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From the Publisher

ABOUT THIS PUBLICATION

The paper copy of the Administrative Register (A.A.R.) is the official publication for rules and rulemaking activity in the state of Arizona.

Rulemaking is defined in Arizona Revised Statutes known as the Arizona Administrative Procedure Act (APA), A.R.S. Title 41, Chapter 6, Articles 1 through 10.

The Office of the Secretary of State does not interpret or enforce rules published in the Arizona Administrative Register or Code. Questions should be directed to the state agency responsible for the promulgation of the rule as provided in its published filing.

The Register is cited by volume and page number. Volumes are published by calendar year with issues published weekly. Page numbering continues in each weekly issue.

In addition, the Register contains the full text of the Governor’s Executive Orders and Proclamations of general applicability, summaries of Attorney General opinions, notices of rules terminated by the agency, and the Governor’s appointments of state officials and members of state boards and commissions.

ABOUT RULES

Rules can be: made (all new text); amended (rules on file, changing text); repealed (removing text); or renumbered (moving rules to a different Section number). Rules activity published in the Register includes: proposed, final, emergency, expedited, and exempt rules as defined in the APA.

Rulemakings initiated under the APA as effective on and after January 1, 1995, include the full text of the rule in the Register. New rules in this publication (whether proposed or made) are denoted with underlining; repealed text is stricken.

WHERE IS A “CLEAN” COPY OF THE FINAL OR EXEMPT RULE PUBLISHED IN THE REGISTER?

The Arizona Administrative Code (A.A.C) contains the codified text of rules. The A.A.C. contains rules promulgated and filed by state agencies that have been approved by the Attorney General or the Governor’s Regulatory Review Council. The Code also contains rules exempt from the rulemaking process.

The printed Code is the official publication of a rule in the A.A.C., and is prima facie evidence of the making, amendment, or repeal of that rule as provided by A.R.S. § 41-1012. Paper copies of rules are available by full Chapter or by subscription. The Code is posted online for free.

LEGAL CITATIONS AND FILING NUMBERS

On the cover: Each agency is assigned a Chapter in the Arizona Administrative Code under a specific Title. Titles represent broad subject areas. The Title number is listed first; with the acronym A.A.C., which stands for the Arizona Administrative Code; following the Chapter number and Agency name, then program name. For example, the Secretary of State has rules on rulemaking in Title 1, Chapter 1 of the Arizona Administrative Code. The citation for this chapter is 1 A.A.C. 1, Secretary of State, Rules and Rulemaking.

Every document filed in the office is assigned a file number. This number, enclosed in brackets, is located at the top right of the published documents in the Register. The original filed document is available for 10 cents a page.
Participate in the Process

Look for the Agency Notice

Review (inspect) notices published in the Arizona Administrative Register. Many agencies maintain stakeholder lists and would be glad to inform you when they proposed changes to rules. Check an agency’s website and its newsletters for news about notices and meetings.

Feel like a change should be made to a rule and an agency has not proposed changes? You can petition an agency to make, amend, or repeal a rule. The agency must respond to the petition. (See A.R.S. § 41-1033)

Attend a public hearing/meeting

Attend a public meeting that is being conducted by the agency on a Notice of Proposed Rulemaking. Public meetings may be listed in the Preamble of a Notice of Proposed Rulemaking or they may be published separately in the Register. Be prepared to speak, attend the meeting, and make an oral comment.

An agency may not have a public meeting scheduled on the Notice of Proposed Rulemaking. If not, you may request that the agency schedule a proceeding. This request must be put in writing within 30 days after the published Notice of Proposed Rulemaking.

Write the agency

Put your comments in writing to the agency. In order for the agency to consider your comments, the agency must receive them by the close of record. The comment must be received within the 30-day comment timeframe following the Register publication of the Notice of Proposed Rulemaking.

You can also submit to the Governor’s Regulatory Review Council written comments that are relevant to the Council’s power to review a given rule (A.R.S. § 41-1052). The Council reviews the rule at the end of the rulemaking process and before the rules are filed with the Secretary of State.

Arizona Regular Rulemaking Process

START HERE

Agency opens a docket. Agency files a Notice of Rulemaking Docket Opening; it is published in the Register. Often an agency will file the docket with the proposed rulemaking.

Agency drafts proposed rule and Economic Impact Statement (EIS); informal public review/comment.

Agency files Notice of Proposed Rulemaking. Notice is published in the Register. Notice of meetings may be published in Register or included in Preamble of Proposed Rulemaking. Agency opens comment period.


Oral proceeding and close of record. Comment period must last at least 30 days after publication of notice. Oral proceeding (hearing) is held no sooner than 30 days after publication of notice of hearing.

Substantial change?

If no change then

Rule must be submitted for review or terminated within 120 days after the close of the record.

A final rulemaking package is submitted to G.R.R.C. or A.G. for review. Contains final preamble, rules, and Economic Impact Statement.

G.R.R.C. has 90 days to review and approve or return the rule package, in whole or in part; A.G. has 60 days.

After approval by G.R.R.C. or A.G., the rule becomes effective 60 days after filing with the Secretary of State (unless otherwise indicated).

Final rule is published in the Register and the quarterly Code Supplement.
Definitions


**Arizona Administrative Register (A.A.R.):** The official publication that includes filed documents pertaining to Arizona rulemaking. Available online at www.azsos.gov.

**Administrative Procedure Act (APA):** A.R.S Title 41, Chapter 6, Articles 1 through 10. Available online at www.azleg.gov.

**Arizona Revised Statutes (A.R.S.):** The statutes are made by the Arizona State Legislature during a legislative session. They are compiled by Legislative Council, with the official publication codified by Thomson West. Citations to statutes include Titles which represent broad subject areas. The Title number is followed by the Section number. For example, A.R.S. § 41-1001 is the definitions Section of Title 41 of the Arizona Administrative Procedures Act. The “§” symbol simply means “section.” Available online at www.azleg.gov.

**Chapter:** A division in the codification of the Code designating a state agency or, for a large agency, a major program.

**Close of Record:** The close of the public record for a proposed rulemaking is the date an agency chooses as the last date it will accept public comments, either written or oral.


**Docket:** A public file for each rulemaking containing materials related to the proceedings of that rulemaking. The docket file is established and maintained by an agency from the time it begins to consider making a rule until the rulemaking is finished. The agency provides public notice of the docket by filing a Notice of Rulemaking Docket Opening with the Office for publication in the Register.

**Economic, Small Business, and Consumer Impact Statement (EIS):** The EIS identifies the impact of the rule on private and public employment, on small businesses, and on consumers. It includes an analysis of the probable costs and benefits of the rule. An agency includes a brief summary of the EIS in its preamble. The EIS is also filed with the rulemaking package.

