



Arizona Administrative REGISTER

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From the Publisher

ABOUT THIS PUBLICATION

The paper copy of the *Administrative Register* (A.A.R.) is the official publication for rules and rulemaking activity in the state of Arizona.

Rulemaking is defined in Arizona Revised Statutes known as the Arizona Administrative Procedure Act (APA), A.R.S. Title 41, Chapter 6, Articles 1 through 10.

The Office of the Secretary of State does not interpret or enforce rules published in the *Arizona Administrative Register* or *Code*. Questions should be directed to the state agency responsible for the promulgation of the rule as provided in its published filing.

The *Register* is cited by volume and page number. Volumes are published by calendar year with issues published weekly. Page numbering continues in each weekly issue.

In addition, the *Register* contains the full text of the Governor's Executive Orders and Proclamations of general applicability, summaries of Attorney General opinions, notices of rules terminated by the agency, and the Governor's appointments of state officials and members of state boards and commissions.

ABOUT RULES

Rules can be: made (all new text); amended (rules on file, changing text); repealed (removing text); or renumbered (moving rules to a different Section number). Rules activity published in the *Register* includes: proposed, final, emergency, expedited, and exempt rules as defined in the APA.

Rulemakings initiated under the APA as effective on and after January 1, 1995, include the full text of the rule in the *Register*. New rules in this publication (whether proposed or made) are denoted with underlining; repealed text is stricken.

WHERE IS A "CLEAN" COPY OF THE FINAL OR EXEMPT RULE PUBLISHED IN THE REGISTER?

The *Arizona Administrative Code* (A.A.C.) contains the codified text of rules. The A.A.C. contains rules promulgated and filed by state agencies that have been approved by the Attorney General or the Governor's Regulatory Review Council. The *Code* also contains rules exempt from the rulemaking process.

The printed *Code* is the official publication of a rule in the A.A.C., and is prima facie evidence of the making, amendment, or repeal of that rule as provided by A.R.S. § 41-1012. Paper copies of rules are available by full Chapter or by subscription. The *Code* is posted online for free.

LEGAL CITATIONS AND FILING NUMBERS

On the cover: Each agency is assigned a Chapter in the *Arizona Administrative Code* under a specific Title. Titles represent broad subject areas. The Title number is listed first; with the acronym A.A.C., which stands for the *Arizona Administrative Code*; following the Chapter number and Agency name, then program name. For example, the Secretary of State has rules on rulemaking in Title 1, Chapter 1 of the *Arizona Administrative Code*. The citation for this chapter is 1 A.A.C. 1, Secretary of State, Rules and Rulemaking

Every document filed in the office is assigned a file number. This number, enclosed in brackets, is located at the top right of the published documents in the *Register*. The original filed document is available for 10 cents a page.

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ADMINISTRATIVE REGISTER
This publication is available online for free at www.azsos.gov.

ADMINISTRATIVE CODE
A price list for the *Arizona Administrative Code* is available online. You may also request a paper price list by mail. To purchase a paper Chapter, contact us at (602) 364-3223.

PUBLICATION DEADLINES
Publication dates are published in the back of the *Register*. These dates include file submittal dates with a three-week turnaround from filing to published document.

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Participate in the Process

Look for the Agency Notice

Review (inspect) notices published in the *Arizona Administrative Register*. Many agencies maintain stakeholder lists and would be glad to inform you when they proposed changes to rules. Check an agency's website and its newsletters for news about notices and meetings.

Feel like a change should be made to a rule and an agency has not proposed changes? You can petition an agency to make, amend, or repeal a rule. The agency must respond to the petition. (See A.R.S. § 41-1033)

Attend a public hearing/meeting

Attend a public meeting that is being conducted by the agency on a Notice of Proposed Rulemaking. Public meetings may be listed in the Preamble of a Notice of Proposed Rulemaking or they may be published separately in the *Register*. Be prepared to speak, attend the meeting, and make an oral comment.

An agency may not have a public meeting scheduled on the Notice of Proposed Rulemaking. If not, you may request that the agency schedule a proceeding. This request must be put in writing within 30 days after the published Notice of Proposed Rulemaking.

Write the agency

Put your comments in writing to the agency. In order for the agency to consider your comments, the agency must receive them by the close of record. The comment must be received within the 30-day comment timeframe following the *Register* publication of the Notice of Proposed Rulemaking.

You can also submit to the Governor's Regulatory Review Council written comments that are relevant to the Council's power to review a given rule (A.R.S. § 41-1052). The Council reviews the rule at the end of the rulemaking process and before the rules are filed with the Secretary of State.

Arizona Regular Rulemaking Process



Definitions

Arizona Administrative Code (A.A.C.): Official rules codified and published by the Secretary of State's Office. Available online at www.azsos.gov.

Arizona Administrative Register (A.A.R.): The official publication that includes filed documents pertaining to Arizona rulemaking. Available online at www.azsos.gov.

Administrative Procedure Act (APA): A.R.S. Title 41, Chapter 6, Articles 1 through 10. Available online at www.azleg.gov.

Arizona Revised Statutes (A.R.S.): The statutes are made by the Arizona State Legislature during a legislative session. They are compiled by Legislative Council, with the official publication codified by Thomson West. Citations to statutes include Titles which represent broad subject areas. The Title number is followed by the Section number. For example, A.R.S. § 41-1001 is the definitions Section of Title 41 of the Arizona Administrative Procedures Act. The “§” symbol simply means “section.” Available online at www.azleg.gov.

Chapter: A division in the codification of the *Code* designating a state agency or, for a large agency, a major program.

Close of Record: The close of the public record for a proposed rulemaking is the date an agency chooses as the last date it will accept public comments, either written or oral.

Code of Federal Regulations (CFR): The *Code of Federal Regulations* is a codification of the general and permanent rules published in the *Federal Register* by the executive departments and agencies of the federal government.

Docket: A public file for each rulemaking containing materials related to the proceedings of that rulemaking. The docket file is established and maintained by an agency from the time it begins to consider making a rule until the rulemaking is finished. The agency provides public notice of the docket by filing a Notice of Rulemaking Docket Opening with the Office for publication in the *Register*.

Economic, Small Business, and Consumer Impact Statement (EIS): The EIS identifies the impact of the rule on private and public employment, on small businesses, and on consumers. It includes an analysis of the probable costs and benefits of the rule. An agency includes a brief summary of the EIS in its preamble. The EIS is not published in the *Register* but is available from the agency promulgating the rule. The EIS is also filed with the rulemaking package.

Governor's Regulatory Review (G.R.R.C.): Reviews and approves rules to ensure that they are necessary and to avoid unnecessary duplication and adverse impact on the public. G.R.R.C. also assesses whether the rules are clear, concise, understandable, legal, consistent with legislative intent, and whether the benefits of a rule outweigh the cost.

Incorporated by Reference: An agency may incorporate by reference standards or other publications. These standards are available from the state agency with references on where to order the standard or review it online.

Federal Register (FR): The *Federal Register* is a legal newspaper published every business day by the National Archives and Records Administration (NARA). It contains federal agency regulations; proposed rules and notices; and executive orders, proclamations, and other presidential documents.

Session Laws or “Laws”: When an agency references a law that has not yet been codified into the Arizona Revised Statutes, use the word “Laws” is followed by the year the law was passed by the Legislature, followed by the Chapter number using the abbreviation “Ch.,” and the specific Section number using the Section symbol (§). For example, Laws 1995, Ch. 6, § 2. Session laws are available at www.azleg.gov.

United States Code (U.S.C.): The Code is a consolidation and codification by subject matter of the general and permanent laws of the United States. The Code does not include regulations issued by executive branch agencies, decisions of the federal courts, treaties, or laws enacted by state or local governments.

Acronyms

A.A.C. – *Arizona Administrative Code*

A.A.R. – *Arizona Administrative Register*

APA – *Administrative Procedure Act*

A.R.S. – *Arizona Revised Statutes*

CFR – *Code of Federal Regulations*

EIS – *Economic, Small Business, and Consumer Impact Statement*

FR – *Federal Register*

G.R.R.C. – *Governor's Regulatory Review Council*

U.S.C. – *United States Code*

About Preambles

The Preamble is the part of a rulemaking package that contains information about the rulemaking and provides agency justification and regulatory intent.

It includes reference to the specific statutes authorizing the agency to make the rule, an explanation of the rule, reasons for proposing the rule, and the preliminary Economic Impact Statement.

The information in the Preamble differs between rulemaking notices used and the stage of the rulemaking.



NOTICES OF PROPOSED RULEMAKING

This section of the *Arizona Administrative Register* contains Notices of Proposed Rulemaking.

A proposed rulemaking is filed by an agency upon completion and submittal of a Notice of Rulemaking Docket Opening. Often these two documents are filed at the same time and published in the same *Register* issue.

When an agency files a Notice of Proposed Rulemaking under the *Administrative Procedure Act* (APA), the notice is published in the *Register* within three weeks of filing. See the publication schedule in the back of each issue of the *Register* for more information.

Under the APA, an agency must allow at least 30 days to elapse after the publication of the Notice of Proposed Rulemaking in the *Register* before beginning any oral proceedings for making, amending, or repealing any rule (A.R.S. §§ 41-1013 and 41-1022).

The Office of the Secretary of State is the filing office and publisher of these rules. Questions about the interpretation of the proposed rules should be addressed to the agency that promulgated the rules. Refer to item #4 below to contact the person charged with the rulemaking and item #10 for the close of record and information related to public hearings and oral comments.

**NOTICE OF PROPOSED RULEMAKING
TITLE 12. NATURAL RESOURCES
CHAPTER 4. GAME AND FISH COMMISSION**

[R19-15]

PREAMBLE

- | | |
|---|---|
| <p><u>1. Article, Part, or Section Affected (as applicable)</u></p> <p>R12-4-102
R12-4-106
R12-4-204</p> | <p><u>Rulemaking Action</u></p> <p>Amend
Amend
New Section</p> |
|---|---|
- 2. Citations to the agency’s statutory authority to include the authorizing statute (general) and the implementing statute (specific):**
 Authorizing statute: A.R.S. § 17-231(A)(1)
 Implementing statute: A.R.S. §§ 17-101, 17-102, 17-333, 17-335.01, 17-363, and 41-1005
- 3. Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:**
 Notice of Public Information: 25 A.A.R. 53, January 4, 2019
 Notice of Rulemaking Docket Opening: 25 A.A.R. 375, February 15, 2019 (*in this issue*)
- 4. The agency’s contact person who can answer questions about the rulemaking:**
 Name: Timothy Baumgarten, Arizona Boating Law Administrator
 Address: Arizona Game and Fish Department
 5000 W. Carefree Highway
 Phoenix, AZ 85086
 Telephone: (623) 236-7383
 Fax: (623) 236-7945
 E-mail: TBaumgarten@azgfd.gov
 Please visit the AZGFD website to track the progress of this rule; view the regulatory agenda and all previous Five-year Review Reports; and learn about any other agency rulemaking matters at <https://www.azgfd.com/agency/rulemaking/>.
- 5. An agency’s justification and reason why the rule should be made, amended, repealed, or renumbered, to include an explanation about the rulemaking:**
 During the Second Regular Session of the 53rd Arizona State Legislature, the Legislature amended A.R.S. § 17-363 to require a taxidermist to register with the Department, maintain a register for five years after the date wildlife is received; and file a copy of the register with the Department by January 31 of each year. A.R.S. § 17-363, authorizes the Commission to adopt rules to allow a person to register pursuant to this section. The Commission proposes to amend rules to implement the statutory amendments made to A.R.S. § 17-363 as follows:
- R12-4-102. License, Permit, Stamp, and Tag Fees**
- The Commission proposes to amend the rule to replace the term “Taxidermist License” with “Taxidermy Registration” and reduce the associated fee to \$100 from \$150.
- R12-4-106. Special Licenses Licensing Time-frames**
- Under A.R.S. § 41-1073, an agency is required to establish an overall time-frame in which the agency will either grant or deny an authorization that it issues. The Commission proposes to amend the rule to establish a 30-day time-frame (10-day administrative review and 20-day substantive review) for the Taxidermy Registration. This time frame is consistent with other similar authorizations issued by the Department.



R12-4-204. Taxidermy Registration; Register

Under A.R.S. § 17-363, “A person shall not engage in the business of a taxidermist for hire until that person registers with the Department.” Under A.R.S. § 17-363, “A person shall not engage in the business of a taxidermist for hire until that person registers with the Department.” The Commission proposes to adopt a rule to establish application and register requirements necessary to administer the taxidermy registration program. The Commission proposes to adopt a rule to establish circumstances that will cause the Department to deny a taxidermy registration. Causes for denial include: the applicant fails to meet the requirements established under the new rule, the applicant provides false information during the application process, or the applicant provides false information in the register required under A.R.S. § 17-363(B). The Commission also proposes to adopt a rule to establish that an applicant who is denied a taxidermist registration may appeal the denial to the Commission as prescribed under A.R.S. Title 41, Chapter 6, Article 10.

6. A reference to any study relevant to the rule that the agency reviewed and proposes to either rely on or not rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

The agency did not rely on any study in its evaluation of or justification for the rule.

7. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

8. The preliminary summary of the economic, small business, and consumer impact:

The Commission’s intent in proposing the amendments is to ensure compliance with recent legislative amendments, provide better customer service to persons seeking to conduct wildlife related activities in Arizona, and increase efficiency in administering the taxidermy registration.

Previously, the taxidermy license was administered under the statutory authority provided under A.R.S. § 17-363; which required any person who engages in taxidermy to obtain a license from the Department and keep a register of the names and addresses of persons who furnish raw and unmounted specimens, the taker's tag or license number, and the date and number of each species of wildlife received. On request, the taxidermist was required to provide the register information to any authorized representative of the Department and the U.S. Fish and Wildlife Services upon request. Additionally, the taxidermist was required to file quarterly reports with the Department that included the taxidermist's register information.

The rulemaking simply implements the statute to require a taxidermist to register with the Department, maintain a register for five years after the date wildlife is received; and file a copy of the register with the Department by January 31 of each year.

In addition, the Commission proposes to reduce the associated fee to \$100 (from \$150).

The Department believes the rule imposes the least burdens and costs on persons regulated by the rule.

9. The agency’s contact person who can answer questions about the economic, small business, and consumer impact statement:

Name: Timothy Baumgarten, Arizona Boating Law Administrator
Address: Arizona Game and Fish Department
5000 W. Carefree Highway
Phoenix, AZ 85086
Telephone: (623) 236-7383
Fax: (623) 236-7945
E-mail: TBaumgarten@azgfd.gov

10. The time, place, and nature of the proceedings to make, amend, repeal, or renumber the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

Date: April 12, 2019
Time: 8:00 a.m. to 5:00 p.m.
Location: Arizona Game and Fish Department
5000 W. Carefree Highway
Phoenix, AZ 85086
Close of record: April 12, 2019

11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:

a. Whether the rule requires a permit, whether a general permit is used, and if not, the reason why a general permit is not used:

The rule complies with A.R.S. § 41-1037. The taxidermy registration described in the rule falls within the definition of “general permit” as defined under A.R.S. § 41-1001(11).

b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law, and if so, citation to the statutory authority to exceed the requirements of federal law:

Federal law is not directly applicable to the subject of the rules. The rules are based on state law.

c. Whether a person submitted an analysis to the agency that compares the rule’s impact of the competitiveness of business in this state to the impact on business in other states:

The agency has not received an analysis that compares the rule’s impact of competitiveness of business in this state to the impact on business in other states.



12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:

Not applicable

13. The full text of the rules follows:

**TITLE 12. NATURAL RESOURCES
CHAPTER 4. GAME AND FISH COMMISSION**

ARTICLE 1. DEFINITIONS AND GENERAL PROVISIONS

Section

- R12-4-102. License, Permit, Stamp, and Tag Fees
- R12-4-106. Special Licenses Licensing Time-frames

ARTICLE 2. LICENSES; PERMITS; STAMPS; TAGS

Section

- R12-4-204. ~~Repealed Taxidermy Registration; Register~~

ARTICLE 1. DEFINITIONS AND GENERAL PROVISIONS

R12-4-102. License, Permit, Stamp, and Tag Fees

- A. A person who purchases a license, tag, stamp, or permit listed in this Section shall pay at the time of purchase all applicable fees prescribed under this Section or the fees the Director authorizes under R12-4-115.
- B. A person who applies to purchase a hunt permit-tag shall submit with the application all applicable fees using acceptable forms of payment as required under R12-4-104(F) and (G).
- C. As authorized under A.R.S. § 17-345, the license fees in this section include a \$3 surcharge, except Youth and High Achievement Scout licenses.

Hunting and Fishing License Fees	Resident	Nonresident
General Fishing License	\$37	\$55
Community Fishing License	\$24	\$24
General Hunting License	\$37	Not available
Combination Hunting and Fishing License	\$57	\$160
Youth Combination Hunting and Fishing License, fee applies until the applicant's 18th birthday.	\$5	\$5
High Achievement Scout License, as authorized under A.R.S. § 17-336(B). Fee applies until the applicant's 21st birthday.	\$5	Not available
Short-term Combination Hunting and Fishing License	\$15	\$20
Youth Group Two-day Fishing License	\$25	Not available

Hunt Permit-tag Fees	Resident	Nonresident
Antelope	\$90	\$550
Bear	\$25	\$150
Bighorn Sheep	\$300	\$1,800
Buffalo		
Adult Bulls or Any Buffalo	\$1,100	\$5,400
Adult Cows	\$650	\$3,250
Yearling	\$350	\$1,750
Cow or Yearling	\$650	\$3,250
Deer and Archery Deer	\$45	\$300
Youth	\$25	\$25
Elk	\$135	\$650
Youth	\$50	\$50
Javelina	\$25	\$100



Youth	\$15	\$15
Pheasant non-archery, non-falconry	Application fee only	Application fee only
Turkey and Archery Turkey	\$25	\$90
Youth	\$10	\$10
Sandhill Crane	\$10	\$10

Nonpermit-tag and Restricted Non-permit-tag Fees	Resident	Nonresident
Antelope	\$90	\$550
Bear	\$25	\$150
Buffalo		
Adult Bulls or Any Buffalo	\$1,100	\$5,400
Adult Cows	\$650	\$3,250
Yearling	\$350	\$1,750
Cow or Yearling	\$650	\$3,250
Deer	\$45	\$300
Youth	\$25	\$25
Elk	\$135	\$650
Youth	\$50	\$50
Javelina	\$25	\$100
Youth	\$15	\$15
Mountain Lion	\$15	\$75
Turkey	\$25	\$90
Youth	\$10	\$10
Sandhill Crane	\$10	\$10

Stamps and Special Use Fees	Resident	Nonresident
Arizona Colorado River Special Use Permit Stamp. For use by California and Nevada licensees	Not available	\$3
Bobcat Seal	\$3	\$3
State Migratory Bird Stamp	\$5	\$5

Other License Fees	Resident	Nonresident
Fur Dealer's License	\$115	\$115
Guide License	\$300	\$300
License Dealer's License	\$100	\$100
License Dealer's Outlet License	\$25	\$25
Taxidermist License Registration	\$150 \$100	\$150 \$100
Trapping License	\$30	\$275
Youth	\$10	\$10

Administrative Fees	Resident	Nonresident
Duplicate License Fee	\$4	\$4
Application Fee	\$13	\$15

D. A person desiring a replacement of a Migratory Bird or Arizona Colorado River Special Use Permit Stamp shall repurchase the stamp.



