:**

Name: Linda Mariner  
Address: ADEQ  
1110 W. Washington St.  
Phoenix, AZ 85007  
Telephone: (602) 771-4294  
Fax: (602) 771-2234  
E-mail: lph@azdeq.gov

**7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

This policy is available on the Department's website: www.azdeq.gov. Hard copies may be obtained by contacting the ADEQ Records Center, Monday through Friday, between 8:30 a.m. and 4:30 p.m., 1110 W. Washington St., Phoenix, AZ 85007, (602) 771-4712. Cost is \$0.25 per page.



**GOVERNOR EXECUTIVE ORDERS**

The Administrative Procedure Act (APA) requires the full-text publication of Governor Executive Orders.

With the exception of egregious errors, content (including spelling, grammar, and punctuation) of these orders has been reproduced as submitted.

In addition, the Register shall include each statement filed by the Governor in granting a commutation, pardon or reprieve, or stay or suspension of execution where a sentence of death is imposed.

**EXECUTIVE ORDER 2015-01**

**Internal Review of Administrative Rules; Moratorium to Promote Job Creation and Customer-Service-Oriented Agencies**

*Editor’s Note: This Executive Order is being reproduced in each issue of the Administrative Register until its expiration on December 31, 2015, as a notice to the public regarding state agencies’ rulemaking activities.*

[M15-02]

**WHEREAS**, Arizona has lost more jobs per capita than any other state and has yet to recover all of those jobs;

**WHEREAS**, burdensome regulations inhibit job growth and economic development;

**WHEREAS**, each agency of the State of Arizona should promote customer-service-oriented principles for the people that it serves;

**WHEREAS**, each State agency should undertake a critical and comprehensive review of its administrative rules and take action to reduce the regulatory burden, administrative delay, and legal uncertainty associated with government regulation;

**WHEREAS**, overly burdensome, antiquated, contradictory, redundant, and nonessential regulations should be repealed;

**WHEREAS**, Article 5, Section 4 of the Arizona Constitution and Title 41, Chapter 1, Article 1 of the Arizona Revised Statutes vests the executive power of the State of Arizona in the Governor;

**NOW, THEREFORE, I, Douglas A. Ducey**, by virtue of the authority vested in me by the Constitution and laws of the State of Arizona hereby declare the following:

1. A State agency, subject to this Order, shall not conduct any rulemaking except as permitted by this Order.
2. A State agency, subject to this Order, shall not conduct any rulemaking, whether informal or formal, without the prior written approval of the Office of the Governor. In seeking approval, a State agency shall address one or more of the following as justification for the rulemaking:
  - a. To fulfill an objective related to job creation, economic development, or economic expansion in this State.
  - b. To reduce or ameliorate a regulatory burden while achieving the same regulatory objective.
  - c. To prevent a significant threat to the public health, peace or safety.
  - d. To avoid violating a court order or federal law that would result in sanctions by a court or the federal government against an agency for failure to conduct the rulemaking action.
  - e. To comply with a federal statutory or regulatory requirement if such compliance is related to a condition for the receipt of federal funds or participation in any federal program.
  - f. To fulfill an obligation related to fees or any other action necessary to implement the State budget that is certified by the Governor’s Office of Strategic Planning and Budgeting.
  - g. To promulgate a rule or other item that is exempt from Title 41, Chapter 6, Arizona Revised Statutes, pursuant to section 41-1005, Arizona Revised Statutes.
  - h. To address matters pertaining to the control, mitigation or eradication of waste, fraud, or abuse within an agency or wasteful, fraudulent, or abusive activities perpetrated against an agency.
3. Paragraphs 1 and 2 apply to all State agencies, except for: (a) any State agency that is headed by a single elected State official, (b) the Corporation Commission, or (c) any State agency whose agency head is not appointed by the Governor. Those State agencies to which Paragraphs 1 and 2 do not apply are strongly encouraged to voluntarily comply with this Order in the context of their own rulemaking processes.
4. Pursuant to Article 5, Section 4 of the Arizona Constitution and Arizona Revised Statutes Section 41-101(A)(1), the State agencies identified in Paragraph 3 must provide the Office of the Governor with a written report for each proposed rule 30 days prior to engaging in any rulemaking proceeding and must also provide the Office of the Governor with a written report within 15 days of any rulemaking. The reports required by this Paragraph shall explain, in detail, how the rulemaking advances the priorities and principles set forth in this Order.