**Governor’s Regulatory Review (G.R.R.C.):** Reviews and approves rules to ensure that they are necessary and to avoid unnecessary duplication and adverse impact on the public. G.R.R.C. also assesses whether the rules are clear, concise, understandable, legal, consistent with legislative intent, and whether the benefits of a rule outweigh the cost.

**Incorporated by Reference:** An agency may incorporate by reference standards or other publications. These standards are available from the state agency with references on where to order the standard or review it online.

**Federal Register (FR):** The Federal Register is a legal newspaper published every business day by the National Archives and Records Administration (NARA). It contains federal agency regulations; proposed rules and notices; and executive orders, proclamations, and other presidential documents.

**Session Laws or “Laws”:** When an agency references a law that has not yet been codified into the Arizona Revised Statutes, use the word “Laws” is followed by the year the law was passed by the Legislature, followed by the Chapter number using the abbreviation “Ch.”, and the specific Section number using the Section symbol (§). For example, Laws 1995, Ch. 6, § 2. Session laws are available at www.azleg.gov.

**United States Code (U.S.C.):** The Code is a consolidation and codification by subject matter of the general and permanent laws of the United States. The Code does not include regulations issued by executive branch agencies, decisions of the federal courts, treaties, or laws enacted by state or local governments.

Acronyms

A.A.C. – Arizona Administrative Code
A.A.R. – Arizona Administrative Register
APA – Administrative Procedure Act
A.R.S. – Arizona Revised Statutes
CFR – Code of Federal Regulations
EIS – Economic, Small Business, and Consumer Impact Statement
FR – Federal Register
G.R.R.C. – Governor’s Regulatory Review Council

About Preambles

The Preamble is the part of a rulemaking package that contains information about the rulemaking and provides agency justification and regulatory intent.

It includes reference to the specific statutes authorizing the agency to make the rule, an explanation of the rule, reasons for proposing the rule, and the preliminary Economic Impact Statement.

The information in the Preamble differs between rulemaking notices used and the stage of the rulemaking.
### NOTICES OF EMERGENCY RULEMAKING

This section of the Arizona Administrative Register contains Notices of Emergency Rulemaking. The Office of the Secretary of State is the filing office and publisher of these rules.

Questions about the interpretation of the emergency rules should be addressed to the agency proposing them. Refer to Item #5 to contact the person charged with the rulemaking.

### NOTICE OF EMERGENCY RULEMAKING

**TITLE 9. HEALTH SERVICES**

**CHAPTER 10. DEPARTMENT OF HEALTH SERVICES**

**HEALTH CARE INSTITUTIONS: LICENSING**

[R18-16]

#### PREAMBLE

1. **Article, Part, or Section Affected (as applicable)**  
   R9-10-120  
   **Rulemaking Action**  
   Amend

2. **Citations to the agency’s statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):**  
   Authorizing statutes: A.R.S. §§ 36-132(A)(1), 36-136(G)  
   Implementing statutes: A.R.S. §§ 36-132(A)(17), 36-405(A) and (B)

3. **The effective date of the rule:**  
   January 25, 2018  
   The rule [took] effect upon the expiration of the emergency rule adopted through the Notice of Emergency Rulemaking filed with the Office of the Secretary of State by the Office of the Attorney General on July 28, 2017. An exception from the effective date provisions in A.R.S. § 41-1032(A) is necessary to preserve public health by continuing to address the epidemic of opioid overdose deaths occurring in Arizona.

4. **Citations to all related emergency rulemaking notices published in the Register as specified in R1-1-409(A) that pertain to the record of this notice of emergency rulemaking:**  
   Notice of Emergency Rulemaking: 23 A.A.R. 2203, August 18, 2017

5. **The agency’s contact person who can answer questions about the rulemaking:**  
   Name: Colby Bower, Assistant Director  
   Address: Department of Health Services  
   Public Health Licensing Services  
   150 N. 18th Ave., Suite 510  
   Phoenix, AZ 85007  
   Telephone: (602) 542-6383  
   Fax: (602) 364-4808  
   E-mail: Colby.Bower@azdhs.gov  
   or  
   Name: Robert Lane, Chief  
   Address: Arizona Department of Health Services  
   Office of Administrative Counsel and Rules  
   150 N. 18th Ave., Suite 200  
   Phoenix, AZ 85007  
   Telephone: (602) 542-1020  
   Fax: (602) 364-1150  
   E-mail: Robert.Lane@azdhs.gov

6. **An agency’s justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:**  
   Arizona Revised Statutes (A.R.S.) § 36-405 requires the Arizona Department of Health Services (Department) to adopt rules establishing minimum standards and requirements for the construction, modification, and licensure of health care institutions necessary to assure public health, safety, and welfare. In Arizona Administrative Code (A.A.C.) Title 9, Chapter 10, Article 1, the Department has implemented requirements related to this statute that are applicable to more than one class or subclass of health care institution.

   In the last 15 years, prescription opioid sales in the United States have risen by 300%, resulting in more than 33,000 opioid overdose deaths in 2015 nationwide. In Arizona, 790 individuals died in 2016 of an opioid overdose, a 74% increase since 2012. This figure represents over half of all drug overdoses in Arizona in 2016. In response to this epidemic, Governor Doug Ducey, on
June 5, 2017, issued a Declaration of Emergency (Opioid Overdose Epidemic). In compliance with the Governor’s Declaration of Emergency and after obtaining an exception from the rulemaking moratorium established by Executive Order 2017-02, the Department adopted a rule, effective July 28, 2017, in 9 A.A.C. 10, Article 1, to address opioid prescribing and treatment in licensed health care institutions through emergency rulemaking. The emergency rule requires licensed health care institutions to establish, document, and implement policies and procedures for prescribing, ordering, or administering opioids as part of treatment; to include specific processes related to opioids in a licensed health care institution’s quality management program; and to notify the Department of the death of a patient from an opioid overdose. The Department also specified requirements with which an individual will need to comply before prescribing opioids, ordering opioids, or administering opioids in the treatment of a patient. To reduce the burden on licensed health care institutions, the Department exempted the prescription, ordering, or administration of opioids as part of treatment for a patient with a terminal condition. By providing licensed health care institutions with comprehensive requirements related to the prescription and use of opioids in treatment, the Department anticipated an immediate effect on opioid prescribing practices, a decrease in the number of unnecessary opioid prescriptions, and an attendant reduction in overdose-related events thereafter.