R12-4-106. Special Licenses Licensing Time-frames

- A. For the purposes of this Section, the following definitions apply:
 - “Administrative review time-frame” has the same meaning as prescribed under A.R.S. § 41-1072(1).
 - “License” means any permit or authorization issued by the Department and listed under subsection (H).
 - “Overall time-frame” has the same meaning as prescribed under A.R.S. § 41-1072(2).
 - “Substantive review time-frame” has the same meaning as prescribed under A.R.S. § 41-1072(3).
- B. As required under A.R.S. § 41-1072 et seq., within the overall time-frames listed in the table below, the Department shall either:
 - 1. Grant a license to an applicant after determining the applicant meets all of the criteria required by statute and the governing rule; or
 - 2. Deny a license to an applicant when the Department determines the applicant does not meet all of the criteria required by statute and the governing rule.
 - a. The Department may deny a license at any point during the review process if the information provided by the applicant demonstrates the applicant is not eligible for the license as prescribed under statute or the governing rule.
 - b. The Department shall issue a written denial notice when it is determined that an applicant does not meet all of the criteria for the license.
 - c. The written denial notice shall provide:
 - i. The Department's justification for the denial, and
 - ii. When a hearing or appeal is authorized, an explanation of the applicant's right to a hearing or appeal.
- C. During the overall time-frame:
 - 1. The applicant and the Department may agree in writing to extend the overall time-frame.
 - 2. The substantive review time-frame shall not be extended by more than 25% of the overall time-frame.
- D. An applicant may withdraw an application at any time.
- E. The administrative review time-frame shall begin upon the Department's receipt of an application.
 - 1. During the administrative review time-frame, the Department may return to the applicant, without denial, an application that is missing any of the information required under R12-4-409 and the rule governing the specific license. The Department shall issue to the applicant a written notice that identifies all missing information and indicates the applicant has 30 days in which to return the missing information.
 - 2. The administrative review time-frame and the overall time-frame listed for the applicable license under this Section are suspended from the date on the notice until the date the Department receives the missing information.
 - 3. If an applicant fails to respond to a request for missing information within 30 days, the Department shall consider the application withdrawn.
- F. The substantive review time-frame shall begin when the Department determines an application is complete.
 - 1. During the substantive review time-frame, the Department may make one comprehensive written request for additional information. The written notice shall:
 - a. Identify the additional information, and
 - b. Indicate the applicant has 30 days in which to submit the additional information.
 - c. The Department and the applicant may mutually agree in writing to allow the agency to submit supplemental requests for additional information.
 - d. If an applicant fails to respond to a request for additional information within 30 days, the Department shall consider the application withdrawn.
 - 2. The substantive review time-frame and the overall time-frame listed for the applicable license under this Section are suspended from the date on the request until the date the Department receives the additional information.
- G. If the last day of the time-frame period falls on a Saturday, Sunday, or an official State holiday, the Department shall consider the next business day the time-frame period's last day. All periods listed are:
 - 1. Calendar days, and
 - 2. Maximum time periods.
- H. The Department may grant or deny a license in less time than specified below.

Table 1. Time-Frames

Name of Special License	Governing Rule	Administrative Review Time-frame	Substantive Review Time-frame	Overall Time-frame
Aquatic Wildlife Stocking Permit	R12-4-410	10 days	170 days	180 days
Authorization for Use of Drugs on Wildlife	R12-4-309	20 days	70 days	90 days
Challenged Hunter Access/Mobility Permit	R12-4-217	1 day	29 days	30 days
Crossbow Permit	R12-4-216	1 day	29 days	30 days
Disabled Veteran's License	R12-4-202	1 day	29 days	30 days
Fishing Permits	R12-4-310	10 days	20 days	30 days
Game Bird License	R12-4-414	10 days	20 days	30 days
Guide License	R12-4-208	10 days	20 days	30 days
License Dealer's License	R12-4-105	10 days	20 days	30 days
Live Bait Dealer's License	R12-4-411	10 days	20 days	30 days
Pioneer License	R12-4-201	1 day	29 days	30 days



Private Game Farm License	R12-4-413	10 days	20 days	30 days
Scientific Collecting Permit	R12-4-418	10 days	20 days	30 days
Small Game Depredation Permit	R12-4-113	10 days	20 days	30 days
Sport Falconry License	R12-4-422	10 days	20 days	30 days
<u>Taxidermy Registration</u>	<u>R12-4-204</u>	<u>10 days</u>	<u>20 days</u>	<u>30 days</u>
Watercraft Agents	R12-4-509	10 days	20 days	30 days
White Amur Stocking License	R12-4-424	10 days	20 days	30 days
Wildlife Holding License	R12-4-417	10 days	20 days	30 days
Wildlife Rehabilitation License	R12-4-423	10 days	50 days	60 days
Wildlife Service License	R12-4-421	10 days	50 days	60 days
Zoo License	R12-4-420	10 days	20 days	30 days

ARTICLE 2. LICENSES; PERMITS; STAMPS; TAGS

R12-4-204. Taxidermy Registration: Register

- A.** A person shall register with the Department before engaging in the business of taxidermy for hire. A taxidermy registration authorizes a person to mount, refurbish, maintain, restore, or preserve wildlife as defined under A.R.S. § 17-101.
- B.** A taxidermy registration expires on December 31 of each year.
- C.** The Department shall deny a taxidermy registration when the applicant:
 - 1. Fails to meet the requirements established under this Section;
 - 2. Provides false information during the application process; or
 - 3. Provides false information in the register required under A.R.S. § 17-363(B).
- D.** The Department shall provide written notice to the applicant stating the reason for the denial. The applicant may appeal the denial to the Commission as prescribed under A.R.S. Title 41, Chapter 6, Article 10.
- E.** A person may apply for a taxidermy registration by paying the applicable fee and submitting an application to the Department. The application form is available on the Department's website. A taxidermy registration applicant shall provide all of the following information:
 - 1. The applicant's information:
 - a. Name;
 - b. Date of birth;
 - c. Department identification number, when applicable;
 - d. Mailing address, when applicable;
 - e. Physical address;
 - f. Telephone number, when available;
 - g. Email address, when available; and
 - 2. The applicant's business information:
 - a. Name;
 - b. Mailing address;
 - c. Email address;
 - d. Website URL address, if available;
 - e. Business telephone number, when applicable;
 - f. Calendar year for which the application is made; and
 - g. Whether the applicant is seeking renewal of an existing taxidermy registration.
 - 3. Affirmation that the information provided on the application is true and accurate; and
 - 4. Applicant's signature and date.
- F.** A registered taxidermist may submit an application for renewal of a taxidermy registration after December 1 of the year it was issued.
- G.** A registered taxidermist shall maintain a register of all persons who furnish raw and unmounted wildlife specimens for taxidermy service using the form available on the Department's website.
 - 1. This register shall be:
 - a. Maintained for a period of five years after the date the raw and unmounted wildlife specimens were received;
 - b. Provided upon request to an employee of the Department; and
 - c. Filed with the Department on or before January 31 of each year.
 - 2. This register shall contain all of the following information, as applicable:
 - a. The registered taxidermist's information:
 - i. Name;
 - ii. Taxidermy registration number;
 - iii. Email address, when available; and
 - b. The customer's or potential customer's:
 - i. Name;
 - ii. Address;
 - iii. Taker's tag or license number;
 - iv. Species and number of wildlife received;
 - v. Date wildlife received; and



- c. A signed affirmation from the registered taxidermist that the information provided in the register is true and accurate.
- 3. The taxidermy renewal registration becomes invalid if the register is not submitted to the Department by January 31 of the year following registration.
- H. As authorized under A.R.S. § 17-363(C), the Commission may revoke or suspend the taxidermy registration of a person convicted of violating any provision of A.R.S. § 17-363 or requirement established under this Section.

NOTICE OF PROPOSED RULEMAKING

**TITLE 14. PUBLIC SERVICE CORPORATIONS; CORPORATIONS AND ASSOCIATIONS; SECURITIES REGULATION
CHAPTER 2. CORPORATION COMMISSION - FIXED UTILITIES**

[R19-16]

PREAMBLE

- | <u>1. Article, Part, or Section Affected (as applicable)</u> | <u>Rulemaking Action</u> |
|---|---------------------------------|
| Article 26 | New Article |
| R14-2-2601 | New Section |
| R14-2-2602 | New Section |
| R14-2-2603 | New Section |
| R14-2-2604 | New Section |
| R14-2-2605 | New Section |
| R14-2-2606 | New Section |
| R14-2-2607 | New Section |
| R14-2-2608 | New Section |
| R14-2-2609 | New Section |
| R14-2-2610 | New Section |
| R14-2-2611 | New Section |
| R14-2-2612 | New Section |
| R14-2-2613 | New Section |
| R14-2-2614 | New Section |
| R14-2-2615 | New Section |
| R14-2-2616 | New Section |
| R14-2-2617 | New Section |
| R14-2-2618 | New Section |
| R14-2-2619 | New Section |
| R14-2-2620 | New Section |
| R14-2-2621 | New Section |
| R14-2-2622 | New Section |
| R14-2-2623 | New Section |
| R14-2-2624 | New Section |
| R14-2-2625 | New Section |
| R14-2-2626 | New Section |
| R14-2-2627 | New Section |
| R14-2-2628 | New Section |
- 2. **Citations to the agency’s statutory rulemaking authority to include the authorizing statute (general) and the implementing statute (specific):**
 Authorizing statute: Arizona Constitution Article XV, § 3; A.R.S. §§ 40-202, 40-203, 40-321, 40-322(A), 40-332(B), 40-336, 40-361, and 40-374.
 Implementing statute: Arizona Constitution Article XV, § 3; A.R.S. §§ 40-202, 40-20340-321, 40-322(A), 40-332(B), 40-336, 40-361, and 40-374.
 - 3. **Citations to all related notices published in the Register as specified in R1-1-409(A) that pertain to the record of the proposed rule:**
 Notice of Rulemaking Docket Opening: 25 A.A.R. 376, February 15, 2019 (*in this issue*)
 - 4. **The agency’s contact person who can answer questions about the rulemaking:**
 Name: Wesley Van Cleve, Legal Division Assistant Director
 Address: Arizona Corporation Commission
 Legal Division
 1200 W. Washington St.
 Phoenix, AZ 85007
 Telephone: (602) 542-3402
 Fax: (602) 542-4780
 E-mail: Wvancleve@azcc.gov
 Web site: www.azcc.gov



Name: Patrick LaMere, Executive Consultant
 Address: Arizona Corporation Commission
 Utilities Division
 1200 W. Washington St.
 Phoenix, AZ 85007
 Telephone: (602) 542-4382
 E-mail: PLaMere@azcc.gov

5. An agency's justification and reason why a rule should be made, amended, repealed or renumbered, to include an explanation about the rulemaking:

Commission Decision No. 67744 (April 7, 2005) directed Arizona Corporation Commission ("Commission") Staff to schedule workshops to consider outstanding issues affecting distributed generation. In addition, the Energy Policy Act of 2005 requires each state regulatory authority to consider adopting a Public Utility Regulatory Policies Act of 1978 ("PURPA") standard on interconnection. The standard is as follows:

Each electric utility shall make available, upon request, interconnection service to any electric consumer that the electric utility serves. For purposes of this paragraph, the term "interconnection service" means service to an electric consumer under which an on-site generating facility on the consumer's premises shall be connected to the local distribution facilities. Interconnection services shall be offered based upon the standards developed by the Institute of Electrical and Electronics Engineers: IEEE Standard 1547 for Interconnecting Distributed Resources with Electric Power Systems, as they may be amended from time to time. In addition, agreements and procedures shall be established whereby the services are offered [sic] shall promote current best practices of interconnection for distributed generation, including but not limited to practices stipulated in model codes adopted by associations of state regulatory agencies. All such agreements and procedures shall be just and reasonable, and not unduly discriminatory or preferential. 16 U.S.C. § 2621(15).

The Commission is required to consider the three purposes of PURPA in its determination of whether to adopt the interconnection standard. These include:

- a) Conservation of energy supplied by electric utilities;
- b) Optimal efficiency of electric utility facilities and resources; and
- c) Equitable rates for electric consumers.

Commission Decision No. 69674 (June 28, 2007) contained an Interconnection Document that was developed as a result of workshops involving participants representing utilities, government agencies, energy efficiency and environmental advocacy groups, utility investors, large industrial consumers, advocates for renewable resources, competitive power providers, advocates for distributed generation, product suppliers, research entities, and others. Decision No. 69674 ordered Staff to begin a rulemaking process to convert the Interconnection Document into rules.

The proposed rules are consistent with the PURPA interconnection standard because they establish standards and procedures concerning how regulated utilities will handle requests for interconnection and parallel operation of distributed generation facilities. State regulators have jurisdiction over retail activities and intrastate commerce, which generally involves distribution-level interconnections. Generally, distributed generation involves small-scale power generation units strategically located near customers and load centers. The benefits of distributed energy systems include: greater grid reliability; increased grid stability (voltage support along transmission lines); increased system efficiency (reduction in transmission line losses); increased diversity of resources; decreased pressure on natural gas and oil (demand and cost); and sustainable installations. The lack of a consistent standard that explicitly establishes procedures for interconnection and parallel operation of distributed generation facilities can increase both monetary and transaction costs for Commission-regulated utilities and its customers.

The Arizona Corporation Commission is responsible for holding the regulated utility accountable for general public health and safety. The proposed rules outline technical standards that promote current best practices of interconnection for distributed generation for the Utility, its distribution system, its customers and its customers' generating facilities. This helps ensure the continued safe and reliable operation of the distribution system and enhances long term system planning.

6. A reference to any study relevant to the rule that the agency reviewed and proposes either to rely on or not to rely on in its evaluation of or justification for the rule, where the public may obtain or review each study, all data underlying each study, and any analysis of each study and other supporting material:

Not applicable

7. A showing of good cause why the rulemaking is necessary to promote a statewide interest if the rulemaking will diminish a previous grant of authority of a political subdivision of this state:

Not applicable

8. The preliminary summary of the economic, small business, and consumer impact:

On January 25, 2019, in Decision No. 77056, the Commission directed the Utilities Division Staff ("Staff") to proceed with the proposed rules in the Matter of Interconnection of Distributed Generation Facilities. The parties affected by the proposed rules include:

- a) Regulated utilities providing electric utility service;
- b) Customers of electric service provided by regulated utilities in Arizona;
- c) Entities engaging in commerce directly related to distributed generation technology and services;
- d) The Arizona Corporation Commission; and
- e) The general public, as it relates to health and safety.

The exact costs to regulated utilities to meet the requirements of the rules will vary over time. Each regulated utility is required to



follow timelines outlined in the proposed rulemaking and to file an annual report with the Commission on its capability to meet those timelines. A regulated utility can impose commission-approved tariffs on its customers that are reasonable and prudent for purposes of complying with the proposed rulemaking. The costs to customers will vary over time and will directly follow the costs to the regulated utility, which are expected to be passed through to customers.

A consistent standard that explicitly establishes procedures for interconnection and parallel operation of distributed generation facilities can increase investment certainty for Arizona commerce and for Commission-regulated utilities and their customers.

The Commission will incur an upfront cost for purchasing three references used in the proposed rulemaking.

The general public will receive a significant but unquantifiable benefit from the enhanced safety that is expected to result from the utilities' compliance with the standards in the rules.

9. The agency's contact person who can answer questions about the economic, small business and consumer impact statement:

Name: Patrick LaMere
 Address: Arizona Corporation Commission
 1200 W. Washington St.
 Phoenix, AZ 85007
 Telephone: (602) 542-4382
 E-mail: PLaMere@azcc.gov
 Web site: www.azcc.gov

10. The time, place, and nature of the proceedings to make, amend, repeal, or renumber the rule, or if no proceeding is scheduled, where, when, and how persons may request an oral proceeding on the proposed rule:

The Commission has scheduled two oral proceedings for public comments:

Tucson

Date: March 28, 2019
 Time: 10:00 a.m.
 Location: Room 222
 400 W. Congress St.
 Tucson, AZ 85701

Phoenix

Date: March 29, 2019
 Time: 10:00 a.m.
 Location: Arizona Corporation Commission
 Hearing Room No. 1
 1200 W. Washington St.
 Phoenix, AZ 85007

Nature: Oral Proceedings

Interested persons can submit written comments to the proposed rulemaking to the Commission's Docket Control at 1200 W. Washington St., Phoenix, AZ 85007. Please reference Docket No. RE-00000A-07-0609, on all documents. The Commission requests that initial written comments be filed by March 24, 2019, and that written comments in response to other interested persons' comments be filed by March 28, 2019. Oral comments may be provided at the proceedings to be held on March 28 and 29, 2019.

11. All agencies shall list other matters prescribed by statute applicable to the specific agency or to any specific rule or class of rules. Additionally, an agency subject to Council review under A.R.S. §§ 41-1052 and 41-1055 shall respond to the following questions:

Not applicable

a. Whether the rule requires a permit, whether a general permit is used and if not, the reasons why a general permit is not used:

Not applicable

b. Whether a federal law is applicable to the subject of the rule, whether the rule is more stringent than federal law and if so, citation to the statutory authority to exceed the requirements of federal law:

Not applicable

c. Whether a person submitted an analysis to the agency that compares the rule's impact of the competitiveness of business in this state to the impact on business in other states:

Not applicable

12. A list of any incorporated by reference material as specified in A.R.S. § 41-1028 and its location in the rules:

R14-2-2601(41): 18 CFR 292.203 (April 1, 2018).