5. No later than September 1, 2015, each State agency shall provide to the Office of the Governor an evaluation of their rules, with recommendations for which rules could be amended or repealed consistent with the priorities and principles set forth in this Order. The evaluation shall also include a summary of licensing time frames and describe how those time frames compare to real processing time, and whether or not they can be reduced. Additionally, each agency shall identify any existing licenses or permits in which a general permit could be used in lieu of an individual permit, pursuant to Arizona Revised Statutes Section 41-1037.
6. No later than July 1, 2015, each State agency shall provide to the Office of the Governor an update on divisions where electronic reporting and payment are not implemented and a suggested plan for how to implement this customer-service-oriented service.
7. This Order does not confer any legal rights upon any persons and shall not be used as a basis for legal challenges to rules, approvals, permits, licenses or other actions or to any inaction of a State agency. For the purposes of this Order, “person,” “rule” and “rulemaking” have the same meanings prescribed in Arizona Revised Statutes Section 41-1001.
8. This Executive Order expires on December 31, 2015.

**IN WITNESS WHEREOF**, I have hereunto set my hand and caused to be affixed the Great Seal of the State of Arizona.

**Douglas A. Ducey**  
**G O V E R N O R**

**DONE** at the Capitol in Phoenix on this fifth day of January in the year Two Thousand and Fifteen and of the Independence of the United States of America the Two Hundred and Thirty-ninth.

**ATTEST:**  
**Michele Reagan**  
**Secretary of State**



## RULE EFFECTIVE DATES CALENDAR

*A.R.S. § 41-1032(A), as amended by Laws 2002, Ch. 334, § 8 (effective August 22, 2002), states that a rule generally becomes effective 60 days after the day it is filed with the Secretary of State's Office. The following table lists filing dates and effective dates for rules that follow this provision. Please also check the rulemaking Preamble for effective dates.*

January		February		March		April		May		June	
Date Filed	Effective Date										
1/1	3/2	2/1	4/2	3/1	4/30	4/1	5/31	5/1	6/30	6/1	7/31
1/2	3/3	2/2	4/3	3/2	5/1	4/2	6/1	5/2	7/1	6/2	8/1
1/3	3/4	2/3	4/4	3/3	5/2	4/3	6/2	5/3	7/2	6/3	8/2
1/4	3/5	2/4	4/5	3/4	5/3	4/4	6/3	5/4	7/3	6/4	8/3
1/5	3/6	2/5	4/6	3/5	5/4	4/5	6/4	5/5	7/4	6/5	8/4
1/6	3/7	2/6	4/7	3/6	5/5	4/6	6/5	5/6	7/5	6/6	8/5
1/7	3/8	2/7	4/8	3/7	5/6	4/7	6/6	5/7	7/6	6/7	8/6
1/8	3/9	2/8	4/9	3/8	5/7	4/8	6/7	5/8	7/7	6/8	8/7
1/9	3/10	2/9	4/10	3/9	5/8	4/9	6/8	5/9	7/8	6/9	8/8
1/10	3/11	2/10	4/11	3/10	5/9	4/10	6/9	5/10	7/9	6/10	8/9
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1/19	3/20	2/19	4/20	3/19	5/18	4/19	6/18	5/19	7/18	6/19	8/18
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1/21	3/22	2/21	4/22	3/21	5/20	4/21	6/20	5/21	7/20	6/21	8/20
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1/29	3/30			3/29	5/28	4/29	6/28	5/29	7/28	6/29	8/28
1/30	3/31			3/30	5/29	4/30	6/29	5/30	7/29	6/30	8/29
1/31	4/1			3/31	5/30			5/31	7/30		