Concurrent with this emergency action, the Department initiated a regular rulemaking to address opioid-related deaths in licensed health care institutions. However, if the current rate of opioid-related deaths continues, nearly 600 Arizonan lives may be lost due to an opioid overdose in the time it takes to complete a regular rulemaking. Based on the foregoing and pursuant to A.R.S. § 41-1026(D), the Department finds the continued existence of an emergency justifying an emergency rulemaking. Although the Department has submitted a Notice of Proposed Rulemaking to the Office of the Secretary of State, the current emergency rule will expire before the regular rulemaking is completed. To prevent the expiration of the emergency rule, the Department has requested a renewal of the emergency rule. The Department is also amending the emergency rule to address stakeholder concerns expressed during the regular rulemaking, improve the effectiveness of the rule, and further reduce the burden on health care institutions.

7. A reference to any study relevant to the rules that the agency reviewed and either relied on or did not rely on in its evaluation of or justification for the rules, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

The Department based the need for this rulemaking on the following two documents:


Both documents present factual data describing the extent of the opioid epidemic in Arizona and the United States, respectively.

8. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

9. A summary of the economic, small business, and consumer impact:

Annual cost/revenue changes are designated as minimal when $10,000 or less, moderate when between $10,000 and $50,000, and substantial when $50,000 or greater in additional costs or revenues. A cost is listed as significant when meaningful or important, but not readily subject to quantification. The Department anticipates that persons affected by the rulemaking include the Department, licensed health care institutions, individuals prescribing or ordering an opioid on behalf of a licensed health care institution, individuals administering an opioid to a patient on behalf of a licensed health care institution or providing assistance in the self-administration of medication for a patient’s prescribed opioid, patients of licensed health care institutions and their families, and the general public.

The Department will receive a significant benefit from having a rule that specifically addresses opioid prescribing and treatment in licensed health care institutions by being better able to, and more easily, assess whether a licensed health care institution is adequately addressing the opioid epidemic occurring in Arizona. Since AHCCCS pays for a large proportion of health care costs in Arizona, the Department believes that AHCCCS may receive up to a substantial cost savings through a reduction in the number of hospitalizations or emergency department visits from individuals suffering an opioid overdose as a result of opioids prescribed, ordered, or administered as part of treatment in licensed health care institutions. Other third-party payors may also receive up to a substantial cost savings, depending on the number of subscribers who are spared from an opioid overdose because of the rule.

For most licensed health care institutions, the Department believes that making changes to their policies and procedures to specifically address opioids would cause the licensed health care institution to incur a minimal cost, although there may be a few with extensive ordering, prescribing, or administration policies and procedures that could incur a moderate cost. Having these policies and procedures in place may provide a significant benefit to a licensed health care institution from the clarity and specificity of the requirements, which may lead to fewer opioid-related adverse reactions or other negative outcomes for a patient. The Department anticipates that specific processes related to opioids could be incorporated into a licensed health care institution’s existing quality management program and, for most licensed health care institutions, believes that including these processes may cause the licensed health care institution to incur minimal costs. If a licensed health care institution identifies a larger number of opioid-related adverse reactions or other negative patient outcomes through their revised quality management program, and then investigates and makes changes or take actions as a result of the identification of a concern, the cost incurred by the licensed health care institution may be higher. As stated above, having specific processes related to opioids as part of a licensed health care institution’s quality management program may provide a significant benefit to the licensed health care institution from the clarity and specificity of the requirements, which may lead to fewer opioid-related adverse reactions or other negative outcomes for patients. The Department anticipates that licensed health care institutions not already reporting deaths to the Department may incur a mini-
mal-to-moderate increase in costs for reporting these deaths, depending on the number of opioid-related deaths being reported. The rule also specifies some clinical requirements that the administrator of a licensed health care institution is required to ensure take place. These requirements may impose minimal-to-substantial increased cost on a health care institution depending on what practices the health care institution is currently employing. The requirements in the rule related to the administration of an opioid to a patient or to providing assistance in the self-administration of medication for a prescribed opioid may cause a licensed health care institution to incur at most a minimal increased cost.

The rule affects medical practitioners (physicians, physician assistants, and registered nurse practitioners) who work for licensed health care institutions through requirements imposed on these licensed health care institutions. The Department believes that the rule may cause an affected medical practitioner to incur minimal-to-moderate costs, depending on the number of patients for whom the medical practitioner orders, prescribes, or administers opioids, and to receive a significant benefit from providing better care to a patient. The Department estimates that the requirements in subsection (E) may cause a personnel member to incur at most a minimal cost and to receive a significant benefit from providing better care to a patient.

Since the requirements in the rule were designed to improve the health and safety of patients receiving an opioid medication as part of treatment in a licensed health care institution, the Department anticipates that patients and their families may receive a significant benefit from the requirements in the rule. If a licensed health care institution passes on any increases in cost due to the rule, a patient could incur a minimal increase in the cost of services provided by the licensed health care institution. The Department anticipates that the general public will receive a significant benefit from the rule, which was developed to help combat the opioid overdose epidemic and reduce the number of opioid overdose deaths.

10. Any other matters prescribed by statute that are applicable to the specific agency or to any specific rule or class of rules. When applicable, matters shall include but are not limited to:
   a. Whether the rule requires a permit, whether a general permit is used and, if not, the reasons why a general permit is not used:
      Not applicable
   b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and, if so, citation to the statutory authority to exceed the requirements of federal law:
      The rule is not more stringent than federal law.
   c. Whether a person submitted an analysis to the agency that compares the rule’s impact of the competitiveness of business in this state to the impact on business in other states:
      No analysis comparing competitiveness was received by the Department.

11. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rule:
    None

12. An agency explanation about the situation justifying the rulemaking as an emergency rule:
    The Department tracks deaths of individuals who die of an opioid overdose and recently reported a significant increase in prescription and illicit drug overdose deaths in 2016, as published in a report available at: http://azdhs.gov/documents/audiences/clinicians/clinical-guidelines-recommendations/prescribing-guidelines/arizona-opioid-report.pdf. In response to the significant increase in drug overdose deaths and to comply with the Governor’s directive, the Department immediately initiated an emergency rulemaking to address the public health epidemic. This situation was not caused by the Department’s delay or inaction. Although the Department has submitted a Notice of Proposed Rulemaking to the Office of the Secretary of State, the current emergency rule will expire before the regular rulemaking is completed.

13. The date the Attorney General approved the rule:
    January 11, 2018

14. The full text of the rules follows:

TITLE 9. HEALTH SERVICES
CHAPTER 10. DEPARTMENT OF HEALTH SERVICES
HEALTH CARE INSTITUTIONS: LICENSING

ARTICLE 1. GENERAL

R9-10-120. Opioid Prescribing and Treatment

A. In addition to the definitions in A.R.S. § 36-401(A) and R9-10-101, the following definitions apply in this Section:
   1. “Active malignancy” means a cancer for which:
      a. A patient is undergoing treatment, such as through:
         i. One or more surgical procedures to remove the cancer;
         ii. Chemotherapy, as defined in A.A.C. R9-4-401; or
         iii. Radiation treatment, as defined in A.A.C. R9-4-401;
      b. There is no treatment; or
A patient is refusing treatment.