R14-2-2601(47): Underwriters Laboratories Inc. Standard for Inverters, Converters, Controllers and Interconnection System Equipment for Use with Distributed Energy Resources (February 15, 2018).

R14-2-2620(E)(2)(b): IEEE 1453, IEEE Recommended Practice for the Analysis of Fluctuating Installations on Power Systems (October 30, 2015).

R14-2-2620(E)(2)(c): IEEE 519 limits, IEEE Recommended Practice and Requirements for Harmonic Control in Electric Power



Systems (June 11, 2011).

13. The full text of the rules follows:

**TITLE 14. PUBLIC SERVICE CORPORATIONS; CORPORATIONS AND ASSOCIATIONS;
SECURITIES REGULATION**

CHAPTER 2. CORPORATION COMMISSION - FIXED UTILITIES

ARTICLE 26. INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

Section

- R14-2-2601. Definitions
- R14-2-2602. Applicability
- R14-2-2603. Types of Generating Facilities
- R14-2-2604. Customer Rights and Responsibilities
- R14-2-2605. Utility Rights and Responsibilities
- R14-2-2606. Easements and Rights-of-Way
- R14-2-2607. Insurance
- R14-2-2608. Non-Circumvention
- R14-2-2609. Designation of Contact Persons
- R14-2-2610. Minor Modifications
- R14-2-2611. Certification
- R14-2-2612. No Additional Requirements
- R14-2-2613. Disconnection from or Reconnection with the Distribution System
- R14-2-2614. Application and Generating Facility General Requirements
- R14-2-2615. Screens
- R14-2-2616. Pre-Application Report
- R14-2-2617. Level 1 Super Fast Track
- R14-2-2618. Level 2 Fast Track
- R14-2-2619. Level 3 Study Track
- R14-2-2620. Supplemental Review
- R14-2-2621. Utility Site Inspection; Approval for Parallel Operation
- R14-2-2622. Interconnection to a Secondary Spot Network System
- R14-2-2623. Expedited Interconnection Process
- R14-2-2624. Disconnect Switch Requirements
- R14-2-2625. Advanced Inverter Requirements
- R14-2-2626. Utility Reporting Requirements
- R14-2-2627. Electric Cooperatives
- R14-2-2628. Damages Resulting from Interconnection

ARTICLE 26. INTERCONNECTION OF DISTRIBUTED GENERATION FACILITIES

R14-2-2601. Definitions

In this Article, unless otherwise specified:

1. “AC” means alternating current.
2. “Applicant” means a Customer or Representative who submits an Interconnection Application pursuant to this Article.
3. “Application” means the standard form or format for an Applicant to apply to a Utility for Interconnection of a Generating Facility with the Distribution System.
4. “Backfeed” means to energize a section of a Utility electric system with a Generating Facility.
5. “Calendar Day” means any day including Saturday, Sunday, or a Federal or State Holiday.
6. “Certified Equipment” means a specific generating and protective equipment system or systems certified as meeting the requirements in R14-2-2611 relating to testing, operation, safety, and reliability by an NRTL.
7. “Clearance” means documentation from a Utility stating that a line or equipment is disconnected from all known sources of power and tagged; that for safety purposes all proper precautionary measures have been taken; and that workers may proceed to inspect, test, and install ground on the circuit.
8. “CFR” means Code of Federal Regulations.
9. “Commission” means the Arizona Corporation Commission.
10. “Customer” means an electric consumer applying to connect a Generating Facility on the consumer's side of the Utility meter, whether an Exporting System, a Non-Exporting System, or an Inadvertent Export System.
11. “DC” means direct current.
12. “Disconnect Switch” means a device that:
 - a. Is installed and maintained for a Generating Facility by the Customer;
 - b. Is a visible-open, manual, gang-operated, load break disconnect device;



- c. Is capable of being locked in a visible open position by a standard Utility padlock that will completely isolate the Generating Facility from the Distribution System; and
- d. If the voltage of the Generating Facility is over 500 volts, is capable of being grounded on the Utility side.
- 13. “Distributed Generation” means any type of Customer electrical generator, solid-state or static inverter, or Generating Facility interconnected with the Distribution System that either can be operated in electrical parallel with the Distribution System or can feed a Customer load that can also be fed by the Distribution System.
- 14. “Distribution System” means the infrastructure constructed, maintained, and operated by a Utility to deliver electric service at the distribution level (69 kV or less) to retail consumers.
- 15. “Electric Cooperative” means a Utility that is:
 - a. Not operated for profit;
 - b. Owned and controlled by its members; and
 - c. Operating as a public service company in this state.
- 16. “Exporting System” means any type of Generating Facility that is designed to regularly Backfeed the Distribution System.
- 17. “Facilities Study” means a comprehensive analysis of the actual construction needed to take place based on the outcome of a System Impact Study.
- 18. “Fault Current” means the level of current that can flow if a short circuit is applied to a voltage source.
- 19. “Feasibility Study” means a preliminary review of the potential impacts on the Distribution System that will result from a proposed Interconnection.
- 20. “Generating Facility” means all or part of a Customer’s electrical generator(s), energy storage system(s), or any combination of electrical generator(s) and storage system(s), together with all inverter(s) and protective, safety, and associated equipment necessary to produce electric power at the Customer’s facility; this includes solid-state or static inverters, induction machines, and synchronous machines.
- 21. “Good Utility Practice” means any of the practices, methods, and acts engaged in or approved by a significant portion of the electric industry during the relevant time period, or any of the practices, methods, and acts that, in the exercise of reasonable judgment in light of the facts known at the time the decision was made, could have been expected to accomplish the desired result at a reasonable cost consistent with reliability, safety, and expedition. Good Utility Practice is not intended to be limited to the optimal practice, method, or act to the exclusion of all others, but rather to include practices, methods, or acts generally accepted in the region at the relevant time.
- 22. “IEEE” means the Institute of Electrical and Electronics Engineers, Inc.
- 23. “Inadvertent Export” means the unplanned, uncompensated transfer of electrical energy from a Generating Facility to the Distribution System across the Point of Interconnection.
- 24. “Installer” means an entity that installs a Generating Facility interconnected to a Utility’s Distribution System.
- 25. “Interconnection” means the physical connection of a Generating Facility to the Distribution System.
- 26. “Interconnection Agreement” means an agreement, signed between the Utility and the Customer, covering the terms and conditions governing the Interconnection and operation of the Generating Facility with the Utility and including any appendices to the agreement.
- 27. “Interconnection Facilities” means the electrical wires, switches, and related equipment that are required, in addition to the facilities required to provide electric distribution service to a Customer, to allow Interconnection. Interconnection Facilities may be located on either side of the Point of Interconnection as appropriate to their purpose and design.
- 28. “Interconnection Manual” means a separate document developed and maintained by a Utility, made available on the Utility’s web site, and approved by the Commission, which contains detailed technical, safety, and protection requirements necessary to interconnect a Generating Facility to the Distribution System.
- 29. “Interconnection Study” means a study that may be undertaken by a Utility (or a Utility-designated third party) in response to the Utility’s receipt of a completed Application. An Interconnection Study may include:
 - a. A Feasibility Study;
 - b. A System Impact Study;
 - c. A Facilities Study; and
 - d. Any additional analysis required by the Utility.
- 30. “Islanding” means a condition in which a portion of the Distribution System is energized solely by one or more local electric power systems throughout the associated Point of Interconnection while that portion of the Distribution System is electrically separated from the rest of the Distribution System. Islanding can be either intentional (planned) or unintentional (unplanned).
- 31. “Jurisdictional Electric Inspection Agency” means the governmental authority having jurisdiction to inspect and approve the installation of a Generating Facility.
- 32. “kW” means kilowatt.
- 33. “Maximum Capacity” means the nameplate AC capacity of a Generating Facility. If the Operating Characteristics of the Generating Facility limit the power transferred across the Point of Interconnection to the Distribution System, only the power transferred across the Point of Interconnection to the Distribution System, not including Inadvertent Export, shall be declared as the Maximum Capacity of the Generating Facility.
- 34. “MW” means megawatt.
- 35. “Non-Exporting System” means a system in which there is no designed, regular export of power from the Generating Facility to the Distribution System.
- 36. “NRTL” means a Nationally Recognized Testing Laboratory recognized by the U.S. Occupational Safety and Health Administration.



37. “Operating Characteristics” means the mode of operation of a Generating Facility (Exporting System, Non-Exporting System, or Inadvertent Exporting System) that controls the amount of power delivered across the Point of Interconnection to the Distribution System.
38. “Parallel Operation” means the operation of a Generating Facility that is electrically interconnected to a bus common with the Distribution System, either on a momentary or continuous basis.
39. “Protective Functions” means the equipment, hardware, or software in a Generating Facility that protects against Unsafe Operating Conditions.
40. “Point of Interconnection” means the physical location where the Utility’s service conductors are connected to the Customer’s service conductors to allow Parallel Operation of the Generating Facility with the Distribution System.
41. “QF” or “Qualifying Facility” means any cogeneration or small power production facility that meets the criteria for size, fuel use, efficiency, and ownership set forth in 18 CFR 292.203 (April 1, 2018), with no future editions or amendments, which is incorporated by reference; on file with the Commission; and published by and available from the U.S. Government Publishing Office, 732 North Capitol Street, NW Washington, DC 20401-0001 and at <https://www.gpo.gov/fdsys/>.
42. “Relay” means an electric device that is designed to interpret input conditions in a prescribed manner and, after specified conditions are met, to respond and cause contact operation or similar abrupt change in associated electric control circuits.
43. “Representative” means an agent of the Customer who is designated by the Customer and is acting on the Customer’s behalf.
44. “Scoping Meeting” means an initial review meeting between a Utility and a Customer or Representative during which a general overview of the proposed Generating Facility design is discussed, and the Utility provides general information on system conditions at the proposed Point of Interconnection.
45. “Secondary Spot Network System” means an AC power Distribution System meeting the criteria in R14-2-2622.
46. “System Impact Study” means a full engineering review of the impact on the Distribution System from a Generating Facility, including power flow, Utility system protective device coordination, generator protection schemes (if not Certified Equipment), stability, voltage fluctuations, frequency impacts, and short circuit study. A System Impact Study may consider total nameplate capacity of the Generating Facility.
47. “UL 1741” means the Underwriters Laboratories Inc. Standard for Inverters, Converters, Controllers and Interconnection System Equipment for Use with Distributed Energy Resources (February 15, 2018), with no future editions or amendments, which is incorporated by reference; on file with the Commission; and published by and available from Underwriters Laboratories Inc., 151 Eastern Avenue Bensenville, IL 60106-3072 and through <https://standardscatalog.ul.com>.
48. “UL 1741SA” means the approved supplemental amendment of UL 1741 that defines the manufacturing (including software) and product testing requirements for advanced inverters.
49. “Unsafe Operating Conditions” means conditions that, if left uncorrected, could result in any of the following:
 - a. Harm to personnel;
 - b. Damage to equipment;
 - c. An adverse effect to the safe operation of the Distribution System; or
 - d. Operation of the Generating Facility outside pre-established parameters required by the Interconnection Agreement.
50. “Utility” means an electric distribution company that constructs, operates, and maintains its Distribution System for the receipt and delivery of electricity and that is a public service corporation under Arizona Constitution, Article 15, § 2.

R14-2-2602. Applicability

These rules apply to a Generating Facility operating (or to be operated) in parallel with a Distribution System of a Utility, subject to Commission jurisdiction after the effective date of this Article.

R14-2-2603. Types of Generating Facilities

- A.** A Customer may operate a Generating Facility as an Exporting System, a Non-Exporting System, or an Inadvertent Export System.
- B.** An Applicant shall declare the Maximum Capacity of a Generating Facility in its Application.
- C.** If an Applicant claims a Generating Facility is a Non-Exporting System:
 1. The Utility may require an independent third-party certification ensuring that the system meets the following standards:
 - a. Is able to supply part or all of the Customer’s load continuously or during a Utility power outage;
 - b. Is sized such that the export of power is not possible or includes control functions to prevent the export of power; and
 - c. Has control functions that are listed by an NRTL for the purpose as used and are also inspected and approved by the Customer’s Jurisdictional Electric Inspection Agency; and
 2. The Applicant shall ensure that the Generating Facility utilizes any combination of equipment, hardware, or software, as specified by the Utility in its Interconnection Manual, to prevent the transfer of electrical energy to the Distribution System.
- D.** If an Applicant claims a Generating Facility is an Inadvertent Export system that does not utilize only UL 1741 certified or UL 1741SA-listed grid support non-islanding inverters:
 1. The Utility may require additional protective functions and equipment to detect Distribution System faults;
 2. The amount of Inadvertent Export exported to the Distribution System shall be limited to the lesser of the following values:
 - a. 50% of the Generating Facility’s Maximum Capacity;
 - b. 10% of the continuous conductor rating in watts at 0.9 power factor for the lowest rated feeder conductor upstream of the Generating Facility; or
 - c. 500 kW; and
 3. The expected frequency of inadvertent export events shall be less than two occurrences per 24-hour period.
- E.** If an Applicant claims a Generating Facility is an Inadvertent Export system that utilizes only UL 1741 certified or UL 1741SA-listed grid support non-islanding inverters, the Generating Facility shall:
 1. Utilize control functions that limit the export of electrical power to the Distribution System;



2. Have a Maximum Capacity of 500 kVA or less;
3. Have a magnitude of Inadvertent Export no more than 100 kVA;
4. Have a duration of Inadvertent Export of power of less than 30 seconds for any single event;
5. Monitor that its total energy export per month is maintained to be no more than its Maximum Capacity multiplied by 0.1 hours per day over a rolling 30-day period (e.g., a 100 kVA gross nameplate capacity Generating Facility would have a maximum energy export per 30-day month of 300 kWh);
6. Disconnect the Generating Facility from the Distribution System in the event of an Inadvertent Export, ceasing to energize the Distribution System or halting energy production, within two seconds after the period of uninterrupted export exceeds 30 seconds or the magnitude of export exceeds 100 kVA; and
7. Enter a safe operation mode, where Inadvertent Export events cannot occur, upon failure of the control or inverter system for more than 30 seconds, whether from loss of control signal, loss of control power, or a single component failure or related control sensing of the control circuitry.

R14-2-2604. Customer Rights and Responsibilities**A. A Customer has the following rights:**

1. To designate a Representative to act on the Customer's behalf;
2. To submit an Application to interconnect a Generating Facility with a Distribution System;
3. To expect prompt and professional responses from a Utility during the Interconnection process;
4. To expect detailed and itemized good faith estimates of cost from the Utility;
5. To expect outlines, supporting data, and justification for proposed work before the Utility undertakes any studies or system upgrades to accommodate the Generating Facility;
6. To sign documents using an electronic (e-signature) method if the Customer has the technical capability to sign electronically and is submitting the documents electronically; and
7. To request a one-time 90-day extension from the Utility using a simple notification process and not to have an extension unreasonably withheld for circumstances beyond the Customer's control.

B. A Customer shall ensure that:

1. The Generating Facility meets or exceeds all minimum Interconnection, safety, and protection requirements outlined in this Article and the Utility's Interconnection Manual;
2. The Generating Facility meets all applicable construction codes, safety codes, electric codes, laws, and requirements of government agencies having jurisdiction;
3. The Generating Facility's Certified Equipment is installed and operated in a manner that protects the Generating Facility, Utility personnel, the public, and the Distribution System from harm;
4. The Generating Facility design, installation, maintenance, and operation minimize the likelihood of causing a malfunction in, damaging, or otherwise impairing the Distribution System;
5. The Generating Facility does not adversely affect the quality of service to other Utility consumers;
6. The Generating Facility does not hamper efforts to restore a feeder to service when a Clearance is required;
7. The Generating Facility is maintained in accordance with applicable manufacturers' maintenance schedules; and
8. The Utility is notified of any emergency or hazardous condition or occurrence involving the Generating Facility that could affect safe operation of the Distribution System.

C. A Customer shall pay for, lease or own, and be responsible for designing, installing, and operating all Interconnection Facilities located on the Customer's side of the Point of Interconnection.**D. A Customer shall ensure that Interconnection Facilities:**

1. Are located on the Customer's premises; and
2. To enable delivery of power from the Generating Facility to the Distribution System at the Point of Interconnection, include:
 - a. Necessary equipment for:
 - i. Connection;
 - ii. Transformation;
 - iii. Switching;
 - iv. Protective relaying;
 - v. Metering;
 - vi. Communication; and
 - vii. Safety requirements.
 - b. A Disconnect Switch; and
 - c. Any other requirements outlined in this Article or specified by the Utility in its Interconnection Manual.

E. A Customer interconnecting a Generating Facility with the Distribution System shall:

1. Sign an Interconnection Agreement and all other applicable purchase, supply, and standby agreements; and
2. Comply with all applicable tariffs, rate schedules, and Utility service requirements.