July		August		September		October		November		December	
Date Filed	Effective Date										
7/1	8/30	8/1	9/30	9/1	10/31	10/1	11/30	11/1	12/31	12/1	1/30
7/2	8/31	8/2	10/1	9/2	11/1	10/2	12/1	11/2	1/1	12/2	1/31
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7/30	9/28	8/30	10/29	9/30	11/29	10/30	12/29	11/30	1/29	12/30	2/28
7/31	9/29	8/31	10/30			10/31	12/30			12/31	3/1



## REGISTER PUBLISHING DEADLINES

*The Secretary of State's Office publishes the Register weekly. There is a three-week turnaround period between a deadline date and the publication date of the Register. The weekly deadline dates and issue dates are shown below. Council meetings and Register deadlines do not correlate. Also listed are the earliest dates on which an oral proceeding can be held on proposed rulemakings or proposed delegation agreements following publication of the notice in the Register.*

<b>Deadline Date (paper only) Friday, 5:00 p.m.</b>	<b>Register Publication Date</b>	<b>Oral Proceeding may be scheduled on or after</b>
December 12, 2014	January 2, 2015	February 2, 2015
December 19, 2014	January 9, 2015	February 9, 2015
December 26, 2014	January 16, 2015	February 16, 2015
January 2, 2015	January 23, 2015	February 23, 2015
January 9, 2015	January 30, 2015	March 2, 2015
January 16, 2015	February 6, 2015	March 9, 2015
January 23, 2015	February 13, 2015	March 16, 2015
January 30, 2015	February 20, 2015	March 23, 2015
February 6, 2015	February 27, 2015	March 30, 2015
February 13, 2015	March 6, 2015	April 6, 2015
February 20, 2015	March 13, 2015	April 13, 2015
February 27, 2015	March 20, 2015	April 20, 2015
March 6, 2015	March 27, 2015	April 27, 2015
March 13, 2015	April 3, 2015	May 4, 2015
March 20, 2015	April 10, 2015	May 11, 2015
March 27, 2015	April 17, 2015	May 18, 2015
April 3, 2015	April 24, 2015	May 26, 2015 (Tuesday)
April 10, 2015	May 1, 2015	June 1, 2015
April 17, 2015	May 8, 2015	June 8, 2015
April 24, 2015	May 15, 2015	June 15, 2015
May 1, 2015	May 22, 2015	June 22, 2015
May 8, 2015	May 29, 2015	June 29, 2015
May 15, 2015	June 5, 2015	July 6, 2015
May 22, 2015	June 12, 2015	July 13, 2015
May 29, 2015	June 19, 2015	July 20, 2015
June 5, 2015	June 26, 2015	July 27, 2015
June 12, 2015	July 3, 2015	August 3, 2015
June 19, 2015	July 10, 2015	August 10, 2015



## REGISTER INDEXES

The Register is published by volume in a calendar year (See "Information" in the front of each issue for a more detailed explanation).

Abbreviations for rulemaking activity in this Index include:

**PROPOSED RULEMAKING**

- PN = Proposed new Section
- PM = Proposed amended Section
- PR = Proposed repealed Section
- P# = Proposed renumbered Section

**SUPPLEMENTAL PROPOSED RULEMAKING**

- SPN = Supplemental proposed new Section
- SPM = Supplemental proposed amended Section
- SPR = Supplemental proposed repealed Section
- SP# = Supplemental proposed renumbered Section

**FINAL RULEMAKING**

- FN = Final new Section
- FM = Final amended Section
- FR = Final repealed Section
- F# = Final renumbered Section

**SUMMARY RULEMAKING**

**PROPOSED SUMMARY**

- PSMN = Proposed Summary new Section
- PSMM = Proposed Summary amended Section
- PSMR = Proposed Summary repealed Section
- PSM# = Proposed Summary renumbered Section

**FINAL SUMMARY**

- FSMN = Final Summary new Section
- FSMM = Final Summary amended Section
- FSMR = Final Summary repealed Section
- FSM# = Final Summary renumbered Section

**EXPEDITED RULEMAKING**

**PROPOSED EXPEDITED**

- PEN = Proposed Expedited new Section
- PEM = Proposed Expedited amended Section
- PER = Proposed Expedited repealed Section
- PE# = Proposed Expedited renumbered Section