2. "Benzodiazepine" means any one of a class of sedative-hypnotic medications, characterized by a chemical structure that includes a benzene ring linked to a seven-membered ring containing two nitrogen atoms, that are commonly used in the treatment of anxiety.

3. "End-of-life" means that a patient has a documented life expectancy of six months or less.

4. "Episode of care" means medical services, nursing services, or health-related services provided by a health care institution to a patient for a specific period of time, ending in discharge or the completion of the patient’s treatment plan, whichever is later.

5. "Opioid" means a controlled substance, as defined in A.R.S. § 36-2501, that meets the definition of "opiate" in A.R.S. § 36-2501.

6. "Order" means to issue written, verbal, or electronic instructions for a specific dose of a specific medication in a specific quantity and route of administration to be obtained and administered to a patient in a health care institution.

7. "Prescribe" means to issue written or electronic instructions to a pharmacist to dispense a specific dose of a specific medication in a specific quantity and route of administration directly to a patient.

8. "Sedative-hypnotic medication" means any one of several classes of drugs that have sleep-inducing, anti-anxiety, anti-convulsant, and muscle-relaxing properties.

9. "Short-acting opioid antagonist" means a drug approved by the U.S. Department of Health and Human Services, Food and Drug Administration, that, when administered, quickly but for a small period of time reverses, in whole or in part, the pharmacological effects of an opioid in the body.

10. "Substance use disorder" means a condition in which the misuse or dependence on alcohol or a drug results in adverse physical, mental, or social effects on an individual.

11. "Substance use risk assessment" means an evaluation of an individual’s unique likelihood for addiction, misuse, diversion, or another adverse consequence resulting from the individual being prescribed or receiving treatment with opioids.

12. "Tapering" means the gradual reduction in the dosage of a medication administered to a patient, often with the intent of eventually discontinuing the use of the medication for the patient.

B. An administrator of a health care institution where opioids are prescribed or ordered as part of treatment shall:

1. Establish, document, and implement policies and procedures for prescribing or ordering an opioid as part of treatment, to protect the health and safety of a patient, that:
   a. Cover which personnel members may prescribe or order an opioid in treating a patient and the required knowledge and qualifications of these personnel members.
   b. As applicable and except when contrary to medical judgment for a patient, are consistent with the Arizona Opioid Prescribing Guidelines or national opioid-prescribing guidelines, such as guidelines developed by the:
      i. Centers for Disease Control and Prevention, or
   c. Include how, when, and by whom:
      i. A patient’s profile on the Arizona Board of Pharmacy Controlled Substances Prescription Monitoring Program database is reviewed;
      ii. A substance use risk assessment of a patient is conducted;
      iii. The potential risks, adverse outcomes, and complications, including death, associated with the use of opioids are explained to a patient or the patient’s representative;
      iv. Alternatives to a prescribed or ordered opioid are explained to a patient or the patient’s representative;
      v. Informed consent is obtained from a patient or the patient’s representative;
      vi. A patient receiving an opioid is monitored; and
      vii. The actions taken according to subsections (B)(1)(c)(i) through (vi) are documented;
   d. Address conditions that may impose a higher risk to a patient when prescribing or ordering an opioid as part of treatment, including:
      i. Concurrent use of a benzodiazepine or other sedative-hypnotic medication.
      ii. History of substance use disorder.
      iii. Co-occurring behavioral health issue, or
      iv. Pregnancy.
   e. Cover the criteria for co-prescribing a short-acting opioid antagonist for a patient;
   f. Include that, if continuing control of a patient’s pain after discharge is medically indicated due to the patient’s medical condition, a method for continuing pain control will be addressed as part of discharge planning;
   g. Include the frequency of the following for a patient being prescribed or ordered an opioid for longer than a 30-calendar-day period:
      i. Face-to-face interactions with the patient.
      ii. Conducting a substance use risk assessment of the patient.
      iii. Renewal of a prescription or order for an opioid without a face-to-face interaction with the patient, and
      iv. Monitoring the effectiveness of the treatment;
   h. If applicable according to A.R.S. § 36-2608, include documenting a dispensed opioid in the Arizona Board of Pharmacy Controlled Substances Prescription Monitoring Program database;
   i. Cover the criteria and procedures for tapering opioid prescription or ordering as part of treatment; and
   j. Cover the criteria and procedures for offering or referring a patient for treatment for substance use disorder.

2. Include in the plan for the health care institution’s quality management program a process for:
   a. Review of known incidents of opioid-related adverse reactions or other negative outcomes a patient experiences or opioid-related deaths; and
   b. Surveillance and monitoring of adherence to the policies and procedures in subsection (B)(1);
3. Except as prohibited by Title 42 Code of Federal Regulations, Chapter I, Subchapter A, Part 2, or as provided in subsection (G), ensure that, if a patient’s death may be related to an opioid prescribed or ordered as part of treatment, written notification, in a Department-provided format, is provided to the Department of the patient’s death within one working day after the health care institution learns of the patient’s death.

4. Ensure that informed consent required from a patient or the patient’s representative includes:
   a. The patient’s:
      i. Name,
      ii. Date of birth or other patient identifier, and
      iii. Condition for which opioids are being prescribed;
   b. That an opioid being prescribed or ordered;
   c. The potential risks, adverse reactions, complications, and medication interactions associated with the use of an opioid;
   d. If applicable, the potential risks, adverse outcomes, and complications associated with the concurrent use of an opioid and a benzodiazepine or another sedative-hypnotic medication;
   e. Alternatives to a prescribed opioid;
   f. Name and signature of the individual explaining the use of an opioid to the patient; and
   g. The signature of the patient or patient’s representative and the date signed.

C. Except as provided in subsection (G), an administrator of a health care institution where opioids are prescribed as part of treatment shall ensure that a medical practitioner authorized by policies and procedures to prescribe an opioid in treating a patient:

1. Before prescribing an opioid for a patient of the health care institution:
   a. Conducts a physical examination of the patient or reviews the documentation from a physical examination conducted during the patient’s same episode of care;
   b. Except as exempted by A.R.S. § 36-2606(G), reviews the patient’s profile on the Arizona Board of Pharmacy Controlled Substances Prescription Monitoring Program database;
   c. Conducts a substance use risk assessment of a patient or reviews the documentation from a substance use risk assessment conducted during the same episode of care by an individual licensed under A.R.S. Title 32 and authorized by policies and procedures to conduct a substance use risk assessment of the patient;
   d. Explains to the patient the risks and benefits associated with the use of opioids or ensures that the patient understands the risks and benefits associated with the use of opioids, as explained to the patient by an individual licensed under A.R.S. Title 32 and authorized by policies and procedures to explain to the patient the risks and benefits associated with the use of opioids;
   e. Explains alternatives to a prescribed opioid; and
   f. Obtains informed consent from the patient or the patient’s representative that meets the requirements in subsection (B)(4), including the potential risks, adverse outcomes, and complications associated with the concurrent use of an opioid and a benzodiazepine or another sedative-hypnotic medication, if the patient:
      i. Has been prescribed or ordered a sedative-hypnotic medication by another medical practitioner;
   g. Has been prescribed a sedative-hypnotic medication by another medical practitioner;
   h. Includes the following information in the patient’s medical record, an existing treatment plan, or a new treatment plan developed for the patient:
      a. The patient’s diagnosis;
      b. The patient’s medical history, including co-occurring disorders;
      c. The opioid to be prescribed;
      d. Other medications or herbal supplements being taken by the patient;
      e. If applicable:
         i. The effectiveness of the patient’s current treatment,
         ii. The duration of the current treatment, and
         iii. Alternative treatments tried by or planned for the patient;
      f. The expected benefit of the treatment and, if applicable, the benefit of the new treatment compared with continuing the current treatment; and
      g. Other factors relevant to the patient’s being prescribed an opioid; and
   i. If applicable, specifies in the patient’s discharge plan how medically indicated pain control will occur after discharge to meet the patient’s needs.

D. Except as provided in subsection (G), an administrator of a health care institution where opioids are ordered for administration to a patient in the health care institution as part of treatment shall ensure that a medical practitioner authorized by policies and procedures to order an opioid in treating a patient:

1. Before ordering an opioid for a patient of the health care institution:
   a. Conducts a physical examination of the patient or reviews the documentation from a physical examination conducted:
      i. During the patient’s same episode of care; or
      ii. Within the previous 30 calendar days, by the medical practitioner who referred the patient for admission to the health care institution;
   b. Except as exempted by A.R.S. § 36-2606(G), reviews the patient’s profile on the Arizona Board of Pharmacy Controlled Substances Prescription Monitoring Program database;
   c. Conducts a substance use risk assessment of the patient or reviews the documentation from a substance use risk assessment conducted within the previous 30 calendar days by an individual licensed under A.R.S. Title 32 and authorized by policies and procedures to conduct a substance use risk assessment of the patient;
   d. Explains to the patient the risks and benefits associated with the use of opioids or ensures that the patient understands the risks and benefits associated with the use of opioids, as explained to the patient by an individual licensed under A.R.S. Title
If applicable, explains alternatives to a prescribed opioid; and

Include how, when, and by whom a patient’s need for opioid administration is assessed;

Complying with the requirements in subsection (D) after the emergency is resolved;

Prescribing, ordering, or administration of an opioid as part of treatment for a patient with an end-of-life condition or pain asso-

For a health care institution where opioids are administered as part of treatment or where a pa-
tinent is provided assistance in the self-

The patient’s diagnosis;

b. The patient’s medical history, including co-occurring disorders;

c. The opioid being ordered and the reason for the order;

d. Other medications or herbal supplements being taken by the patient; and

e. If applicable:

i. The effectiveness of the patient’s current treatment,

ii. The duration of the current treatment,

iii. Alternative treatments tried by or planned for the patient,

iv. The expected benefit of a new treatment compared with continuing the current treatment, and

v. Other factors relevant to the patient’s being ordered an opioid.

E. For a health care institution where opioids are administered as part of treatment or where a patient is provided assistance in the self-

a. Cover which personnel members may administer an opioid in treating a patient and the required knowledge and qualifications of these personnel members;

b. Include how, when, and by whom a patient’s need for opioid administration is assessed;

c. Include how, when, and by whom a patient receiving an opioid is monitored; and

d. Cover how, when, and by whom the actions taken according to subsections (E)(1)(c) and (d) are documented;

2. Include in the plan for the health care institution’s quality management program a process for:

a. Review of incidents of opioid-related adverse reactions or other negative outcomes a patient experiences or opioid-related deaths; and

b. Surveillance and monitoring of adherence to the policies and procedures in subsection (E)(1);

3. Except as prohibited by Title 42 Code of Federal Regulations, Chapter I, Subchapter A, Part 2, or as provided in subsection (G), except as provided in subsection (G), ensure that, if a patient’s death may be related to an opioid administered as part of treatment, written notification, in a Depart-

ment-provided format, is provided to the Department of the patient’s death within one working day after the patient’s death; and

4. Except as provided in subsection (G), ensure that an individual authorized by policies and procedures to administer an opioid in treating a patient or to provide assistance in the self-administration of medication for a prescribed opioid:

a. Before administering an opioid or providing assistance in the self-administration of medication for a prescribed opioid in compliance with an order as part of the treatment for a patient, identifies the patient’s need for the opioid;

b. Monitors the patient’s response to the opioid; and

c. Documents in the patient’s medical record:

i. An identification of the patient’s need for the opioid before the opioid was administered or assistance in the self-

administration of medication for a prescribed opioid was provided, and

ii. The effect of the opioid administered or for which assistance in the self-administration of medication for a prescribed opioid was provided.

F. A medical practitioner authorized by a health care institution’s policies and procedures to order an opioid in treating a patient is exempt from the requirements in subsection (D), if:

1. The health care institution’s policies and procedures, required in subsection (B)(1) or the applicable Article in 9 A.A.C. 10, contain procedures for:

a. Providing treatment without obtaining the consent of a patient’s or the patient’s representative,

b. Ordering and administering opioids in an emergency situation, and

c. Complying with the requirements in subsection (D) after the emergency is resolved;

2. The order for the administration of an opioid is:

a. Part of the treatment for a patient in an emergency, and

b. Issued in accordance with policies and procedures; and

3. The emergency situation is documented in the patient’s medical record.

G. The requirements in subsections (C), (D), and (E)(4), as applicable, do not apply to a health care institution’s:

1. Prescribing, ordering, or administration of an opioid as part of treatment for a patient with an end-of-life condition or pain associ-
ated with an active malignancy;

2. Prescribing an opioid as part of treatment for a patient when changing the type or dosage of an opioid, which had previously been prescribed by a medical practitioner of the health care institution for the patient according to the requirements in subsection (C):

a. Before a pharmacist dispenses the opioid to the patient; or

b. If changing the opioid because of an adverse reaction to the opioid experienced by the patient, within 72 hours after the opi-
oid was dispensed to the patient by a pharmacist;
3. Ordering an opioid as part of treatment for no longer than three calendar days for a patient remaining in the health care institution and receiving continuous medical services or nursing services from the health care institution; or
4. Ordering an opioid as part of treatment:
   a. For a patient receiving a surgical procedure or other invasive procedure; or
   b. When changing the type, dosage, or route of administration of an opioid, which had previously been ordered by a medical practitioner of the health care institution for a patient according to the requirements in subsection (D), to meet the patient’s needs.
NOTICES OF RULEMAKING DOCKET OPENING

This section of the Arizona Administrative Register contains Notices of Rulemaking Docket Opening. A docket opening is the first part of the administrative rulemaking process. It is an "announcement" that the agency intends to work on its rules. When an agency opens a rulemaking docket to consider rulemaking, the Administrative Procedure Act (APA) requires the publication of the Notice of Rulemaking Docket Opening.

Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process. Many times an agency may file the Notice of Rulemaking Docket Opening with the Notice of Proposed Rulemaking. The Office of the Secretary of State is the filing office and publisher of these notices. Questions about the interpretation of this information should be directed to the agency contact person listed in item #4 of this notice.

NOTICE OF RULEMAKING DOCKET OPENING

DEPARTMENT OF HEALTH SERVICES
HEALTH CARE INSTITUTIONS: LICENSING

[R18-17]

1. Title and its heading:
   9, Health Services

2. Chapter and its heading:
   10, Department of Health Services - Health Care Institutions: Licensing

3. Article and its headings:
   15, Abortion Clinics

4. Section numbers:
   R9-10-1501 through R9-10-1515 (The Department may add, delete, or modify other Sections, as necessary.)

5. The subject matter of the proposed rules:
   In order to ensure public health, safety, and welfare, Arizona Revised Statutes (A.R.S.) §§ 36-405 and 36-406 require the Arizona Department of Health Services (Department) to adopt rules establishing minimum standards and requirements for construction, modification, and licensure of health care institutions. A.R.S. § 36-449.03 requires the Department to adopt rules that establish minimum standards and requirements for abortion clinics. We have implemented these statutes in 9 AAC 10, Article 15. Laws 2017, Ch. 133 requires an abortion clinic or hospital that performs an abortion after 20 weeks gestational age to implement and document procedures to make sure a physician takes measures to maintain the life of an aborted embryo or fetus born alive and documents and reports those measures. Laws 2017, Ch. 133 also requires the equipment necessary to carry out these life-maintaining measures and includes specific requirements for abortions when a fetus has a lethal fetal condition. The Department is amending the abortion clinic rules to comply with Laws 2017, Ch. 133 and make other changes to comply with statute and improve efficiency and effectiveness. The Department may make further changes to the rules for clarity, to remove or modify outdated language, or to update references and citations.

6. A citation to all published notices relating to the proceeding:
   None

7. The name and address of agency personnel with whom persons may communicate regarding the rules:
   Name: Colby Bower, Assistant Director
   Address: Department of Health Services
            Public Health Licensing Services
            150 N. 18th Ave., Suite 510
            Phoenix, AZ 85007
   Telephone: (602) 542-6383
   Fax: (602) 364-4808
   E-mail: Colby.Bower@azdhs.gov
   or
   Name: Robert Lane, Chief
   Address: Arizona Department of Health Services
            Office of Administrative Counsel and Rules
            150 N. 18th Avenue, Suite 200
            Phoenix, AZ 85007
   Telephone: (602) 542-1020
   Fax: (602) 364-1150
   E-mail: Robert.Lane@azdhs.gov

8. The time during which the agency will accept written comments and the time and place where oral comments may be made:
   Written comments will be accepted at the addresses listed in item #4 until the close of record, which has not yet been determined. No oral proceedings have been scheduled at this time.
6. **A timetable for agency decisions or other action on the proceeding, if known:**
   To be announced in the Notice of Proposed Rulemaking
NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statement issued by agencies (A.R.S. § 41-1013(B)(9)). Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice. Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect an agency's internal procedures and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA. If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties, you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   - Document Title: WIFA Board Resolutions
   - Identification Number: I.3

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   - Original Issue Date: March 14, 2000
   - Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to generate and process resolutions considered by the WIFA Advisory Board and approved by the Arizona Finance Authority Board of Directors.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   - Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   - This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   - Name: Trish Incognito
   - Address: Water Infrastructure Finance Authority
             100 N. 15th Ave., Suite 103
             Phoenix, AZ 85007
   - Telephone: (602) 364-1310
   - Fax: (602) 364-1327
   - E-mail: pincognito@azwifa.gov
   - Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   - Document Title: Construction Observations
   - Identification Number: II.3

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   - Original Issue Date: October 10, 2000
   - Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy regarding the need for and purpose of construction observations.
4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Project of the Year Award
   Identification Number: I.12

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: February 15, 2012
   Effective Date: January 25, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to recognize exceptional WIFA projects.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
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   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
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   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Multiple Technical Assistance Awards
   Identification Number: II.5

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: April 16, 2003
Effective Date: October 6, 2017

3. **Summary of the contents of the substantive policy statement:**
The Authority issued a policy to describe WIFA's restrictions on multiple technical assistance awards.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a revised substantive policy statement.

6. **The agency contact person who can answer questions about the substantive policy statement:**
   - Name: Trish Incognito
   - Address: Water Infrastructure Finance Authority
     100 N. 15th Ave., Suite 103
     Phoenix, AZ 85007
   - Telephone: (602) 364-1310
   - Fax: (602) 364-1327
   - E-mail: pincognito@azwifa.gov
   - Web site: www.azwifa.gov

7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.
NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Drinking Water State Revolving Fund Eligibility Requirements
   Identification Number: II.9

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: April 20, 2011
   Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to provide guidance on determining eligibility for the Drinking Water State Revolving Fund.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Clean Water State Revolving Fund Eligibility Requirements
   Identification Number: II.10

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: June 15, 2011
   Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to provide guidance on determining eligibility for the Clean Water State Revolving Fund.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov
7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

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1. **Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**

   Document Title: Determining Area Median Household Income
   Identification Number: II.11

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

   Original Issue Date: January 11, 2000
   Effective Date: October 6, 2017

3. **Summary of the contents of the substantive policy statement:**

   The Authority issued a policy to provide guidance to WIFA staff and PPL applicants in determining the area median household income.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

   Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**

   This is a revised substantive policy statement.

6. **The agency contact person who can answer questions about the substantive policy statement:**

   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

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1. **Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**

   Document Title: Small Drinking Water Systems Fund Grants
   Identification Number: II.12

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

   Original Issue Date: October 18, 2006
   Effective Date: August 23, 2017

3. **Summary of the contents of the substantive policy statement:**

   The Authority issued a policy to summarize WIFA’s process for the Small Drinking Water Systems Fund Grants.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

   Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**

   This is a revised substantive policy statement.

6. **The agency contact person who can answer questions about the substantive policy statement:**

   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M18-12]

1. **Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**

   Document Title: Financial Assistance Review and Approval
   Identification Number: III.1

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

   Original Issue Date: December 9, 1997
   Effective Date: October 6, 2017

3. **Summary of the contents of the substantive policy statement:**

   The Authority issued a policy to summarize the financial assistance review and approval process.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

   Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**

   This is a revised substantive policy statement.