F. A Customer shall not interconnect or cause Interconnection of a Generating Facility to the Distribution System without first executing an Interconnection Agreement with the Utility that operates the Distribution System.**R14-2-2605. Utility Rights and Responsibilities****A. A Utility shall interconnect a Generating Facility to the Distribution System, subject to the requirements of this Article and of the Utility's Interconnection Manual.****B. A Utility has the right to expect prompt, reasonable, and professional responses from a Customer during the Interconnection process.****C. A Utility shall require that an interconnected Generating Facility:**

1. Not present any hazards to Utility personnel, other Utility consumers, or the public;



2. Minimize the possibility of damage to the Utility and to other Utility consumers' equipment;
 3. Not adversely affect the quality of service to other Utility consumers; and
 4. Not hamper efforts to restore a feeder to service when a Clearance is required.
- D.** A Utility shall notify a Customer if there is reason to believe that operation of the Customer's Generating Facility has caused disruption or deterioration of service to other Utility consumers served from the Distribution System or that such operation has caused damage to the Distribution System.
- E.** A Utility shall make its Interconnection Manual, standard Application, and Interconnection Agreements readily available to an Applicant in print and online formats.
- F.** Following the receipt of an Application, a Utility shall review the Generating Facility to ensure it complies with the applicable screens in R14-2-2615. If the Generating Facility design does not comply with the applicable screens in R-14-2-2615, an Interconnection Study may be required. Before the Utility undertakes any Interconnection Study or system upgrades that will be charged to the Applicant, the Utility shall provide the Applicant a detailed estimate of the cost, an outline of the proposed work, supporting data, and justification for the proposed work. If the results of an Interconnection Study necessitate additional Interconnection Facilities or upgrades, the Utility shall provide written notice to the Applicant of the Utility's intent to install the Interconnection Facilities or upgrades. The Applicant shall pay the Utility for Interconnection Facilities or upgrades identified in the Interconnection Study except for those unrelated to the Generating Facility installation. The Utility shall provide the results of the Interconnection Study to the Applicant.
- G.** A Utility may not disapprove Interconnection of a Generating Facility that satisfies the requirements of this Article and the Utility's Interconnection Manual.
- H.** If additional Interconnection Facilities or upgrades are needed to accommodate a Generating Facility, and the Interconnection Facilities or upgrades will benefit the grid, the Utility shall reduce the charge of the Interconnection Facilities or upgrades to the Customer by the amount of benefits to the grid that are readily quantifiable by the Utility. A Utility shall not reject an Application on the basis of existing Distribution System conditions that are deficient, or charge a Customer for Interconnection Facilities or upgrades that are overdue or that will soon be required to ensure compliance with Good Utility Practice.
- I.** A Utility shall process each Application on a nondiscriminatory basis.

R14-2-2606. Easements and Rights-of-Way

- A.** Where an easement or right-of-way does not exist, but is required by a Utility to accommodate Interconnection, a Customer shall provide a suitable easement or right-of-way, in the Utility's name, on the premises owned, leased, or otherwise controlled by the Customer. If the required easement or right of way is on another's property, the Customer shall obtain and provide to the Utility a suitable easement or right-of-way, in the Utility's name, at the Customer's expense and in sufficient time to comply with Interconnection Agreement requirements.
- B.** A Utility shall use reasonable efforts to utilize existing easements to accommodate Interconnection.
- C.** A Utility shall use reasonable efforts to assist a Customer in securing necessary easements at the Customer's expense.

R14-2-2607. Insurance

- A.** A Utility shall not require a Customer to provide general liability insurance coverage as a condition for Interconnection and shall not require that the Customer negotiate any policy or renewal of any policy covering any liability through a particular insurance provider, agent, solicitor, or broker.
- B.** The provision in subsection (A) does not waive or otherwise foreclose any rights a Utility may have to pursue remedies at law against a Customer to recover damages.

R14-2-2608. Non-Circumvention

- A.** A Utility shall not directly or through an affiliate use knowledge of proposed Distributed Generation projects submitted to the Utility for Interconnection or study to initiate competing proposals to the Customer that offer discounted rates in return for not installing the Distributed Generation, or to offer the Customer competing Distributed Generation projects.
- B.** A Customer may share with a Utility or its affiliates information in the Customer's possession regarding a potential Distributed Generation project and may use such information to negotiate a discounted rate or other mutually beneficial arrangement with a Utility or its affiliate.
- C.** A Utility may inform a Customer of any existing or pending (awaiting approval by the Commission) rate schedule that may economically benefit, economically disadvantage, or otherwise affect the Customer's Distributed Generation project.

R14-2-2609. Designation of Contact Persons

- A.** Each Utility shall:
1. Designate a person or persons who will serve as the Utility's contact for all matters related to Distributed Generation Interconnection;
 2. Identify to the Commission in its Interconnection Manual each designated Distributed Generation Interconnection contact person or persons; and
 3. Provide convenient access through its website to the name, telephone number, mailing address, and email address for each Distributed Generation Interconnection contact person.
- B.** Each Applicant applying for Interconnection shall designate a contact person or persons and provide to the Utility the name, telephone number, mailing address, and email address for each contact person.

R14-2-2610. Minor Modifications

A Utility shall not reject or declare incomplete and require resubmission of a submitted Application if minor modifications must be made to the design of the Generating Facility or to other information on the Application (including ownership of Generating Facility) while the Application is being reviewed by the Utility or prior to completing the Interconnection of the Generating Facility.

**R14-2-2611. Certification**

- A.** To qualify as Certified Equipment, Generating Facility equipment proposed for use separately or packaged with other equipment in an Interconnection system shall:
1. Comply with the applicable codes, guides, and standards referenced in the Utility Interconnection Manual;
 2. Comply with the relevant codes and standards used by an NRTL to test and certify Interconnection equipment; and
 3. Be labeled and publicly listed as certified by the NRTL at the time of Application submission.
- B.** If Certified Equipment includes only interface components (switchgear, inverters, or other interface devices), a Customer shall show, upon request from the Utility, that the Generating Facility is compatible with the interface components and consistent with the testing and listing specified for the Interconnection equipment.
- C.** A Customer is not required to ensure that equipment provided by the Utility is Certified Equipment.

R14-2-2612. No Additional Requirements

If a Generating Facility complies with all applicable requirements of R14-2-2611, complies with the screens listed in R14-2-2615, and complies with the Utility's Interconnection Manual, a Utility shall not require the Customer to install additional controls, or to perform or pay for additional tests, in order to obtain approval to interconnect, unless the Customer agrees to do so or the Commission so requires. A Utility may install additional equipment or perform additional testing at its own expense.

R14-2-2613. Disconnection from or Reconnection with the Distribution System

- A.** A Utility may disconnect a Generating Facility from the Distribution System under the following conditions:
1. Upon expiration or termination of the Interconnection Agreement with a Customer, in accordance with the terms of the Interconnection Agreement;
 2. Upon determining that the Generating Facility is not in compliance with the technical requirements found within the Utility's Interconnection Manual;
 3. Upon determining that continued Interconnection of the Generating Facility will endanger system operations, persons, or property, for the time needed to make immediate repairs on the Distribution System;
 4. To perform routine maintenance, repairs, and system modifications; and
 5. Upon determining that an Interconnection Agreement is not in effect for the Generating Facility.
- B.** A Utility and a Customer shall cooperate to restore the Generating Facility and the Distribution System to their normal operating states as soon as practicable.
- C.** A Customer may temporarily disconnect the Generating Facility from the Distribution System at any time. Such temporary disconnection shall not constitute a termination of the Interconnection Agreement unless the Customer has so specified in writing.
- D.** Except in the case of a disconnection under subsection (A)(3), a Utility shall provide notice to a Customer before disconnecting the Generating Facility. The Utility shall provide the Customer notice at least three calendar days prior to the impending disconnection and shall include in the notice the date, time, and estimated duration of the disconnection.
- E.** When a Generating Facility is disconnected under subsection (A)(2):
1. The Customer shall notify the Utility when the Generating Facility is restored to compliance with technical requirements;
 2. The Utility shall, within five calendar days after receiving the Customer's notice, have an inspector verify the compliance; and
 3. Upon verifying the compliance, the Utility shall, in coordination with the Customer, reconnect the Generating Facility.
- F.** A Utility shall reconnect a Generating Facility as quickly as practicable after determining that the reason for disconnection is remedied.
- G.** An Interconnection Agreement shall continue in effect after disconnection or termination of electric service to the extent and for the period necessary to allow or require the Utility or Customer to fulfill rights or obligations that arose under the agreement, notwithstanding subsection (H)(4). An Interconnection Agreement cannot be for a term less than the expected life of the Generating Facility, unless mutually agreed upon by the Customer and the Utility.
- H.** An Interconnection Agreement shall become effective on the effective date specified in the Interconnection Agreement and shall remain in effect thereafter unless and until:
1. It is terminated by mutual agreement of the Utility and Customer;
 2. It is replaced by another Interconnection Agreement, with mutual consent of the Utility and Customer;
 3. It is terminated by the Utility or the Customer due to a breach or default of the Interconnection Agreement; or
 4. The Customer terminates Utility electric service, vacates or abandons the property on which the Generating Facility is located, or terminates or abandons the Generating Facility, without the Utility's agreement.
- I.** An Interconnection Agreement shall not be terminated in the event of the sale or lease of the property owned by the Customer. If the ownership of a Generating Facility changes, the Interconnection Agreement will remain in effect so long as the operation, as specified in the Interconnection Agreement, of the Generating Facility remains unchanged. The Customer shall provide notice to the Utility within seven calendar days in the event of a change in the ownership of the Generating Facility.
- J.** Upon termination of an Interconnection Agreement:
1. The Customer shall ensure that the electrical conductors connecting the Generating Facility to the Distribution System are immediately lifted and permanently removed, to preclude any possibility of interconnected operation in the future; and
 2. The Utility may inspect the Generating Facility to verify that it is permanently disconnected.

R14-2-2614. Application and Generating Facility General Requirements

- A.** A Customer desiring to interconnect a Generating Facility to the Distribution System that is not a Non-Exporting inverter-based energy storage Generating Facility or an Inadvertent Export Generating Facility with a Maximum Capacity of 20 kW or less shall apply to the Utility for Interconnection as provided in this Section.
- B.** An Applicant shall submit an Application on a form provided by the Utility, or according to a format provided by the Utility, along with the following:



- 1. All supplemental information and documents required by the Utility, which shall be noted on the Utility’s Application or Application instructions;
- 2. An executed Interconnection Agreement, if required by the Utility; and
- 3. An initial Application or processing fee, if a tariff containing such a fee is approved for the Utility by the Commission.
- C. Upon request, a Utility shall provide an Applicant with sample diagrams that indicate the preferred level of detail and type of information required for a typical inverter-based system.
- D. Within seven calendar days after receiving an Application, a Utility shall review the Application and provide the Applicant notice:
 - 1. That the Application satisfies all requirements under subsection (B); or
 - 2. That the Application does not satisfy one or more requirements under subsection (B), in which case:
 - a. The Utility shall specify the additional information or documents required;
 - b. The Applicant shall submit the specified information or documents; and
 - c. The Application may be deemed withdrawn if the Applicant does not submit the required information or documents within 30 calendar days.
- E. A Generating Facility shall comply with the following general requirements:
 - 1. If inverter based, each inverter shall meet the relevant standards as specified by the Utility in its Interconnection Manual;
 - 2. The Generating Facility shall meet all applicable codes and standards; and
 - 3. The Generating Facility shall comply with the Utility’s Interconnection Manual and Interconnection Agreement requirements.

R14-2-2615. Screens

- A. For Interconnection of a proposed Generating Facility to a distribution circuit, the aggregated generation on the circuit, including the proposed Generating Facility, shall not exceed 15% of the total circuit annual peak load as most recently measured at the substation or on the line section (if available), or the circuit hosting capacity limit; whichever is greater. Non-Exporting Systems, regardless of system size, and Inadvertent Export systems with a Maximum Capacity of 20 kW and under shall not be subject to this subsection.
- B. A proposed Generating Facility shall not contribute more than 10% to a distribution circuit’s maximum fault current at any point on the Distribution System, including during normal contingency conditions that may occur due to reconfiguration of the feeder or the distribution substation.
- C. The proposed Maximum Capacity of a Generating Facility, in aggregate with the Maximum Capacity of other generation on a distribution circuit, shall not cause any distribution protective devices and equipment (including but not limited to substation breakers, fuse cutouts, and line reclosers), or consumer equipment on the system, to exceed 90% of the short circuit interrupting capability; and Interconnection shall not be proposed for a circuit that already exceeds 90% of the short circuit interrupting capability.
- D. A proposed Generating Facility shall be interconnected to the Distribution System as shown in the table below:

<u>Primary Distribution Line Configuration</u>	<u>Interconnection to Primary Distribution Line</u>
<u>Three-phase, three wire</u>	<u>If a three-phase or single-phase Generating Facility, Interconnection shall be phase-to-phase</u>
<u>Three-phase, four wire</u>	<u>If a three-phase (effectively grounded) or single-phase Generating Facility, Interconnection shall be line-to-neutral</u>

- E. If a proposed Generating Facility is to be interconnected on single-phase shared secondary, the aggregate generation capacity on the shared secondary, including the proposed Maximum Capacity of the Generating Facility, shall not exceed 75% of the service transformer rating. Non-Exporting Systems and Inadvertent Export shall not be subject to this subsection.
- F. If a proposed Generating Facility is single-phase and is to be interconnected on a transformer center tap neutral of a 240-volt service, its addition shall not create an imbalance between the two sides of the 240-volt service of more than 20% of the nameplate rating of the service transformer.
- G. A proposed Generating Facility, in aggregate with other generation interconnected to the distribution low-voltage side of a substation transformer feeding the distribution circuit where the Generating Facility would interconnect, shall not exceed 10 MW in an area where there are known or posted transient stability limitations to generating units located in the general electrical vicinity (e.g., three or four transmission voltage level busses from the Point of Interconnection). Non-Exporting Systems, regardless of system size, and Inadvertent Export systems with a Maximum Capacity of 20 kW and under shall not be subject to this subsection.
- H. A proposed Generating Facility’s Point of Interconnection shall not be on a transmission line.
- I. A proposed Generating Facility shall not exceed the capacity of the Customer’s existing electrical service unless there is a simultaneous request for an upgrade to the Customer’s electrical service or the Generating Facility is configured never to inject onto the feeder power that exceeds the capacity of the electrical service.
- J. If a proposed Generating Facility is non-inverter based, the Generating Facility must comply with the Protective Function requirements and any additional Utility Interconnection requirements, which shall be specified by the Utility in its Interconnection Manual.

R14-2-2616. Pre-Application Report

- A. An Applicant requesting a Pre-Application Report shall submit to a Utility:
 - 1. The Applicant’s contact information (name, address, phone, and email);
 - 2. A proposed Point of Interconnection, sufficiently identified by latitude and longitude, site map, street address, meter number, account number, or some combination of those sufficient to identify the location of the Point of Interconnection;
 - 3. A description of the proposed generation technology and fuel source; and
 - 4. A non-refundable processing fee, if a tariff containing such a fee is approved for the Utility by the Commission.
- B. An Applicant requesting a Pre-Application Report shall understand that:



1. The existence of “available capacity” does not mean that the Interconnection of a Generating Facility with a nameplate capacity that is equivalent to the available capacity may be completed without impacts, because the Pre-Application Report does not address all of the variables studied as part of the Interconnection review process;
 2. The Distribution System is dynamic and subject to change; and
 3. Data provided in the Pre-Application Report may become outdated and may not be useful at the time an Application is submitted.
- C.** Within 21 calendar days of receipt of a completed Pre-Application Report request, a Utility shall provide a Pre-Application Report, which shall include the following information, as available:
1. The total capacity (MW) of the substation/area bus or bank and circuit likely to serve the proposed site;
 2. The allocated capacity (MW) of the substation/area bus or bank and circuit likely to serve the proposed site;
 3. The queued capacity (MW) of the substation/area bus or bank and circuit likely to serve the proposed site;
 4. The available capacity (MW) of the substation/area bus or bank and circuit most likely to serve the proposed site;
 5. Whether the proposed Generating Facility is located on an area, spot, or radial network;
 6. The substation nominal distribution voltage or nominal transmission voltage, if applicable;
 7. The nominal distribution circuit voltage at the proposed site;
 8. The approximate circuit distance between the proposed site and the substation;
 9. The peak load estimate and minimum load data of each relevant line section, when available;
 10. The number of protective devices and voltage regulating devices between the proposed site and the substation/area;
 11. Whether three-phase power is available at the site and, if not, the distance of the site from three-phase service;
 12. The limiting conductor rating from the proposed Point of Interconnection to the distribution substation; and
 13. Based on the proposed Point of Interconnection, any existing or known constraints, such as, but not limited to, electrical dependencies at that location, short circuit interrupting capacity issues, power quality or stability issues on the circuit, capacity constraints, or secondary networks.
- D.** A Utility shall not be required to generate data for a Pre-Application Report and may include only pre-existing data. An Applicant request for a Pre-Application Report does not obligate the Utility to conduct a study or other analysis of the proposed project in the event that pre-existing data is not available. If a Utility cannot complete all or some of a Pre-Application Report due to lack of available data, the Utility shall provide the Applicant a Pre-Application Report that includes the information that is available and identifies the information that is unavailable. Notwithstanding any provisions of this Section, a Utility shall, in good faith, provide Pre-Application Report data that represents the best available information at the time of reporting.
- E.** A Utility may charge a fee for a Pre-Application Report if a tariff containing such a fee is approved for the Utility by the Commission.

R14-2-2617. Level 1 Super Fast Track

- A.** A Customer interconnecting an inverter-based Generating Facility with a Maximum Capacity of 20 kW or less, which only uses Certified Equipment, shall apply for Interconnection under the Level 1 Super Fast Track Application process.
- B.** To qualify for Level 1 Super Fast Track, the Generating Facility shall comply with R14-2-2615(A), (E), and (F).
- C.** The Level 1 Super Fast Track shall proceed as follows:
1. Within 14 calendar days following provision of notice under R14-2-2614(D)(1), the Utility shall review the Application and notify the Applicant of one of the following determinations:
 - a. The Generating Facility design satisfies R14-2-2615(A), (E), and (F) and meets all Interconnection requirements and the Application is therefore deemed complete and approved for Interconnection; or
 - b. The Generating Facility design does not satisfy one or more of the requirements listed in R14-2-2615(A), (E), or (F) or does not meet one or more of the Utility’s Interconnection requirements, which shall be specified, and the Application is therefore deemed incomplete and not approved for Interconnection.
 2. If the Utility’s determination falls under subsection (C)(1)(b), the Applicant shall notify the Utility within 30 calendar days whether it wishes to proceed with the Interconnection.
 - a. Except as provided in subsection (D), if the Applicant does not provide notice within 30 calendar days that it wishes to proceed with the Interconnection, the Application may be considered withdrawn.
 - b. If the Applicant wishes to proceed with the Interconnection, the Applicant shall submit to the Utility’ within 30 calendar days, any Utility-specified additional information or modifications to the Generating Facility, along with one of the following:
 - i. A request that the Utility continue to process the Application under this section; or
 - ii. A request that the Utility process the Application in accordance with R14-2-2620.
 3. Once an Application is approved, the Generating Facility shall be subject to R14-2-2621.
- D.** An Applicant may, within 30 calendar days after receiving notice under subsection (C)(1)(b), submit a request for an extension of the 30-day period allowed for submissions under subsection (C)(2)(b).
- E.** After receiving a submission under subsection (C)(2)(b), a Utility shall again follow the process of subsection (C).
- F.** A Utility may not charge a fee for an additional review under subsection (C), unless a tariff containing such a fee is approved for the Utility by the Commission.
- G.** A Customer shall have the responsibility for any costs of Utility facilities and equipment modifications necessary to accommodate the Customer’s Interconnection.
- H.** If the Generating Facility’s operating characteristics can be modified such that improvements to the Distribution System are reduced or not required, and both the Utility and Customer agree on the operating characteristics, the Customer shall have the opportunity to modify the Generating Facility’s operating characteristics to reduce facility costs.