**SUPPLEMENTAL EXPEDITED**

- SPEN = Supplemental Proposed Expedited new Section
- SPEM = Supplemental Proposed Expedited amended Section
- SPER = Supplemental Proposed Expedited repealed Section
- SPE# = Supplemental Proposed Expedited renumbered Section

**FINAL EXPEDITED**

- FEN = Final Expedited new Section
- FEM = Final Expedited amended Section
- FER = Final Expedited repealed Section
- FE# = Final Expedited renumbered Section

**EXEMPT RULEMAKING**

**EXEMPT PROPOSED**

- PXN = Proposed Exempt new Section
- PXM = Proposed Exempt amended Section
- PXR = Proposed Exempt repealed Section
- PX# = Proposed Exempt renumbered Section

**EXEMPT SUPPLEMENTAL PROPOSED**

- SPXN = Supplemental Proposed Exempt new Section
- SPXR = Supplemental Proposed Exempt repealed Section
- SPXM = Supplemental Proposed Exempt amended Section
- SPX# = Supplemental Proposed Exempt renumbered Section

**FINAL EXEMPT RULMAKING**

- FXN = Final Exempt new Section
- FXM = Final Exempt amended Section
- FXR = Final Exempt repealed Section
- FX# = Final Exempt renumbered Section

**EMERGENCY RULEMAKING**

- EN = Emergency new Section
- EM = Emergency amended Section
- ER = Emergency repealed Section
- E# = Emergency renumbered Section
- EEXP = Emergency expired

**RECODIFICATION OF RULES**

- RC = Recodified

**REJECTION OF RULES**

- RJ = Rejected by the Attorney General

**TERMINATION OF RULES**

- TN = Terminated proposed new Sections
- TM = Terminated proposed amended Section
- TR = Terminated proposed repealed Section
- T# = Terminated proposed renumbered Section

**RULE EXPIRATIONS**

- EXP = Rules have expired
- See also "emergency expired" under emergency rulemaking*

**CORRECTIONS**

- C = Corrections to Published Rules



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### RULEMAKING ACTIVITY INDEX

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**THIS INDEX INCLUDES RULEMAKING ACTIVITY THROUGH ISSUE 8 OF VOLUME 21.**