6. **The agency contact person who can answer questions about the substantive policy statement:**

   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
            100 N. 15th Ave., Suite 103
            Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**

   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT**

**WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M18-13]

1. **Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**

   Document Title: Disadvantaged Community Designation
   Identification Number: III.6

2. **Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**

   Original Issue Date: January 11, 2000
   Effective Date: December 21, 2017

3. **Summary of the contents of the substantive policy statement:**

   The Authority issued a policy to outline the criteria to designate a drinking water system or wastewater applicant as a Disadvantaged Community and define the additional benefits available to Disadvantaged Communities.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**

   Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**

   This is a revised substantive policy statement.
6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
            100 N. 15th Ave., Suite 103
            Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Capability Review and Financial Assistance Requirements for Governmental Entities
   Identification Number: III.7

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: April 13, 1999
   Effective Date: December 21, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to outline qualifications to determine an applicant’s financial, legal, technical, and managerial capabilities, summarize content of WIFA's review of an applicant’s historical performance and detail financial assistance requirements.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
            100 N. 15th Ave., Suite 103
            Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Borrower Debt Service Reserve Earnings
   Identification Number: V.7

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: October 18, 2001
   Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to allocate earnings from WIFA-held borrower funded debt service reserves.
4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

[203x496]M18-16

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Borrower Late Payment Fees
   Identification Number: V9

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: December 1, 2004
   Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to provide guidance should WIFA assess borrower late payment fees.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
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   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

[203x496]M18-17

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: WIFA Underwriter Services
   Identification Number: V10

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: May 27, 2009
Effective Date: October 6, 2017

3. **Summary of the contents of the substantive policy statement:**
   The Authority issued a policy to inform the WIFA Advisory Board and Arizona Finance Authority Board of Directors of the selection for underwriter services.

4. **Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
   Not applicable

5. **A statement as to whether the substantive policy statement is a new statement or a revision:**
   This is a revised substantive policy statement.

6. **The agency contact person who can answer questions about the substantive policy statement:**
   Name: Trish Incognito
   Address: Water Infrastructure Finance Authority
   100 N. 15th Ave., Suite 103
   Phoenix, AZ 85007
   Telephone: (602) 364-1310
   Fax: (602) 364-1327
   E-mail: pincognito@azwifa.gov
   Web site: www.azwifa.gov

7. **Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.
NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:
   Document Title: Single Audit Act
   Identification Number: V.12

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:
   Original Issue Date: March 14, 2000
   Effective Date: October 6, 2017

3. Summary of the contents of the substantive policy statement:
   The Authority issued a policy to ensure WIFA monitors subrecipients of Environmental Protection Agency (EPA) pass-through Federal assistance in accordance with the Single Audit Act of 1984 as amended (the Act) and the Office of Management & Budget Circular A-133 (Circular).

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:
   Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:
   This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:
   Name: Trish Incognito
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   Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.
NOTICES OF AGENCY OMBUDSMAN

The Administrative Procedure Act requires the publication of Notices of Agency Ombudsman. Agencies shall publish annually in the Register the name or names of those employees who are designated by the agency to assist members of the public or regulated community in seeking information or assistance from the agency. (A.R.S. § 41-1006)

NOTICE OF AGENCY OMBUDSMAN
EARLY CHILDHOOD DEVELOPMENT AND HEALTH BOARD/FIRST THINGS FIRST

1. The agency name: Early Childhood Development and Health Board/First Things First

2. The ombudsman’s:
   a. Name: Liz Barker Alvarez
   b. Title: Chief Policy Advisor
   c. Agency Division: Executive Office

3. The ombudsman’s office address to include the city, state and zip code:
   Address: First Things First
   4000 N. Central Ave., Suite 800
   Phoenix, AZ 85012

4. The ombudsman’s area code and telephone number, fax number and e-mail address, if available:
   Telephone: (602) 771-5063
   Fax: (602) 274-1247
   E-mail: lbarker@firstthingsfirst.org
The Register is published by volume in a calendar year (See “General Information” in the front of each issue for more information).

Abbreviations for rulemaking activity in this Index include:

**PROPOSED RULEMAKING**
- PN = Proposed new Section
- PM = Proposed amended Section
- PR = Proposed repealed Section
- P# = Proposed renumbered Section

**SUPPLEMENTAL PROPOSED RULEMAKING**
- SPN = Supplemental proposed new Section
- SPM = Supplemental proposed amended Section
- SPR = Supplemental proposed repealed Section
- SP# = Supplemental proposed renumbered Section

**FINAL RULEMAKING**
- FN = Final new Section
- FM = Final amended Section
- FR = Final repealed Section
- F# = Final renumbered Section

**SUMMARY RULEMAKING**

**PROPOSED SUMMARY**
- PSMN = Proposed Summary new Section
- PSMM = Proposed Summary amended Section
- PSMR = Proposed Summary repealed Section
- PSM# = Proposed Summary renumbered Section

**FINAL SUMMARY**
- FSMN = Final Summary new Section
- FSMM = Final Summary amended Section
- FSMR = Final Summary repealed Section
- FSM# = Final Summary renumbered Section

**EXPEDITED RULEMAKING**

**PROPOSED EXPEDITED**
- PEN = Proposed Expedited new Section
- PEM = Proposed Expedited amended Section
- PER = Proposed Expedited repealed Section
- PE# = Proposed Expedited renumbered Section

**SUPPLEMENTAL EXPEDITED**
- SPEN = Supplemental Proposed Expedited new Section
- SPEM = Supplemental Proposed Expedited amended Section
- SPER = Supplemental Proposed Expedited repealed Section
- SPE# = Supplemental Proposed Expedited renumbered Section

**FINAL EXPEDITED**
- FEN = Final Expedited new Section
- FEM = Final Expedited amended Section
- FER = Final Expedited repealed Section
- FE# = Final Expedited renumbered Section

**EXEMPT RULEMAKING**

**EXEMPT PROPOSED**
- PXN = Proposed Exempt new Section
- PXM = Proposed Exempt amended Section
- PXR = Proposed Exempt repealed Section
- PX# = Proposed Exempt renumbered Section

**EXEMPT SUPPLEMENTAL PROPOSED**
- SPXN = Supplemental Proposed Exempt new Section
- SPXR = Supplemental Proposed Exempt repealed Section
- SPXM = Supplemental Proposed Exempt amended Section
- SPX# = Supplemental Proposed Exempt renumbered Section

**FINAL EXEMPT RULEMAKING**
- FXN = Final Exempt new Section
- FXM = Final Exempt amended Section
- FXR = Final Exempt repealed Section
- FX# = Final Exempt renumbered Section

**EMERGENCY RULEMAKING**

**EN** = Emergency new Section
- EM = Emergency amended Section
- ER = Emergency repealed Section
- E# = Emergency renumbered Section
- EEXP = Emergency expired

**RECODIFICATION OF RULES**
- RC = Recodified

**REJECTION OF RULES**
- RJ = Rejected by the Attorney General

**TERMINATION OF RULES**

**TN** = Terminated proposed new Sections
- TM = Terminated proposed amended Section
- TR = Terminated proposed repealed Section
- T# = Terminated proposed renumbered Section

**RULE EXPIRATIONS**
- EXP = Rules have expired
  *See also “emergency expired” under emergency rulemaking*

**CORRECTIONS**
- C = Corrections to Published Rules
Indexes

RULEMAKING ACTIVITY INDEX

Rulemakings are listed in the Index by Chapter, Section number, rulemaking activity abbreviation and by volume page number. Use the page guide above to determine the Register issue number to review the rule. Headings for the Subchapters, Articles, Parts, and Sections are not indexed.