R14-2-2618. Level 2 Fast Track

- A.** A Customer interconnecting a Generating Facility with a Maximum Capacity of less than 2 MW, excluding a Generating Facility processed in accordance with R14-2-2617, shall apply for Interconnection under the Level 2 Fast Track Application process.



- B.** To qualify for the Level 2 Fast Track, the Generating Facility shall comply with R14-2-2615(A) through (J).
- C.** The Level 2 Fast Track shall proceed as follows:
 - 1. Within 21 calendar days following provision of notice under R14-2-2614(D)(1), the Utility shall review the Application and notify the Applicant of one of the following determinations:
 - a. The Generating Facility design satisfies R14-2-2615(A) through (J) and meets all Interconnection requirements and the Application is therefore deemed complete and approved for Interconnection; or
 - b. The Generating Facility design does not satisfy one or more of the requirements listed in subsections R14-2-2615(A) through (J) or does not meet one or more of the Utility’s Interconnection requirements, which shall be specified, and the Application is therefore deemed incomplete and not approved for Interconnection.
 - 2. If the Utility’s determination falls under subsection (C)(1)(b), the Applicant shall notify the Utility within 30 calendar days whether it wishes to proceed with the Interconnection.
 - a. Except as provided in subsection (D), if the Applicant does not provide notice within 30 calendar days that it wishes to proceed with the Interconnection, or the Utility is not notified within the specified time-frame, the Application may be considered withdrawn.
 - b. If the Applicant wishes to proceed with the Interconnection, the Applicant shall submit to the Utility’ within 30 calendar days any Utility-specified additional information or modifications to the Generating Facility, along with one of the following:
 - i. A request that the Utility continue to process the Application under this section;
 - ii. A request that the Utility process the Application in accordance with R14-2-2619; or
 - iii. A request that the Utility process the Application in accordance with R14-2-2620.
 - 3. Once an Application is approved, the Generating Facility shall be subject to R14-2-2621.
- D.** An Applicant may, within 30 calendar days after receiving notice under subsection (C)(1)(b), submit a request for an extension of the 30-day period allowed for submissions under subsection (C)(2)(b).
- E.** After receiving a submission under subsection (C)(2)(b), a Utility shall again follow the process under subsection (C).
- F.** A Utility may not charge a fee for an additional review under subsection (C), unless a tariff containing such a fee is approved for the Utility by the Commission.
- G.** A Customer shall have the responsibility for any costs of Utility facilities and equipment modifications necessary to accommodate the Interconnection.
- H.** If the Generating Facility’s operating characteristics can be modified such that improvements to the Distribution System are reduced or not required, and both the Utility and Customer agree on the operating characteristics, the Customer shall have the opportunity to modify the Generating Facility’s operating characteristics to reduce facility costs.

R14-2-2619. Level 3 Study Track

- A.** A Customer interconnecting a Generating Facility with a Maximum Capacity of 2 MW or greater, or a Generating Facility that does not meet the screening requirements for Level 1 Super Fast Track, Level 2 Fast Track, or Supplemental Review, shall apply for Interconnection under the Level 3 Study Track Application process.
- B.** An Applicant may request a pre-application meeting with the Utility to discuss the proposed design, installation, and operation of the Generating Facility prior to submission of an Application.
- C.** The Level 3 Study Track shall proceed as follows:
 - 1. Within 14 calendar days after transfer from Level 1 Super Fast Track, transfer from Level 2 Fast Track, or transfer from Supplemental Review, a Utility shall review the Application and provide the Applicant notice:
 - a. That the Application satisfies all requirements under R14-2-2614(B); or
 - b. That the Application does not satisfy one or more requirements under R14-2-2614(B).
 - i. The Utility shall specify the additional information or documents required;
 - ii. The Applicant shall submit the specified information or documents; and
 - iii. The Application may be deemed withdrawn if the Applicant does not submit the required information or documents within 30 calendar days.
 - 2. Within 30 calendar days following provision of notice under (C)(1)(a) or R14-2-2614(D)(1), the Utility shall review the Application and notify the Applicant of one of the following determinations:
 - a. The Generating Facility design appears to meet all of the applicable Interconnection requirements; no further studies, special protective requirements, or system modifications are required; and the Application is deemed complete and approved for Interconnection; or
 - b. The Generating Facility does not meet one or more of the Utility’s Interconnection requirements, which shall be specified, and cannot be interconnected without further information, data, engineering studies, or modifications to the Distribution System or Generating Facility; the Interconnection shall proceed according to a meeting and study process deemed necessary by the Utility; itemized costs and timelines for the studies will be disclosed and agreed upon by the Utility and Applicant prior to the start of each one; and all studies will be made available to the Applicant.
 - 3. Within 21 calendar days after notice is provided under subsection (C)(2)(b), a Scoping Meeting may be conducted to discuss which studies are needed, and the Utility shall provide to the Customer at the Scoping Meeting an acknowledgement letter describing the project scope and including a good faith estimate of the cost.
 - 4. If requested by the Customer, the Utility shall undertake a Feasibility Study. The Utility shall provide the Customer, within 14 calendar days after the Scoping Meeting, a Feasibility Study agreement including an outline of the scope of the study and a non-binding, good faith estimate of the cost of the materials and labor needed to perform the study. The Utility shall conduct the Feasibility Study after the Customer executes the Feasibility Study agreement, provides all requested information necessary to complete the Feasibility Study, and pays the estimated costs.
 - a. The Feasibility Study shall be completed within 45 calendar days.
 - b. The Feasibility Study:



costs. If the deposit amount exceeds the actual costs of the Supplemental Review, the Utility shall return such excess to the Customer, without interest, within 30 calendar days of completing the Supplemental Review.

- D.** Within 21 calendar days following receipt of the deposit for a Supplemental Review, the Utility shall:
1. Perform a Supplemental Review by determining compliance with the screens in subsections (E)(1), (2), and (3);
 2. Unless the Applicant has previously provided instructions for how to respond to the Generating Facility's failure to meet any of the Supplemental Review screens:
 - a. Notify the Applicant following the failure of any of the screens; and
 - b. If the Utility is unable to determine compliance with the screen in subsection (E)(1), notify the Applicant within two calendar days of making such determination and request the Applicant's permission to:
 - i. Continue evaluating the Interconnection under subsection (E);
 - ii. Terminate the Supplemental Review and continue evaluating the Generating Facility under R14-2-2619; or
 - iii. Terminate the Supplemental Review upon withdrawal of the Interconnection request by the Applicant; and
 3. Notify the Applicant of the results of the Supplemental Review along with copies of the analysis and data underlying the Utility's determinations of compliance with the screens.
- E.** A Utility shall apply the following screens in its Supplemental Review:
1. A minimum load screen:
 - a. If 12 months of line section minimum load data (including onsite load but not station service load served by the Generating Facility) are available, can be calculated, can be estimated from existing data, or can be determined from a power flow model, the aggregate Generating Facility Maximum Capacity on the line section shall be less than 100% of the minimum load for all line sections bounded by automatic sectionalizing devices upstream of the Generating Facility.
 - b. If 12 months of line section minimum load data are not available, or cannot be calculated, estimated, or determined, the Utility shall include in its Supplemental Review results notification under subsection (D) each reason that it is unable to calculate, estimate, or determine minimum load.
 - c. In making its determination of compliance with subsections (E)(1)(a) and (b), the Utility shall:
 - i. Consider the type of generation used by the Generating Facility when calculating, estimating, or determining the circuit or line section minimum load, using daytime minimum load for solar photovoltaic generation systems with no battery storage (i.e., 10 a.m. to 4 p.m. for fixed panel systems and 8 a.m. to 6 p.m. for solar photovoltaic generation systems utilizing tracking systems), and using absolute minimum load for all other generation;
 - ii. For a Generating Facility that serves some station service load, consider only the net injection into the Utility's electric system as part of the aggregate generation; and
 - iii. Not consider as part of the aggregate generation, Generating Facility capacity known to be reflected in the minimum load data already.
 2. A voltage and power quality screen: In aggregate with existing Maximum Capacity on the line section:
 - a. Voltage regulation on the line section shall be maintained in compliance with relevant requirements under all system conditions;
 - b. Voltage fluctuation shall be within acceptable limits as defined by IEEE 1453, IEEE Recommended Practice for the Analysis of Fluctuating Installations on Power Systems (October 30, 2015), with no future editions or amendments, which is incorporated by reference; on file with the Commission; and published by and available from IEEE, 3 Park Avenue, 17th Floor, New York, New York 10016, and through <http://ieeexplore.ieee.org>; and
 - c. Harmonic levels shall meet IEEE 519 limits, IEEE Recommended Practice and Requirements for Harmonic Control in Electric Power Systems (June 11, 2011), with no future editions or amendments, which is incorporated by reference; on file with the Commission; and published by and available from IEEE, 3 Park Avenue, 17th Floor, New York, New York 10016, and through <http://ieeexplore.ieee.org>.
 3. A safety and reliability screen: The location of the Generating Facility and the aggregate Maximum Capacity on the line section shall not create impacts to safety or reliability that cannot be adequately addressed without application of the Interconnection Study process. In making this determination regarding potential impacts to safety and reliability, the Utility shall give due consideration to the following, and any other relevant factors:
 - a. Whether the line section has significant minimum loading levels dominated by a small number of customers (e.g., several large commercial customers);
 - b. Whether the loading along the line section is uniform or even;
 - c. Whether the Generating Facility is located in close proximity to the substation (i.e., within less than 2.5 electrical circuit miles);
 - d. Whether the line section from the substation to the Point of Interconnection is a main feeder line section rated for normal and emergency ampacity;
 - e. Whether the Generating Facility incorporates a time delay function to prevent reconnection of the generator to the system until system voltage and frequency are within normal limits for a prescribed time;
 - f. Whether operational flexibility is reduced by the Generating Facility, such that transfer of the line section(s) of the Generating Facility to a neighboring distribution circuit/substation may trigger overloads or voltage issues; and
 - g. Whether the Generating Facility employs equipment or systems certified by a recognized standards organization to address technical issues such as, but not limited to, islanding, reverse power flow, or voltage quality.
- F.** If the Interconnection satisfies subsection (E), the Application is approved for Interconnection and the Utility shall provide the Applicant notice of the Supplemental Review results.
- G.** If Interconnection Facilities or minor modifications to the Utility's system are required for the Interconnection to meet the standards in subsection (E), the Utility shall notify the Applicant and request for the Applicant to pay for the modifications. If the Applicant agrees to pay for the modifications to the Utility's electric system, the Utility shall provide an Interconnection Agreement, along with



a non-binding good faith estimate of the cost for the Interconnection Facilities and minor modifications, to the Applicant within seven calendar days after the Applicant agrees to pay for the modifications.

- H. If more than Interconnection Facilities or minor modifications to the Utility's system would be required for the Interconnection to meet the screens in subsection (E), the Utility shall notify the Applicant, at the same time it notifies the Applicant of the Supplemental Review results, that the Interconnection request shall be evaluated under R14-2-2619, unless the Applicant withdraws its Application.
- I. If the Interconnection fails any of the screens in subsection (E), and the Applicant does not withdraw its Application, the Utility shall continue to evaluate the Application under R14-2-2619.

R14-2-2621. Utility Site Inspection: Approval for Parallel Operation

- A. Once an Application is approved for Interconnection:
 - 1. If the Utility has not received an executed Interconnection Agreement, the Utility shall send to the Customer, within seven calendar days after the notice of Application approval, the appropriate Interconnection Agreement for review and signature;
 - 2. If required, the Customer shall submit to the Utility a copy of the final electrical clearance for the Generating Facility issued by the authority having jurisdiction;
 - 3. The Customer shall submit all necessary supplemental documents as specified by the Utility; and
 - 4. A site inspection shall be performed if deemed necessary by the Utility or requested by the Customer.
- B. Within seven calendar days after a site inspection is deemed necessary by the Utility, or requested by the Customer, the Utility shall perform a site inspection for which it may charge a fee, if a tariff containing such a fee is approved for the Utility by the Commission. During a site inspection, the Utility shall verify at least the following:
 - 1. The Generating Facility is in compliance with all applicable Interconnection and code requirements;
 - 2. All Generating Facility equipment is properly labeled;
 - 3. The Generating Facility system layout is in accordance with the plant location and site plans submitted to the Utility;
 - 4. The inverter nameplate ratings are consistent with the information submitted to the Utility;
 - 5. The Utility has unrestricted 24-hour access to the Utility-owned production meter and Disconnect Switch, and the Disconnect Switch meets all applicable requirements;
 - 6. The inverter shuts down as required upon simulated loss of Utility voltage; and
 - 7. To the extent visible, the Generating Facility appears to be wired in accordance with the electrical diagrams submitted to the Utility.
- C. The Utility shall install appropriate metering equipment, if required. The Utility may require the Customer to pay for the metering equipment, if a tariff containing such a fee is approved for the Utility by the Commission.
- D. Within three calendar days of the completion of the site inspection and the receipt of all final applicable signed Interconnection documents, the Utility shall determine whether the Generating Facility meets all applicable requirements and shall notify the Customer that:
 - 1. The Generating Facility is approved for Parallel Operation with the Distribution System per the agreed terms and conditions; or
 - 2. The Generating Facility has failed the site inspection because it does not meet one or more of the applicable requirements, which shall be specified; the Generating Facility is not approved for Parallel Operation; and specified actions must be taken by the Customer to resolve the issue and to obtain approval for Parallel Operation.
- E. If the Generating Facility fails the initial Utility site inspection:
 - 1. The Applicant shall, within 30 calendar days of the initial site inspection, correct any outstanding issues and notify the Utility that all corrections have been made, or the Application may be deemed withdrawn unless alternative arrangements have been made by the Customer with the Utility; and
 - 2. The Utility shall, within 14 calendar days of the Applicant notice of correction, perform a repeat inspection of the Generating Facility, for which the Utility may charge a fee, if a tariff containing such a fee is approved for the Utility by the Commission.
- F. A Utility may take any reasonable actions, including locking open a Disconnect Switch, to prevent Parallel Operation for:
 - 1. A Generating Facility that fails a site inspection; or
 - 2. A Customer who operates a Generating Facility in parallel without Utility approval.
- G. If a Customer does not interconnect a Generating Facility within 180 calendar days after Application approval, the Customer's Application may be considered withdrawn.

R14-2-2622. Interconnection to a Secondary Spot Network System

- A. A Secondary Spot Network System is a system that:
 - 1. Simultaneously serves a Customer from three-phase, four-wire, low-voltage (typically 480V) circuits supplied by two or more network transformers which have low-voltage terminals that are connected to the low-voltage circuits through network protectors without ties to adjacent or nearby secondary network systems;
 - 2. Has two or more high-voltage primary feeders that are either dedicated network feeders that serve only other network transformers, or non-dedicated network feeders that serve radial transformers in addition to the network transformers, depending on network size and design; and
 - 3. Has automatic protective devices and fuses intended to isolate faulted primary feeders, network transformers, or low-voltage cable sections while maintaining uninterrupted service to the consumers served from the low-voltage circuits.
- B. Because interconnecting a Generating Facility to a Secondary Spot Network System implicates technical requirements that are particular to the design and operational aspects of network protectors that are not required on radial systems, the Utility shall determine the process for interconnecting to a Secondary Spot Network System, subject to the following:
 - 1. A Generating Facility shall not be interconnected to the load side of spot network protectors unless the Generating Facility uses an inverter-based equipment package and, together with the aggregated other inverter-based generation, does not exceed the smaller of 5% of the Secondary Spot Network System's maximum load or 50 kW; and



- 2. Interconnection of a Generating Facility shall not result in a Backfeed of a Secondary Spot Network System or cause unnecessary operation of any Secondary Spot Network System protectors.