<p><b>Arizona Health Care Cost Containment System - Administration</b></p> <p>R9-22-730. PXM-5</p> <p><b>Collateral Pool, Statewide</b></p> <p>R2-14-101. FN-233</p> <p>R2-14-102. FN-233</p> <p>R2-14-103. FN-233</p> <p>R2-14-104. FN-233</p> <p>R2-14-105. FN-233</p> <p>R2-14-106. FN-233</p> <p>R2-14-107. FN-233</p> <p>R2-14-108. FN-233</p> <p>R2-14-109. FN-233</p> <p><b>Economic Security, Department of - State Assistance Programs</b></p> <p>R6-13-201. EXP-157</p> <p>R6-13-202. EXP-157</p> <p>R6-13-203. EXP-157</p> <p>R6-13-204. EXP-157</p> <p>R6-13-205. EXP-157</p> <p>R6-13-206. EXP-157</p> <p>R6-13-207. EXP-157</p> <p>R6-13-208. EXP-157</p> <p>R6-13-209. EXP-157</p> <p>R6-13-210. EXP-157</p> <p>R6-13-211. EXP-157</p> <p>R6-13-212. EXP-157</p> <p>R6-13-213. EXP-157</p> <p>R6-13-214. EXP-157</p> <p>R6-13-215. EXP-157</p> <p>R6-13-216. EXP-157</p> <p>R6-13-302. EXP-157</p> <p>R6-13-303. EXP-157</p> <p>R6-13-304. EXP-157</p> <p>R6-13-305. EXP-157</p> <p>R6-13-306. EXP-157</p> <p>R6-13-308. EXP-157</p> <p>R6-13-309. EXP-157</p> <p>R6-13-310. EXP-157</p> <p>R6-13-311. EXP-157</p> <p>R6-13-312. EXP-157</p>	<p>R6-13-313. EXP-157</p> <p>R6-13-314. EXP-157</p> <p>R6-13-314.01. EXP-157</p> <p>R6-13-317. EXP-157</p> <p>R6-13-318. EXP-157</p> <p>R6-13-319. EXP-157</p> <p>R6-13-320. EXP-157</p> <p>R6-13-321. EXP-157</p> <p>R6-13-1201. EXP-157</p> <p>R6-13-1202. EXP-157</p> <p>R6-13-1203. EXP-157</p> <p>R6-13-1204. EXP-157</p> <p>R6-13-1206. EXP-157</p> <p>R6-13-1209. EXP-157</p> <p>R6-13-1210. EXP-157</p> <p>R6-13-1211. EXP-157</p> <p>R6-13-1212. EXP-157</p> <p><b>Insurance, Department of</b></p> <p>R20-6-1401. FXM-54</p> <p>R20-6-1402. FXM-54</p> <p>R20-6-1403. FXM-54</p> <p>R20-6-1404. FXM-54</p> <p>R20-6-1405. FXM-54</p> <p>R20-6-1406. FXM-54</p> <p>R20-6-1407. FXM-54</p> <p>R20-6-1408. FXR-54; FXN-54</p> <p>R20-6-1409. FXN-54</p> <p>R20-6-1410. FXN-54</p> <p>Appendix A. FXM-54</p> <p>Appendix B. FXM-54</p> <p>Appendix C. FXM-54</p> <p>Appendix D. FXM-54</p> <p>Appendix E. FX#-54; FXM-54; FXN-54</p> <p>Appendix F. FXN-54</p> <p>Appendix G. FX#-54; FXM-54; FXN-54</p>	<p><b>Physicians Medical Board, Naturopathic</b></p> <p>R4-18-101. PM-201</p> <p>R4-18-107. PM-201</p> <p>R4-18-202. PM-201</p> <p>R4-18-203. PM-201</p> <p>R4-18-204. PM-201</p> <p>R4-18-206. PM-201</p> <p>R4-18-207. PN-201</p> <p>R4-18-208. PN-201</p> <p>R4-18-209. PN-201</p> <p>R4-18-501. PM-201</p> <p>R4-18-502. PM-201</p> <p>R4-18-904. EM-51; PM-201</p> <p><b>Secretary of State, Office of</b></p> <p>R1-1-101. FM-117</p> <p>R1-1-103. FM-117</p> <p>R1-1-104. FM-117</p> <p>R1-1-105. FM-117</p> <p>R1-1-106. FM-117</p> <p>R1-1-107. FM-117</p> <p>R1-1-109. FM-117</p> <p>R1-1-110. FM-117</p> <p>R1-1-114. FM-117</p> <p>R1-1-202. FM-117</p> <p>R1-1-205. FM-117</p> <p>R1-1-211. FM-117</p> <p>R1-1-302. FM-117</p> <p>R1-1-401. FM-117</p> <p>R1-1-414. FM-117</p> <p>R1-1-502. FM-117</p> <p>R1-1-801. FR-117; FN-117</p> <p>R1-1-802. FN-117</p> <p>R1-1-803. FN-117</p> <p>R1-1-1001. FM-117</p>
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**OTHER NOTICES AND PUBLIC RECORDS INDEX**

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Other notices related to rulemakings are listed in the Index by notice type, agency/county and by volume page number. Agency policy statements and proposed delegation agreements are included in this section of the Index by volume page number.

Public records, such as Governor Office executive orders, proclamations, declarations and terminations of emergencies, summaries of Attorney General Opinions, and county notices are also listed in this section of the Index as published by volume page number.

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**THIS INDEX INCLUDES OTHER NOTICE ACTIVITY THROUGH ISSUE 8 OF VOLUME 21.**

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## GOVERNOR'S REGULATORY REVIEW COUNCIL DEADLINES

The following deadlines apply to all Five-Year-Review Reports and any adopted rule submitted to the Governor's Regulatory Review Council. Council meetings and *Register* deadlines do not correlate. We publish these deadlines as a courtesy.