THIS INDEX INCLUDES RULEMAKING ACTIVITY THROUGH ISSUE 5 OF VOLUME 24.

Arizona Health Care Cost Containment System - Administration
- R9-22-712.05. FM-185

Arizona Health Care Cost Containment System - Arizona Long-term Care System
- R9-28-703. FM-191

Clean Elections Commission, Citizens
- R2-20-106. FXM-107
- R2-20-109. FXM-109
- R2-20-111. FXM-111

Education, State Board of
- R7-2-401. FXM-140
- R7-2-604.03. FXM-195
- R7-2-604.04. FXM-195
- R7-2-604.05. FXN-195
- R7-2-607. FXM-195
- R7-2-608. FXM-195
- R7-2-609. FXM-195
- R7-2-610. FXN-195
- R7-2-610.01. FXN-195
- R7-2-610.02. FXN-195
- R7-2-611. FXM-195
- R7-2-612. FXM-195
- R7-2-613. FXM-195
- R7-2-614. FXM-195
- R7-2-616. FXM-195
- R7-2-617. FXM-195
- R7-2-619. FXM-195
- R7-2-621. FXM-195
- R7-2-810. FXN-146

Health Services, Department of - Communicable Diseases and Infections
- R9-6-601. FEM-261

Health Services, Department of - Emergency Medical Services
- R9-25-301. FEM-268
- R9-25-305. FEM-268
- R9-25-306. FEM-268

Health Services, Department of - Food, Recreational, and Institutional Sanitation
- R9-8-102. PM-99
- R9-8-201. FEM-263
- R9-8-203. FEM-263
- R9-8-205. FEM-263
- R9-8-206. FEM-263
- R9-8-401. FEM-266
- R9-8-402. FEM-266
- Table 12.1. FEM-268

Health Services, Department of - Noncommunicable Diseases
- R9-4-601. PN-93
- R9-4-602. PN-93

Insurance, Department of
- R20-6-607. FM-103

Manufactured Housing, Board of

Medical Board, Arizona
- R4-16-102. FM-182
- R4-16-201.1. FM-182
- R4-16-205. FM-182

Secretary of State, Office of the
- R2-12-1102. FM-137
- Exhibit 1. FN-137

Transportation, Department of - Commercial Programs
- R17-5-801. FEM-279
- R17-5-802. FEM-279
- R17-5-803. FEM-279
- R17-5-804. FEM-279
- R17-5-805. FEM-279
- R17-5-806. FEM-279
- R17-5-807. FEM-279
- R17-5-808. FEM-279
- R17-5-809. FEM-279
- R17-5-810. FEM-279
**OTHER NOTICES AND PUBLIC RECORDS INDEX**

Other notices related to rulemakings are listed in the Index by notice type, agency/county and by volume page number. Agency policy statements and proposed delegation agreements are included in this section of the Index by volume page number. Public records, such as Governor Office executive orders, proclamations, declarations and terminations of emergencies, summaries of Attorney General Opinions, and county notices are also listed in this section of the Index and published by volume page number.

**THIS INDEX INCLUDES OTHER NOTICE ACTIVITY THROUGH ISSUE 5 OF VOLUME 24.**

### Agency Ombudsman, Notice of Osteopathic Examiners in Medicine and Surgery, Board of; p. 285

Maricopa County; pp. 5-63

### Governor’s Regulatory Review Council

Notice of Action Taken at Monthly Meetings: pp. 293-295

### County Notices Pursuant to A.R.S. § 49-112

Public Information, Notices of Environmental Quality, Department of; pp. 114-122

### Health Services, Department of

Public Information, Notices of pp. 150-151

### Substantive Policy Statement, Notices of

Insurance, Department of; p. 123
**RULES EFFECTIVE DATES CALENDAR**

A.R.S. § 41-1032(A), as amended by Laws 2002, Ch. 334, § 8 (effective August 22, 2002), states that a rule generally becomes effective 60 days after the day it is filed with the Secretary of State’s Office. The following table lists filing dates and effective dates for rules that follow this provision. Please also check the rulemaking Preamble for effective dates.

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## REGISTER PUBLISHING DEADLINES

The Secretary of State’s Office publishes the Register weekly. There is a three-week turnaround period between a deadline date and the publication date of the Register. The weekly deadline dates and issue dates are shown below. Council meetings and Register deadlines do not correlate. Also listed are the earliest dates on which an oral proceeding can be held on proposed rulemakings or proposed delegation agreements following publication of the notice in the Register.

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GOVERNOR’S REGULATORY REVIEW COUNCIL DEADLINES

The following deadlines apply to all Five-Year-Review Reports and any adopted rule submitted to the Governor’s Regulatory Review Council. Council meetings and Register deadlines do not correlate. We publish these deadlines as a courtesy.

All rules and Five-Year Review Reports are due in the Council office by 5 p.m. of the deadline date. The Council’s office is located at 100 N. 15th Ave., Suite 402, Phoenix, AZ 85007. For more information, call (602) 542-2058 or visit http://grrc.az.gov.

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## GOVERNOR’S REGULATORY REVIEW COUNCIL DEADLINES FOR 2018

<table>
<thead>
<tr>
<th>DEADLINE FOR PLACEMENT ON AGENDA*</th>
<th>FINAL MATERIALS SUBMITTED TO COUNCIL</th>
<th>DATE OF COUNCIL STUDY SESSION</th>
<th>DATE OF COUNCIL MEETING</th>
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<tbody>
<tr>
<td><strong>Tuesday</strong> November 21, 2017</td>
<td><strong>Tuesday</strong> December 19, 2017</td>
<td><strong>Wednesday</strong> January 3, 2018</td>
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* Materials must be submitted by **5 PM** on dates listed as a deadline for placement on a particular agenda. Placement on a particular agenda is not guaranteed.