R14-2-2623. Expedited Interconnection Process

- A.** A Customer interconnecting a Non-Exporting inverter-based energy storage Generating Facility or an Inadvertent Export Generating Facility with a Maximum Capacity of 20 kW or less may apply for Interconnection under the Expedited Interconnection Process. In order to qualify for the Expedited Interconnection Process, the Customer's Generating Facility must meet the applicable conditions specified in subsections (B) and (C).
- B.** For a Customer interconnecting a Non-Exporting Generating Facility:
 - 1. The Generating Facility shall utilize only UL 1741 and UL 1741SA-listed equipment;
 - 2. The Generating Facility shall meet all applicable codes and standards;
 - 3. The Generating Facility shall comply with Utility Interconnection and contractual requirements;
 - 4. The Generating Facility shall be a Non-Exporting inverter-based energy storage device with an aggregate maximum nameplate rating no greater than 500 kW;
 - 5. No other Generating Facilities, other than isolated back-up Generating Facilities, may be at the same Point of Interconnection as the Generating Facility;
 - 6. The Generating Facility shall comply with R14-2-2615(F); and
 - 7. The Generating Facility shall comply with one of the following:
 - a. The system capacity shall be less than 25% of the electrical service entrance ampere rating, and less than 50% of the service transformer rating; or
 - b. The system output rating shall be less than 50% of the verifiable Customer minimum load as measured over the past 12 months.
- C.** For a Customer interconnecting an Inadvertent Export Generating Facility with a Maximum Capacity of 20 kW or less:
 - 1. The Generating Facility shall utilize only UL 1741 and UL 1741SA-listed equipment;
 - 2. The Generating Facility shall meet all applicable codes and standards;
 - 3. The Generating Facility shall comply with Utility Interconnection and contractual requirements;
 - 4. The Generating Facility shall comply with R14-2-2603(E)(1), and (E)(4) through (7);
 - 5. No other Generating Facilities, other than isolated back-up Generating Facilities or Generating Facilities that are already subject to an executed Interconnection Agreement, may be at the same Point of Interconnection as the Generating Facility; and
 - 6. The Generating Facility shall comply with R14-2-2615(E) and (F).
- D.** The Expedited Interconnection Process shall proceed as follows:
 - 1. An Applicant shall complete an Application provided by the Utility and submit the Application to the Utility along with all required supplemental information and documents, which shall be noted on the Application, as well as an executed Interconnection Agreement, if required by the Utility, and with an initial application fee or processing fee only if a tariff containing such a fee is approved for the Utility by the Commission.
 - 2. Within seven calendar days of receipt of the Application, the Utility shall notify the Applicant whether the Application is complete or incomplete.
 - a. When the Utility notifies the Applicant that an Application is incomplete, the Utility shall specify what additional information or documentation is necessary to complete the Application.
 - b. Within 30 calendar days after receipt of notification that an Application is incomplete, an Applicant shall withdraw the Application or submit the required information or documentation. If an Applicant does not submit the required information or documentation within 30 calendar days, the Application may be considered withdrawn.
 - 3. Within seven calendar days following the receipt of a complete Application, the Utility shall review the Application and notify the Applicant of one of the following determinations:
 - a. The Generating Facility meets the requirements of subsections (B) and (C), and the Application is approved as submitted; or
 - b. The Generating Facility does not meet the requirements of subsections (B) and (C), in a manner specified by the Utility; the Application is no longer eligible for processing under the Expedited Interconnection Process; and the Applicant has the option to select Application processing in accordance with R14-2-2620.
 - 4. If the Application is not accepted as submitted, the Applicant shall notify the Utility within 30 calendar days whether it wishes to proceed with the Interconnection.
 - a. If the Applicant does not wish to proceed with the Interconnection, or the Utility is not notified within the specified timeframe, the Application may be considered withdrawn.
 - b. If the Applicant wishes to proceed with the Interconnection, the Utility shall begin processing the Application in accordance with R14-2-2620.
 - 5. Once an Application is approved:
 - a. If the Utility has not received an executed Interconnection Agreement, the Utility shall send to the Customer, within three calendar days after the notice of Application approval, the appropriate Interconnection Agreement for review and signature; and
 - b. Within three calendar days of the receipt of all final applicable signed Interconnection documents, the Utility shall notify the Customer that the Generating Facility is approved for Parallel Operation.

**R14-2-2624. Disconnect Switch Requirements**

- A.** If required by a Utility, a Customer shall install and maintain a visual-open, manually operated, load break Disconnect Switch that completely opens and isolates all ungrounded conductors of the Generating Facility from the Distribution System. For multi-phase systems, the Disconnect Switch shall be gang-operated.
- B.** A Utility may impose additional requirements for a Disconnect Switch in its Interconnection Manual.

R14-2-2625. Advanced Inverter Requirements

- A.** If interconnected after the effective date of this Article, a Generating Facility utilizing inverter-based technology shall be interconnected via advanced inverter(s) that are capable of, at minimum, the advanced grid support features specified in subsection (B).
- B.** At a minimum, an advanced inverter shall be capable of the following grid support features:
1. Volt/VAR Mode – Provide voltage/VAR control through dynamic reactive power injection through autonomous responses to local voltage measurement;
 2. Volt/Watt Mode – Provide voltage/watt control through dynamic active power injection through autonomous responses to local voltage measurement;
 3. Fixed Power Factor – Provide reactive power by a fixed power factor;
 4. Anti-Islanding – Support anti-Islanding to trip off under extended anomalous conditions;
 5. Low/High Voltage Ride-through (L/HVRT) – Provide ride-through of low/high voltage excursions beyond normal limits;
 6. Low/High Frequency ride-through (L/HFRT) – Provide ride-through of low/high frequency excursions beyond normal limits;
 7. Soft-Start Reconnection – Reconnect after grid power is restored; and
 8. Frequency/Watt Mode – Provide Frequency/Watt control to counteract frequency excursions beyond normal limits by decreasing or increasing real power.
- C.** The grid support features listed in subsections (B)(1), (2), (3), (7), and (8) shall only be activated upon mutual consent between the Customer and the Utility.
- D.** The grid support features listed in subsections (B)(4), (5), and (6) shall always be operational.
- E.** Advanced inverters shall meet the relevant standards as specified by the Utility in its Interconnection Manual.

R14-2-2626. Utility Reporting Requirements

- A.** No later than 90 calendar days after the effective date of this Article, each Utility shall file with Docket Control, for Commission review and approval, an Interconnection Manual.
- B.** A Utility shall file any subsequent revisions to its Interconnection Manual with Docket Control, for Commission review and approval, at least 60 calendar days prior to the proposed effective date of the revision. A revision to enhance health or safety shall become effective immediately, subject to subsequent review and approval by the Commission. The Commission staff may contest a proposed revision and may seek a suspension of the revision for further review. The Utility shall file, with Docket Control, within 10 calendar days of the effective decision date, an updated Interconnection Manual conforming to the Commission's decision approving any revisions to a Utility's Interconnection Manual.
- C.** Each Utility shall maintain records concerning each received Application for Interconnection and shall include:
1. The date the Application was received;
 2. Any documents generated in the course of processing the Application;
 3. Any correspondence regarding the Application;
 4. The final disposition of the Application; and
 5. The final disposition date.
- D.** By March 30 of each year, each Utility shall file with the Commission a Distributed Generation Interconnection Report, with data for the preceding calendar year that shall include:
1. The number of complete Applications denied by track level, including the reasons for denial;
 2. A list of special contracts, approved by the Commission during the reporting period, that provide discounted rates to Customers as an alternative to self-generation;
 3. Pre-Application Report:
 - a. Total number of reports requested;
 - b. Total number of reports issued;
 - c. Total number of requests withdrawn; and
 - d. Maximum, mean, and median processing times from receipt of request to issuance of report;
 4. Interconnection Application:
 - a. Total number received, broken down by:
 - i. Primary fuel type (e.g., solar, wind, biogas, etc.); and
 - ii. System size (<20 kW, 20 kW-2 MW, >2MW);
 - b. Expedited Interconnection Process:
 - i. Total number of applications approved;
 - ii. Total number of applications denied;
 - iii. Total number of applications withdrawn; and
 - iv. Maximum, mean, and median processing times from receipt of complete Application to execution of Interconnection Agreement;
 - c. Level I Super Fast Track Process:
 - i. Total number of applications approved;
 - ii. Total number of applications denied;
 - iii. Total number of applications withdrawn; and
 - iv. Maximum, mean, and median processing times from receipt of complete Application to execution of Interconnection Agreement;



- d. Level 2 Fast Track Process:
 - i. Total number of applications approved;
 - ii. Total number of applications denied;
 - iii. Total number of applications withdrawn; and
 - iv. Maximum, mean, and median processing times from receipt of complete Application to execution of Interconnection Agreement;
- e. Supplemental Review:
 - i. Total number of applications approved;
 - ii. Total number of applications denied;
 - iii. Total number of applications withdrawn; and
 - iv. Maximum, mean, and median processing times from receipt of complete Application to execution of Interconnection Agreement; and
- f. Level 3 Study Process:
 - i. Total number of System Impact Studies completed;
 - ii. Maximum, mean, and median processing times from receipt of signed System Impact Study agreement to provision of study results;
 - iii. Total number of Facilities Studies completed;
 - iv. Maximum, mean, and median processing times from receipt of signed Facility Study agreement to provision of study results;
 - v. Maximum, mean, and median processing times from receipt of complete Application to execution of Interconnection Agreement.

R14-2-2627. Electric Cooperatives

- A.** Upon Commission approval of an Electric Cooperative’s Interconnection Manual, its provisions shall substitute for the timeline requirements set forth in R14-2-2614, and R14-2-2616 through R14-2-2623 for the Electric Cooperative and its Customers.
- B.** Each Electric Cooperative shall employ best reasonable efforts to comply with the deadlines set forth in the applicable provisions of this Article or, if unable to meet those deadlines, shall process all Applications and conduct all inspections and tests in the shortest time practical.

R14-2-2628. Damages Resulting from Interconnection

The Installer shall be responsible for loss of or damage to property arising from the Interconnection of a Generating Facility that is inadvertently or intentionally operated at a higher capacity than the Operating Characteristics reviewed and approved by the Utility.



**NOTICES OF EXPIRATION OF RULES
UNDER A.R.S. § 41-1056(J)**

This section of the *Arizona Administrative Register* contains Notices of Expiration of Rules. Under A.R.S. § 41-1056(J), if an agency does not file a five-year rule review report with the Governor’s Regulatory Review Council (including a revised report); or if an agency does not file an extension before the due date of the report; or if an agency files an extension but does not submit a report

within the extension period; the rules scheduled for review expire.

The Council is required to notify the Secretary of State that the rules have expired and are no longer enforceable. The notice is published in the *Register*, and the rules are removed from the *Code*.

GOVERNOR’S REGULATORY REVIEW COUNCIL

NOTICE OF EXPIRATION OF RULES UNDER A.R.S. § 41-1056(J)

REGISTRAR OF CONTRACTORS

[R19-14]

- 1. **Agency name:** Registrar of Contractors
- 2. **Title and its heading:** 4, Professions and Occupations
- 3. **Chapter and its heading:** 9, Registrar of Contractors
- 4. **Article and its heading:** 1, General Provisions

As required by A.R.S. § 41-1056(J), the Council provides notice that the following rules expired as of December 29, 2016:

- R4-9-116. License Renewal
- R4-9-121. Unauthorized Communications

Signature is of Nicole Sornsin

/s/

Nicole Sornsin
Council Chair

Date of Signing

January 24, 2019



NOTICES OF RULEMAKING DOCKET OPENING

This section of the Arizona Administrative Register contains Notices of Rulemaking Docket Opening.

A docket opening is the first part of the administrative rulemaking process. It is an "announcement" that the agency intends to work on its rules.

When an agency opens a rulemaking docket to consider rulemaking, the Administrative Procedure Act (APA) requires the publication of the Notice of Rulemaking Docket Opening.

Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process. Many times an agency may file the Notice of Rulemaking Docket Opening with the Notice of Proposed Rulemaking.

The Office of the Secretary of State is the filing office and publisher of these notices. Questions about the interpretation of this information should be directed to the agency contact person listed in item #4 of this notice.

NOTICE OF RULEMAKING DOCKET OPENING
DEPARTMENT OF HEALTH SERVICES
FOOD, RECREATIONAL, AND INSTITUTIONAL SANITATION

[R19-18]

- 1. Title and its heading: 9, Health Services
Chapter and its heading: 8, Department of Health Services - Food, Recreational, and Institutional Sanitation
Article and its heading: 1, Food and Drink
Section numbers: R9-8-101 through R9-8-109

2. The subject matter of the proposed rules: 9 A.A.C. 8, Article 1 rules prescribe reasonably necessary measures to ensure that all food or drink sold at the retail level are fit for human consumption. The Department of Health Services (Department) plans to amend the rules to make consistent with the current (2017) United States Food and Drug Administration Food Code (FDA Food Code), address matters described in the rules recent five-year-review report, and improve the effectiveness of the rules.

3. A citation to all published notices relating to the proceeding: None

4. The name and address of agency personnel with whom persons may communicate regarding the rules:

Name: Eric Thomas, Office Chief
Address: Arizona Department of Health Services
Division of Public Health Services, Public Health Preparedness,
Office of Environmental Health
150 N. 18th Ave., Suite 140
Phoenix, AZ 85007
Telephone: (602) 364-3142
Fax: (602) 364-3146
E-mail: Eric.Thomas@azdhs.gov
or
Name: Robert Lane, Chief
Address: Arizona Department of Health Services
Office of Administrative Counsel and Rules
150 N. 18th Ave., Suite 200
Phoenix, AZ 85007
Telephone: (602) 542-1020
Fax: (602) 364-1150
E-mail: Robert.Lane@azdhs.gov

5. The time during which the agency will accept written comments and the time and place where oral comments may be made: Written comments will be accepted at the addresses listed in item #4 until the close of record, which has not yet been determined. No oral proceeding has been scheduled at this time.

6. A timetable for agency decisions or other action on the proceeding, if known: To be announced in the Notice of Proposed Rulemaking.



NOTICE OF EXPEDITED RULEMAKING DOCKET OPENING
DEPARTMENT OF HEALTH SERVICES
FOOD, RECREATIONAL, AND INSTITUTIONAL SANITATION

[R19-17]

- 1. Title and its heading:** 9, Health Services
- Chapter and its heading:** 8, Department of Health Services - Food, Recreational, and Institutional Sanitation
- Article and its heading:** 1, Food and Drink
- Section numbers:** R9-8-102 (*The Department of Health Services may add, delete, or modify other Sections, as necessary.*)
- 2. The subject matter of the proposed rules:**
A.A.C. R9-8-102, Applicability, includes a list of facilities and food sources that are exempt from complying with the requirements for food establishments in 9 A.A.C. 8, Article 1. During the last legislative session, Laws 2018, Ch. 45 were passed that added a definition of cottage food products that are not potentially hazardous or a time or temperature control for safety food to be exempt from public inspection by the Department. The Department plans to amend the rules to comply with the statutory changes made by Laws 2018, Ch. 45. The Department has sought an exception from the rulemaking moratorium established by Executive Order 2019-01 and plans to complete an expedited rulemaking to adopt rules for new cottage food products. The amended rules will conform to rulemaking format and style requirements of the Governor's Regulatory Review Council and the Office of the Secretary of State. The Department may add, delete, or modify additional Sections as necessary.
- 3. A citation to all published notices relating to the proceeding:**
None
- 4. The name and address of agency personnel with whom persons may communicate regarding the rules:**
- Name: Eric Thomas, Office Chief
Address: Arizona Department of Health Services
Division of Public Health Services, Public Health Preparedness,
Office of Environmental Health
150 N. 18th Ave., Suite 140
Phoenix, AZ 85007
- Telephone: (602) 364-3142
Fax: (602) 364-3146
E-mail: Eric.Thomas@azdhs.gov
or
- Name: Robert Lane, Chief
Address: Arizona Department of Health Services
Office of Administrative Counsel and Rules
150 N. 18th Ave., Suite 200
Phoenix, AZ 85007
- Telephone: (602) 542-1020
Fax: (602) 364-1150
E-mail: Robert.Lane@azdhs.gov
- 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**
To be announced in the Notice of Proposed Expedited Rulemaking.
- 6. A timetable for agency decisions or other action on the proceeding, if known:**
To be announced in the Notice of Proposed Expedited Rulemaking.

NOTICE OF RULEMAKING DOCKET OPENING
GAME AND FISH COMMISSION

[R19-19]

- 1. Title and its heading:** 12, Natural Resources
- Chapter and its heading:** 4, Game and Fish Commission
- Article and its heading:** 1, Definitions and General Provisions
2, Licenses; Permits; Stamps; Tags
- Section numbers:** R12-4-102, R12-4-106, and R12-4-204
(*As part of this rulemaking, the Department may add, delete, or modify additional Sections as necessary.*)
- 2. The subject matter of the proposed rule:**
During the Second Regular Session of the 53rd Arizona State Legislature, the Legislature amended A.R.S. § 17-363 to require a taxidermist to register with the Department, maintain a register for five years after the date wildlife is received; and file a copy of



the register with the Department by January 31 of each year. A.R.S. § 17-363, authorizes the Commission to adopt rules to allow a person to register pursuant to this section.

3. A citation to all published notices relating to the proceeding:

Notice of Proposed Rulemaking: 25 A.A.R. 349, February 15, 2019 (in this issue)

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Timothy Baumgarten, Arizona Boating Law Administrator
Address: Arizona Game and Fish Department
5000 W. Carefree Highway
Phoenix, AZ 85086
Telephone: (623) 236-7383
Fax: (623) 236-7945
E-mail: TBaumgarten@azgfd.gov

Please visit the AZGFD website to track the progress of this rule; view the regulatory agenda and all previous Five-year Review Reports; and learn about any other agency rulemaking matters at <https://www.azgfd.com/agency/rulemaking/>.

5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

The Commission will accept comments Monday through Friday from 8:00 a.m. until 5:00 p.m. at the address listed under item #4 for thirty days from the date the Notice of Proposed Rulemaking is published in the *Arizona Administrative Register*. Information regarding an oral proceeding will be included in the Notice of Proposed Rulemaking.

6. A timetable for agency decisions or other action on the proceeding, if known:

To be determined.

**NOTICE OF RULEMAKING DOCKET OPENING
CORPORATION COMMISSION - FIXED UTILITIES**

[R19-20]

1. Title and its heading:

14, Public Service Corporations; Corporations and Associations; Securities Regulation

Chapter and its heading:

2, Corporation Commission – Fixed Utilities

Article and its heading:

26, Interconnection of Distributed Generation Facilities

Section numbers:

R14-2-2601 through R14-2-2628

2. The subject matter of the proposed rule:

The Commission initiated this rulemaking to establish standards and procedures concerning how regulated utilities will handle requests for interconnection and parallel operation of distributed generation facilities.

The agency docket number, if applicable:

RE-00000A-07-0609

3. A citation to all published notices relating to the proceeding:

Notice of Proposed Rulemaking: 25 A.A.R. 355, February 15, 2019 (in this issue)

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Wesley Van Cleve, Legal Division Assistant Director
Address: Arizona Corporation Commission
1200 W. Washington
Phoenix, AZ 85007
Telephone: (602) 542-3402
Fax: (602) 542-4870
E-mail: WVanclave@azcc.gov

Name: Patrick LaMere
Address: Arizona Corporation Commission
1200 W. Washington
Phoenix, AZ 85007
Telephone: (602) 542-4382
Fax: (602) 542-4870
E-mail: PLaMere@azcc.gov

5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

The Commission has scheduled two oral proceedings for public comments:

Tucson

Date: March 28, 2019
Time: 10:00 a.m.
Location: Room 222



400 W. Congress St.
Tucson, AZ 85701

Phoenix

Date: March 29, 2019

Time: 10:00 a.m.

Location: Arizona Corporation Commission
Hearing Room No. 1
1200 W. Washington St.
Phoenix, AZ 85007

Nature: Oral Proceedings

Interested persons can submit written comments to the proposed rulemaking to the Commission's Docket Control at 1200 W. Washington St., Phoenix, AZ 85007. Please reference Docket No. RE-00000A-07-0609, on all documents. The commission requests that initial written comments be filed by March 24, 2019, and that written comments in response to other interested persons' comments be filed by March 28, 2019. Oral comments may be provided at the proceedings to be held on March 28 and 29, 2019.