All rules and Five-Year Review Reports are due in the Council office by 5:00 p.m. of the deadline date. The Council's office is located at 100 N. 15th Ave., Suite 402, Phoenix, AZ 85007. For more information, call (602) 542-2058 or visit [www.grrc.state.az.us](http://www.grrc.state.az.us).

DEADLINE TO BE PLACED ON COUNCIL AGENDA	FINAL MATERIALS DUE FROM AGENCIES	DATE OF COUNCIL STUDY SESSION	DATE OF COUNCIL MEETING
November 17, 2014	December 17, 2014	December 30, 2014	January 6, 2015
December 15, 2014	January 14, 2015	January 27, 2015	February 3, 2015
January 20, 2015	February 11, 2015	February 24, 2015	March 3, 2015
February 17, 2015	March 18, 2015	March 31, 2015	April 7, 2015
March 16, 2015	April 15, 2015	April 28, 2015	May 5, 2015
April 20, 2015	May 13, 2015	May 28, 2015	June 2, 2015
May 18, 2015	June 17, 2015	June 30, 2015	July 7, 2015
June 15, 2015	July 15, 2015	July 28, 2015	August 4, 2015
July 20, 2015	August 12, 2015	August 25, 2015	September 1, 2015
August 17, 2015	September 16, 2015	September 29, 2015	October 6, 2015
September 21, 2015	October 14, 2015	October 27, 2015	November 3, 2015
October 19, 2015	November 12, 2015	November 24, 2015	December 1, 2015
November 16, 2015	December 16, 2015	December 29, 2015	January 5, 2016

**GOVERNOR'S REGULATORY REVIEW COUNCIL****NOTICE OF ACTION TAKEN AT THE FEBRUARY 3, 2015 MEETING**

This section of the *Register* contains information submitted for publication by the Governor's Regulatory Review Council, including the summaries of action taken by the Council at the monthly meetings.

[M15-26]

**CONSIDERATION OF FIVE-YEAR-REVIEW REPORTS:****ARIZONA DEPARTMENT OF HEALTH SERVICES (F-14-1108)**

Title 9, Chapter 6, Article 2, Communicable Disease and Infestation Reporting

**ARIZONA DEPARTMENT OF FINANCIAL INSTITUTIONS (F-14-1202)**

Title 20, Chapter 4, Article 6, Debt Management Companies; Article 7, Escrow Agents; Article 8, Trust Companies; Article 10, Safe Deposit and Safekeeping Code; Article 11, Public Depositories for Public Monies

**ARIZONA BOARD OF MASSAGE THERAPY (F-14-1205)**

Title 4, Chapter 15, Article 4, Regulatory Provisions

**ARIZONA DEPARTMENT OF HEALTH SERVICES (F-14-1206)**

Title 9, Chapter 6, Article 13, Immunizations or Vaccines Requiring Prescriptions for Pharmacist Administration

**ARIZONA DEPARTMENT OF HEALTH SERVICES (F-14-1207)**

Title 9, Chapter 24, Article 2, Arizona Medically Underserved Areas; Article 3, Coordinating Medical Providers

**ARIZONA RADIATION REGULATORY AGENCY (F-15-0101)**

Title 12, Chapter 1, Article 17, Wireline Services and Subsurface Tracer Studies

**ARIZONA DEPARTMENT OF ECONOMIC SECURITY (F-15-0103)**

Title 6, Chapter 14, Article 1, Food Stamps – General Information and Provisions

**RULES****ARIZONA STATE TREASURER'S OFFICE (R-15-0203)**

Title 2, Chapter 14, Article 1, Statewide Collateral Pool

**New Article:** Article 1**New Sections:** R2-14-101, R2-14-102, R2-14-103, R2-14-104, R2-14-105, R2-14-106, R2-14-107, R2-14-108 and R2-14-109**COUNCIL ACTION: ALL OF THE ABOVE ITEMS WERE APPROVED IN CONSENT AGENDA****ARIZONA RADIATION REGULATORY AGENCY (R-15-0103)**

Title 12, Chapter 1, Article 12, Administrative Provisions; Article 13, License and Registration Fees

**Amend:** R12-1-1215, Table A, R12-1-1302, R12-1-1306**COUNCIL ACTION: APPROVED**