6. A timetable for agency decisions or other action on the proceeding, if known:

A timetable has not been determined.



NOTICES OF SUBSTANTIVE POLICY STATEMENT

The Administrative Procedure Act (APA) requires the publication of Notices of Substantive Policy Statement issued by agencies (A.R.S. § 41-1013(B)(9)).

Substantive policy statements are written expressions which inform the general public of an agency's current approach to rule or regulation practice.

Substantive policy statements are advisory only. A substantive policy statement does not include internal procedural documents that only affect an agency's

internal procedures and does not impose additional requirements or penalties on regulated parties or include confidential information or rules made in accordance with the APA.

If you believe that a substantive policy statement does impose additional requirements or penalties on regulated parties, you may petition the agency under A.R.S. § 41-1033 for a review of the statement.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
ARIZONA DEPARTMENT OF WATER RESOURCES

[M19-20]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Underground Storage Facility Permit Application Guide (R13)

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

January 22, 2019

3. Summary of the contents of the substantive policy statement:

Substantive Policy Statement R13 is an application guide that provides information on the Underground Storage Facility Permit application process and assistance in completing the Underground Storage Facility Permit application form. Among other things, Substantive Policy Statement R13 addresses the conditions that must be met in order for a permit modification or renewal to be exempt from public notice under A.R.S. § 45-871.01(D) for an Underground Storage Facility Permit.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

A.R.S. § 45-811.01

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This Underground Storage Facility Permit Application Guide is a revision to and supersedes Substantive Policy Statement No. R10.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: David McKay
Address: P.O. Box 36020
Phoenix, AZ 85067-6020
Telephone: (602) 771-8104
E-mail: dmckay@azwater.gov
Website: www.azwater.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies of this Substantive Policy Statement are available at no cost on the Department's website: www.azwater.gov. Hard copies may be obtained by contacting the person listed above for \$0.25 per page.

NOTICE OF SUBSTANTIVE POLICY STATEMENT
ARIZONA STATE LAND DEPARTMENT

[M19-10]

1. Title or subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

P86-1: Fees; Copy of Documents; Maps; Processing Costs and Returned Checks

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Issued: March 18, 1986
Amended: April 22, 2011

3. Summary of the contents of the substantive policy statement:

Outlines fees for copies of documents and other records of the Department.

4. Federal or State constitutional provision; federal or State statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

A.A.C. R12-5-1201

**5. A statement as to whether the substantive policy statement is a new statement or a revision:**

This is a current statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Sean Burke
 Address: Arizona State Land Department
 1616 W. Adams
 Phoenix, AZ 85007
 Telephone: (602) 542-3238
 Fax: (602) 542-5223
 E-mail: sburke@azland.gov
 Website: www.azland.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

You may locate a copy of the policy on our website or a copy may be obtained from the Arizona State Land Department, Administration Division Director, 1616 W. Adams, Phoenix, Arizona, 85007, either by mail or telephone: (602) 542-3238. The Department charges \$.50 per page for copying. Payment may be paid with check or money order, made payable to the Arizona State Land Department.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
 ARIZONA STATE LAND DEPARTMENT**

[M19-11]

1. Title or subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

P05-02: Noncompetitive Oil and Gas Lease Applications: "8:00 A.M." Simultaneous Filings

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

December 30, 2005

3. Summary of the contents of the substantive policy statement:

Defines circumstances under which valid, noncompetitive oil and gas lease applications will be considered simultaneously filed.

4. Federal or State constitutional provision, federal or State statute, administrative rule, or regulation, or final court judgment that underlies the substantive policy statement:

A.R.S. 27-255

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a current statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Sean Burke
 Address: Arizona State Land Department
 1616 W. Adams
 Phoenix, AZ 85007
 Telephone: (602) 542-3238
 Fax: (602) 542-5223
 E-mail: sburke@azland.gov
 Website: www.azland.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

You may locate a copy of the policy on our website or a copy may be obtained from the Arizona State Land Department, Administration Division Director, 1616 W. Adams, Phoenix, Arizona, 85007, either by mail or telephone: (602) 542-3238. The Department charges \$.50 per page for copying. Payment may be paid with check or money order, made payable to the Arizona State Land Department.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
 ARIZONA STATE LAND DEPARTMENT**

[M19-12]

1. Title or subject of the substantive policy statement and the substantive policy statement number by which the policy statement is referenced:

P06-01: SLD – Tribal Government Consultation Guidelines

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

December 14, 2006

3. Summary of the contents of the substantive policy statement:

Establishes guiding principles for Land Department relations with Arizona Tribal governments within the Department's authority



of managing State Trust lands.

4. Federal or State constitutional provision; federal or State statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a current statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Sean Burke
Address: Arizona State Land Department
1616 W. Adams
Phoenix, AZ 85007
Telephone: (602) 542-3238
Fax: (602) 542-5223
E-mail: sburke@azland.gov
Website: www.azland.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

You may locate a copy of the policy on our website or a copy may be obtained from the Arizona State Land Department, Administration Division Director, 1616 W. Adams, Phoenix, Arizona, 85007, either by mail or telephone: (602) 542-3238. The Department charges \$.50 per page for copying. Payment may be paid with check or money order, made payable to the Arizona State Land Department.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-13]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Intended Use Plan and Project Priority List Development and Update
Identification Number: II.1

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Original Issue Date: February 15, 2006
Effective Date: April 25, 2018

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to standardize the processes to create Intended Use Plans and Project Priority Lists.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
E-mail: pincognito@azwifa.gov
Website: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.



**NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-14]

- 1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
Document Title: Construction Observations
Identification Number: II.3
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Original Issue Date: October 10, 2000
Effective Date: October 6, 2017
- 3. Summary of the contents of the substantive policy statement:**
The Authority issued a policy regarding the need for and purpose of construction observations.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a revised substantive policy statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**
Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
E-mail: pincognito@azwifa.gov
Website: www.azwifa.gov
- 7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:**
Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-15]

- 1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:**
Document Title: Multiple Technical Assistance Awards
Identification Number: II.5
- 2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:**
Original Issue Date: April 16, 2003
Effective Date: May 7, 2018
- 3. Summary of the contents of the substantive policy statement:**
The Authority issued a policy to describe WIFA's restrictions on multiple technical assistance awards.
- 4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:**
Not applicable
- 5. A statement as to whether the substantive policy statement is a new statement or a revision:**
This is a revised substantive policy statement.
- 6. The agency contact person who can answer questions about the substantive policy statement:**
Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
E-mail: pincognito@azwifa.gov
Website: www.azwifa.gov



7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-16]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Annual Loan Review
Identification Number: III.2

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Original Issue Date: February 15, 2006
Effective Date: August 22, 2018

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to define the annual loan review content and schedule.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
E-mail: pincognito@azwifa.gov
Website: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-17]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Disadvantaged Community Designation
Identification Number: III.6

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Original Issue Date: January 11, 2000
Effective Date: December 19, 2018

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to outline criteria to designate a drinking water system or wastewater applicant as a Disadvantaged Community and to define the additional benefits available to Disadvantaged Communities.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007



Telephone: (602) 364-1310
 Fax: (602) 364-1327
 E-mail: pincognito@azwifa.gov
 Website: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
 WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-18]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Capability Review and Financial Assistance Requirements for Governmental Entities
 Identification Number: III.7

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Original Issue Date: April 13, 1999
 Effective Date: December 19, 2018

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to outline qualifications to determine an applicant's financial, legal, technical, and managerial capabilities; summarize the content of WIFA's review of an applicant's historical performance; and detail financial assistance requirements.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable

5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Trish Incognito
 Address: Water Infrastructure Finance Authority
 100 N. 15th Ave., Suite 103
 Phoenix, AZ 85007
 Telephone: (602) 364-1310
 Fax: (602) 364-1327
 E-mail: pincognito@azwifa.gov
 Website: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.

**NOTICE OF SUBSTANTIVE POLICY STATEMENT
 WATER INFRASTRUCTURE FINANCE AUTHORITY**

[M19-19]

1. Title of the Substantive Policy Statement and the substantive policy statement number by which the substantive policy statement is referenced:

Document Title: Capability Review and Financial Assistance Requirements for Non-Governmental Entities
 Identification Number: III.8

2. Date the substantive policy statement was issued and the effective date of the policy statement if different from the issuance date:

Original Issue Date: December 8, 1998
 Effective Date: December 19, 2018

3. Summary of the contents of the substantive policy statement:

The Authority issued a policy to outline qualifications to determine an applicant's financial, legal, technical, and managerial capabilities; summarize the content of WIFA's review of an applicant's historical performance; detail financial assistance requirements; and define levels of loan security/documentation.

4. Federal or state constitutional provision; federal or state statute, administrative rule, or regulation; or final court judgment that underlies the substantive policy statement:

Not applicable



5. A statement as to whether the substantive policy statement is a new statement or a revision:

This is a revised substantive policy statement.

6. The agency contact person who can answer questions about the substantive policy statement:

Name: Trish Incognito
Address: Water Infrastructure Finance Authority
100 N. 15th Ave., Suite 103
Phoenix, AZ 85007
Telephone: (602) 364-1310
Fax: (602) 364-1327
E-mail: pincognito@azwifa.gov
Website: www.azwifa.gov

7. Information about where a person may obtain a copy of the substantive policy statement and the costs for obtaining the policy statement:

Copies are available at the Water Infrastructure Finance Authority, 100 N. 15th Ave., Suite 103, Phoenix, AZ 85007 or from the person listed above.



NOTICES OF AGENCY OMBUDSMAN

The Administrative Procedure Act requires the publication of Notices of Agency Ombudsman. Agencies shall publish annually in the *Register* the name or names of those employees who are designated by the agency to assist members of the public or regulated community in seeking information or assistance from the agency. (A.R.S. § 41-1006)

NOTICE OF AGENCY OMBUDSMAN

EARLY CHILDHOOD DEVELOPMENT AND HEALTH BOARD/FIRST THINGS FIRST

[M19-08]

1. **The agency name:** Early Childhood Development and Health Board/First Things First
2. **The ombudsman's:**
 - a. **Name:** Liz Barker Alvarez
 - b. **Title:** Chief Police Advisor
 - c. **Specific agency division:** Executive Office
3. **The ombudsman's office address to include the city, state and zip code:**

Address: First Things First
4000 N. Central Ave., Suite 800
Phoenix, AZ 85012
4. **The ombudsman's area code and telephone number, fax number and e-mail address, if available:**

Telephone: (602) 771-5063
Fax: (602) 274-1247
E-mail: lbarker@firstthingsfirst.org

NOTICE OF AGENCY OMBUDSMAN

GAME AND FISH DEPARTMENT

[M19-09]

1. **The agency name:** Game and Fish Commission
2. **The ombudsman's:**
 - a. **Name:** Pat Barber
 - b. **Title:** Executive Community Engagement Administrator
3. **The ombudsman's office address to include the city, state and zip code:**

Address: Arizona Game and Fish Department
5000 W. Carefree Highway, DOHQ
Phoenix, AZ 85086
4. **The ombudsman's area code and telephone number, fax number and e-mail address, if available:**

Telephone: (623) 236-7373
Fax: (623) 236-7299
E-mail: PBarber@azgfd.gov



GOVERNOR EXECUTIVE ORDER

Executive Order 2019-01 is being reproduced in each issue of the Administrative Register as a notice to the public regarding state agencies' rulemaking activities.

This order has been reproduced in its entirety as submitted.

EXECUTIVE ORDER 2019-01

Moratorium on Rulemaking to Promote Job Creation and Customer-Service-Oriented Agencies; Protecting Consumers Against Fraudulent Activities

[M19-04]

WHEREAS, government regulations should be as limited as possible; and

WHEREAS, burdensome regulations inhibit job growth and economic development; and

WHEREAS, protecting the public health, peace and safety of the residents of Arizona is a top priority of state government; and

WHEREAS, in 2015 the State of Arizona implemented a moratorium on all new regulatory rulemaking by State agencies through executive order and renewed the moratorium in 2016, 2017 and 2018; and

WHEREAS, the State of Arizona eliminated or repealed 422 needless regulations in 2018 and 676 in 2017 for a total of 1,098 needless regulations eliminated or repealed over two years; and

WHEREAS, estimates show these eliminations saved job creators more than \$31 million in operating costs in 2018 and \$48 million in 2017 for a total of over \$79 million in savings over two years; and

WHEREAS, approximately 283,300 private sector jobs have been added to Arizona since January 2015; and

WHEREAS, all government agencies of the State of Arizona should continue to promote customer-service-oriented principles for the people that it serves; and

WHEREAS, each State agency shall continue to conduct a critical and comprehensive review of its administrative rules and take action to reduce the regulatory burden, administrative delay and legal uncertainty associated with government regulation while protecting the health, peace and safety of residents; and

WHEREAS, each State agency should continue to evaluate its administrative rules using any available and reliable data and performance metrics; and

WHEREAS, Article 5, Section 4 of the Arizona Constitution and Title 41, Chapter 1, Article 1 of the Arizona Revised Statutes vests the executive power of the State of Arizona in the Governor.

NOW, THEREFORE, I, Douglas A. Ducey, by virtue of the authority vested in me by the Constitution and laws of the State of Arizona hereby declare the following:

- 1. A State agency subject to this Order shall not conduct any rulemaking, whether informal or formal, without the prior written approval of the Office of the Governor. In seeking approval, a State agency shall address one or more of the following as justifications for the rulemaking:
a. To fulfill an objective related to job creation, economic development or economic expansion in this State.
b. To reduce or ameliorate a regulatory burden while achieving the same regulatory objective.
c. To prevent a significant threat to the public health, peace, or safety.
d. To avoid violating a court order or federal law that would result in sanctions by a federal court for failure to conduct the rulemaking action.
e. To comply with a federal statutory or regulatory requirement if such compliance is related to a condition for the receipt of federal funds or participation in any federal program.
f. To comply with a state statutory requirement.
g. To fulfill an obligation related to fees or any other action necessary to implement the State budget that is certified by the Governor's Office of Strategic Planning and Budgeting.
h. To promulgate a rule or other item that is exempt from Title 41, Chapter 6, Arizona Revised Statutes, pursuant to section 41-1005, Arizona Revised Statutes.
i. To address matters pertaining to the control, mitigation, or eradication of waste, fraud or abuse within an agency or wasteful, fraudulent, or abusive activities perpetrated against an agency.
j. To eliminate rules which are antiquated, redundant or otherwise no longer necessary for the operation of state government.
2. A State agency subject to this Order shall not publicize any directives, policy statements, documents or forms on its website unless such are explicitly authorized by Arizona Revised Statutes or Arizona Administrative Code.
3. A State agency subject to this Order and which issues occupational or professional licenses shall review the agency's rules and practices related to receiving and acting on substantive complaints about unlicensed individuals who are allegedly holding them-



selves out as licensed professionals for financial gain and are knowingly or recklessly providing or attempting to provide regulated services which the State agency director believes could cause immediate and/or significant harm to either the financial or physical health of unknowing consumers within the state. Agencies shall identify and execute on opportunities to improve its complaint intake process, documentation, tracking, enforcement actions and coordination with proper law enforcement channels to ensure those allegedly trying to defraud unsuspecting consumers and putting them at risk for immediate and/or significant harm to their financial or physical health are stopped and effectively diverted by the State agency to the proper law-enforcement agency for review. A written plan on the agency's process shall be submitted to the Governor's Office no later than May 31, 2019.

4. For the purposes of this Order, the term "State agencies" includes, without limitation, all executive departments, agencies, offices, and all state boards and commissions, except for: (a) any State agency that is headed by a single elected State official; (b) the Corporation Commission; and (c) any board or commission established by ballot measure during or after the November 1998 general election. Those state agencies, boards and commissions excluded from this Order are strongly encouraged to voluntarily comply with this Order in the context of their own rulemaking processes.
5. This Order does not confer any legal rights upon any persons and shall not be used as a basis for legal challenges to rules, approvals, permits, licenses or other actions or to any inaction of a State agency. For the purposes of this Order, "person," "rule," and "rulemaking" have the same meanings prescribed in section 41-1001, Arizona Revised Statutes.

IN WITNESS THEREOF, I have hereunto set my hand and caused to be affixed the Great Seal of the State of Arizona.

Douglas A. Ducey
GOVERNOR

DONE at the Capitol in Phoenix on this ninth day of January in the Year Two Thousand and Nineteen and of the Independence of the United States of America the Two Hundred and Forty-Third.

ATTEST:

Katie Hobbs
SECRETARY OF STATE

REGISTER INDEXES

The *Register* is published by volume in a calendar year (See “General Information” in the front of each issue for more information).

Abbreviations for rulemaking activity in this Index include:

PROPOSED RULEMAKING

PN = Proposed new Section
PM = Proposed amended Section
PR = Proposed repealed Section
P# = Proposed renumbered Section

SUPPLEMENTAL PROPOSED RULEMAKING

SPN = Supplemental proposed new Section
SPM = Supplemental proposed amended Section
SPR = Supplemental proposed repealed Section
SP# = Supplemental proposed renumbered Section

FINAL RULEMAKING

FN = Final new Section
FM = Final amended Section
FR = Final repealed Section
F# = Final renumbered Section

SUMMARY RULEMAKING**PROPOSED SUMMARY**

PSMN = Proposed Summary new Section
PSMM = Proposed Summary amended Section
PSMR = Proposed Summary repealed Section
PSM# = Proposed Summary renumbered Section

FINAL SUMMARY

FSMN = Final Summary new Section
FSMM = Final Summary amended Section
FSMR = Final Summary repealed Section
FSM# = Final Summary renumbered Section

EXPEDITED RULEMAKING**PROPOSED EXPEDITED**

PEN = Proposed Expedited new Section
PEM = Proposed Expedited amended Section
PER = Proposed Expedited repealed Section
PE# = Proposed Expedited renumbered Section

SUPPLEMENTAL EXPEDITED

SPEN = Supplemental Proposed Expedited new Section
SPEM = Supplemental Proposed Expedited amended Section
SPER = Supplemental Proposed Expedited repealed Section
SPE# = Supplemental Proposed Expedited renumbered Section

FINAL EXPEDITED

FEN = Final Expedited new Section
FEM = Final Expedited amended Section
FER = Final Expedited repealed Section
FE# = Final Expedited renumbered Section

EXEMPT RULEMAKING**EXEMPT**

XN = Exempt new Section
XM = Exempt amended Section
XR = Exempt repealed Section
X# = Exempt renumbered Section

EXEMPT PROPOSED

PXN = Proposed Exempt new Section
PXM = Proposed Exempt amended Section
PXR = Proposed Exempt repealed Section
PX# = Proposed Exempt renumbered Section

EXEMPT SUPPLEMENTAL PROPOSED

SPXN = Supplemental Proposed Exempt new Section
SPXR = Supplemental Proposed Exempt repealed Section
SPXM = Supplemental Proposed Exempt amended Section
SPX# = Supplemental Proposed Exempt renumbered Section

FINAL EXEMPT RULEMAKING

FXN = Final Exempt new Section
FXM = Final Exempt amended Section
FXR = Final Exempt repealed Section
FX# = Final Exempt renumbered Section

EMERGENCY RULEMAKING

EN = Emergency new Section
EM = Emergency amended Section
ER = Emergency repealed Section
E# = Emergency renumbered Section
EEXP = Emergency expired

RECODIFICATION OF RULES

RC = Recodified

REJECTION OF RULES

RJ = Rejected by the Attorney General

TERMINATION OF RULES

TN = Terminated proposed new Sections
TM = Terminated proposed amended Section
TR = Terminated proposed repealed Section
T# = Terminated proposed renumbered Section

RULE EXPIRATIONS

EXP = Rules have expired

See also “emergency expired” under emergency rulemaking

CORRECTIONS

C = Corrections to Published Rules

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RULEMAKING ACTIVITY INDEX

Rulemakings are listed in the Index by Chapter, Section number, rulemaking activity abbreviation and by volume page number. Use the page guide above to determine the *Register* issue number to review the rule. Headings for the Subchapters, Articles, Parts, and Sections are not indexed.

THIS INDEX INCLUDES RULEMAKING ACTIVITY THROUGH ISSUE 6 OF VOLUME 25.

<p>Board of Education, State</p> <p>R7-2-201. FXM-98</p> <p>R7-2-206. FXM-98</p> <p>Corporation Commission, Arizona - Transportation</p> <p>R14-5-201. FM-151</p> <p>R14-5-202. FM-151</p> <p>R14-5-204. FM-151</p> <p>Environmental Quality, Department of - Air Pollution Control</p> <p>R18-2-1201. PM-8</p> <p>R18-2-1202. PM-8</p> <p>R18-2-1203. PM-8</p> <p>R18-2-1204. PM-8</p> <p>R18-2-1205. PM-8</p> <p>R18-2-1206. PM-8</p> <p>R18-2-1207. PM-8</p> <p>R18-2-1208. P#-8; PN-8</p> <p>R18-2-1209. PN-8</p> <p>R18-2-1210. P#-8; PM-8</p> <p>Environmental Quality, Department of - Water Quality Standards</p> <p>R18-11-101. PM-177</p> <p>R18-11-107.1. PM-177</p> <p>R18-11-109. PM-177</p> <p>R18-11-114. PM-177</p> <p>R18-11-115. PM-177</p> <p>R18-11-120. PM-177</p> <p>R18-11-122. PM-177</p> <p>Appendix A. PM-177</p> <p>Table 1. PM-177</p> <p>Table 2. PM-177</p> <p>Table 3. PM-177</p> <p>Table 4. PM-177</p> <p>Table 5. PM-177</p> <p>Table 6. PM-177</p> <p>Table 11. PR-177; PN-177</p> <p>Table 12. PR-177; PN-177</p> <p>Table 13. PN-177</p> <p>Table 14. PN-177</p> <p>Table 15. PN-177</p> <p>Table 16. PN-177</p>	<p>Table 17. PN-177</p> <p>Appendix B. PM-177</p> <p>Appendix c. PM-177</p> <p>Game and Fish Commission</p> <p>R12-4-1001. PN-124</p> <p>R12-4-1002. PN-124</p> <p>R12-4-1003. PN-124</p> <p>R12-4-1004. PN-124</p> <p>R12-4-1005. PN-124</p> <p>Health Services, Department of - Communicable Diseases and Infections</p> <p>R9-6-1201. FEM-255</p> <p>R9-6-1202. FEM-255</p> <p>R9-6-1203. FEM-255</p> <p>R9-6-1204. FEM-255</p> <p>Health Services, Department of - Health Care Institutions: Licensing</p> <p>R9-10-323. FEM-259</p> <p>R9-10-517. FEM-259</p> <p>R9-10-617. FEM-259</p> <p>R9-10-721. FEM-259</p> <p>R9-10-819. FEM-259</p> <p>R9-10-917. FEM-259</p> <p>R9-10-1030. FEM-259</p> <p>R9-10-1116. FEM-259</p> <p>R9-10-1316. FEM-259</p> <p>R9-10-1415. FEM-259</p> <p>R9-10-1610. FEM-259</p> <p>R9-10-1712. FEM-259</p> <p>R9-10-1810. FEM-259</p> <p>Health Services, Department of - Sober Living Homes</p> <p>R9-12-101. PN-289</p> <p>R9-12-102. PN-289</p> <p>R9-12-103. PN-289</p> <p>R9-12-104. PN-289</p> <p>R9-12-105. PN-289</p> <p>R9-12-106. PN-289</p> <p>R9-12-107. PN-289</p> <p>Table 1.1. PN-289</p> <p>R9-12-201. PN-289</p> <p>R9-12-202. PN-289</p>	<p>R9-12-203. PN-289</p> <p>R9-12-204. PN-289</p> <p>R9-12-205. PN-289</p> <p>R9-12-206. PN-289</p> <p>R9-12-207. PN-289</p> <p>Information Technology Agency, Government</p> <p>R2-18-101. PM-93</p> <p>R2-18-201. PM-93</p> <p>R2-18-301. PM-93</p> <p>R2-18-401. PM-93</p> <p>R2-18-501. PN-93</p> <p>R2-18-502. PN-93</p> <p>R2-18-503. PN-93</p> <p>Insurance, Department of</p> <p>R20-6-2401. XN-155</p> <p>R20-6-2402. XN-155</p> <p>R20-6-2403. XN-155</p> <p>R20-6-2404. XN-155</p> <p>R20-6-2405. XN-155</p> <p>R20-6-2406. XN-155</p> <p>Medical Board, Arizona</p> <p>R4-16-101. FM-145</p> <p>R4-16-102. FM-145</p> <p>R4-16-103. FM-145</p> <p>R4-16-401. FM-145</p> <p>R4-16-402. FM-145</p> <p>Pharmacy, Board of</p> <p>R4-23-110. SPM-19</p> <p>R4-23-202. SPM-19</p> <p>R4-23-203. SPM-19</p> <p>R4-23-205. PM-5; SPM-19</p> <p>R4-23-301. SPM-19</p> <p>R4-23-302. SPM-19</p> <p>R4-23-407. SPM-19</p> <p>R4-23-407.1. SPM-19</p> <p>R4-23-411. SPM-19</p> <p>R4-23-601. SPM-19</p> <p>R4-23-602. SPM-19</p> <p>R4-23-603. SPM-19</p> <p>R4-23-604. SPM-19</p> <p>R4-23-605. SPM-19</p> <p>R4-23-606. SPM-19</p>
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OTHER NOTICES AND PUBLIC RECORDS INDEX

Other notices related to rulemakings are listed in the Index by notice type, agency/county and by volume page number. Agency policy statements and proposed delegation agreements are included in this section of the Index by volume page number. Public records, such as Governor Office executive orders, proclamations, declarations and terminations of emergencies, summaries of Attorney General Opinions, and county notices are also listed in this section of the Index and published by volume page number.

THIS INDEX INCLUDES OTHER NOTICE ACTIVITY THROUGH ISSUE 6 OF VOLUME 25.

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- Game and Fish Commission; 12 A.A.C. 4; p. 128
- Information Technology Agency, Government; 2 A.A.C. 18; pp. 107-108
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RULES EFFECTIVE DATES CALENDAR

A.R.S. § 41-1032(A), as amended by Laws 2002, Ch. 334, § 8 (effective August 22, 2002), states that a rule generally becomes effective 60 days after the day it is filed with the Secretary of State's Office. The following table lists filing dates and effective dates for rules that follow this provision. Please also check the rulemaking Preamble for effective dates.

January		February		March		April		May		June	
Date Filed	Effective Date										
1/1	3/2	2/1	4/2	3/1	4/30	4/1	5/31	5/1	6/30	6/1	7/31
1/2	3/3	2/2	4/3	3/2	5/1	4/2	6/1	5/2	7/1	6/2	8/1
1/3	3/4	2/3	4/4	3/3	5/2	4/3	6/2	5/3	7/2	6/3	8/2
1/4	3/5	2/4	4/5	3/4	5/3	4/4	6/3	5/4	7/3	6/4	8/3
1/5	3/6	2/5	4/6	3/5	5/4	4/5	6/4	5/5	7/4	6/5	8/4
1/6	3/7	2/6	4/7	3/6	5/5	4/6	6/5	5/6	7/5	6/6	8/5
1/7	3/8	2/7	4/8	3/7	5/6	4/7	6/6	5/7	7/6	6/7	8/6
1/8	3/9	2/8	4/9	3/8	5/7	4/8	6/7	5/8	7/7	6/8	8/7
1/9	3/10	2/9	4/10	3/9	5/8	4/9	6/8	5/9	7/8	6/9	8/8
1/10	3/11	2/10	4/11	3/10	5/9	4/10	6/9	5/10	7/9	6/10	8/9
1/11	3/12	2/11	4/12	3/11	5/10	4/11	6/10	5/11	7/10	6/11	8/10
1/12	3/13	2/12	4/13	3/12	5/11	4/12	6/11	5/12	7/11	6/12	8/11
1/13	3/14	2/13	4/14	3/13	5/12	4/13	6/12	5/13	7/12	6/13	8/12
1/14	3/15	2/14	4/15	3/14	5/13	4/14	6/13	5/14	7/13	6/14	8/13
1/15	3/16	2/15	4/16	3/15	5/14	4/15	6/14	5/15	7/14	6/15	8/14
1/16	3/17	2/16	4/17	3/16	5/15	4/16	6/15	5/16	7/15	6/16	8/15
1/17	3/18	2/17	4/18	3/17	5/16	4/17	6/16	5/17	7/16	6/17	8/16
1/18	3/19	2/18	4/19	3/18	5/17	4/18	6/17	5/18	7/17	6/18	8/17
1/19	3/20	2/19	4/20	3/19	5/18	4/19	6/18	5/19	7/18	6/19	8/18
1/20	3/21	2/20	4/21	3/20	5/19	4/20	6/19	5/20	7/19	6/20	8/19
1/21	3/22	2/21	4/22	3/21	5/20	4/21	6/20	5/21	7/20	6/21	8/20
1/22	3/23	2/22	4/23	3/22	5/21	4/22	6/21	5/22	7/21	6/22	8/21
1/23	3/24	2/23	4/24	3/23	5/22	4/23	6/22	5/23	7/22	6/23	8/22
1/24	3/25	2/24	4/25	3/24	5/23	4/24	6/23	5/24	7/23	6/24	8/23
1/25	3/26	2/25	4/26	3/25	5/24	4/25	6/24	5/25	7/24	6/25	8/24
1/26	3/27	2/26	4/27	3/26	5/25	4/26	6/25	5/26	7/25	6/26	8/25
1/27	3/28	2/27	4/28	3/27	5/26	4/27	6/26	5/27	7/26	6/27	8/26
1/28	3/29	2/28	4/29	3/28	5/27	4/28	6/27	5/28	7/27	6/28	8/27
1/29	3/30			3/29	5/28	4/29	6/28	5/29	7/28	6/29	8/28
1/30	3/31			3/30	5/29	4/30	6/29	5/30	7/29	6/30	8/29
1/31	4/1			3/31	5/30			5/31	7/30		



July		August		September		October		November		December	
Date Filed	Effective Date										
7/1	8/30	8/1	9/30	9/1	10/31	10/1	11/30	11/1	12/31	12/1	1/30
7/2	8/31	8/2	10/1	9/2	11/1	10/2	12/1	11/2	1/1	12/2	1/31
7/3	9/1	8/3	10/2	9/3	11/2	10/3	12/2	11/3	1/2	12/3	2/1
7/4	9/2	8/4	10/3	9/4	11/3	10/4	12/3	11/4	1/3	12/4	2/2
7/5	9/3	8/5	10/4	9/5	11/4	10/5	12/4	11/5	1/4	12/5	2/3
7/6	9/4	8/6	10/5	9/6	11/5	10/6	12/5	11/6	1/5	12/6	2/4
7/7	9/5	8/7	10/6	9/7	11/6	10/7	12/6	11/7	1/6	12/7	2/5
7/8	9/6	8/8	10/7	9/8	11/7	10/8	12/7	11/8	1/7	12/8	2/6
7/9	9/7	8/9	10/8	9/9	11/8	10/9	12/8	11/9	1/8	12/9	2/7
7/10	9/8	8/10	10/9	9/10	11/9	10/10	12/9	11/10	1/9	12/10	2/8
7/11	9/9	8/11	10/10	9/11	11/10	10/11	12/10	11/11	1/10	12/11	2/9
7/12	9/10	8/12	10/11	9/12	11/11	10/12	12/11	11/12	1/11	12/12	2/10
7/13	9/11	8/13	10/12	9/13	11/12	10/13	12/12	11/13	1/12	12/13	2/11
7/14	9/12	8/14	10/13	9/14	11/13	10/14	12/13	11/14	1/13	12/14	2/12
7/15	9/13	8/15	10/14	9/15	11/14	10/15	12/14	11/15	1/14	12/15	2/13
7/16	9/14	8/16	10/15	9/16	11/15	10/16	12/15	11/16	1/15	12/16	2/14
7/17	9/15	8/17	10/16	9/17	11/16	10/17	12/16	11/17	1/16	12/17	2/15
7/18	9/16	8/18	10/17	9/18	11/17	10/18	12/17	11/18	1/17	12/18	2/16
7/19	9/17	8/19	10/18	9/19	11/18	10/19	12/18	11/19	1/18	12/19	2/17
7/20	9/18	8/20	10/19	9/20	11/19	10/20	12/19	11/20	1/19	12/20	2/18
7/21	9/19	8/21	10/20	9/21	11/20	10/21	12/20	11/21	1/20	12/21	2/19
7/22	9/20	8/22	10/21	9/22	11/21	10/22	12/21	11/22	1/21	12/22	2/20
7/23	9/21	8/23	10/22	9/23	11/22	10/23	12/22	11/23	1/22	12/23	2/21
7/24	9/22	8/24	10/23	9/24	11/23	10/24	12/23	11/24	1/23	12/24	2/22
7/25	9/23	8/25	10/24	9/25	11/24	10/25	12/24	11/25	1/24	12/25	2/23
7/26	9/24	8/26	10/25	9/26	11/25	10/26	12/25	11/26	1/25	12/26	2/24
7/27	9/25	8/27	10/26	9/27	11/26	10/27	12/26	11/27	1/26	12/27	2/25
7/28	9/26	8/28	10/27	9/28	11/27	10/28	12/27	11/28	1/27	12/28	2/26
7/29	9/27	8/29	10/28	9/29	11/28	10/29	12/28	11/29	1/28	12/29	2/27
7/30	9/28	8/30	10/29	9/30	11/29	10/30	12/29	11/30	1/29	12/30	2/28
7/31	9/29	8/31	10/30			10/31	12/30			12/31	3/1



REGISTER PUBLISHING DEADLINES

The Secretary of State's Office publishes the Register weekly. There is a three-week turnaround period between a deadline date and the publication date of the Register. The weekly deadline dates and issue dates are shown below. Council meetings and Register deadlines do not correlate. Also listed are the earliest dates on which an oral proceeding can be held on proposed rulemakings or proposed delegation agreements following publication of the notice in the Register.

Deadline Date (paper only) Friday, 5:00 p.m.	Register Publication Date	Oral Proceeding may be scheduled on or after
November 9, 2018	November 30, 2018	December 31, 2018
November 16, 2018	December 7, 2018	January 7, 2019
November 23, 2018	December 14, 2018	January 14, 2019
November 30, 2018	December 21, 2018	January 22, 2019
December 7, 2018	December 28, 2018	January 28, 2019
December 14, 2018	January 4, 2019	February 4, 2019
December 21, 2018	January 11, 2019	February 11, 2019
December 28, 2018	January 18, 2019	February 19, 2019
January 4, 2019	January 25, 2019	February 25, 2019
January 11, 2019	February 1, 2019	March 4, 2019
January 18, 2019	February 8, 2019	March 11, 2019
January 25, 2019	February 15, 2019	March 18, 2019
February 1, 2019	February 22, 2019	March 25, 2019
February 8, 2019	March 1, 2019	April 1, 2019
February 15, 2019	March 8, 2019	April 8, 2019
February 22, 2019	March 15, 2019	April 15, 2019



GOVERNOR’S REGULATORY REVIEW COUNCIL DEADLINES

The following deadlines apply to all Five-Year-Review Reports and any adopted rule submitted to the Governor’s Regulatory Review Council. Council meetings and Register deadlines do not correlate. We publish these deadlines as a courtesy.

All rules and Five-Year Review Reports are due in the Council office by 5 p.m. of the deadline date. The Council’s office is located at 100 N. 15th Ave., Suite 402, Phoenix, AZ 85007. For more information, call (602) 542-2058 or visit <http://grrc.az.gov>.

GOVERNOR’S REGULATORY REVIEW COUNCIL DEADLINES FOR 2019

[M19-05]

DEADLINE FOR PLACEMENT ON AGENDA*	FINAL MATERIALS SUBMITTED TO COUNCIL	DATE OF COUNCIL STUDY SESSION	DATE OF COUNCIL MEETING
<i>Tuesday</i> January 22, 2019	<i>Tuesday</i> February 19, 2019	<i>Tuesday</i> February 26, 2019	<i>Tuesday</i> March 5, 2019
<i>Tuesday</i> February 19, 2019	<i>Tuesday</i> March 19, 2019	<i>Tuesday</i> March 26, 2019	<i>Tuesday</i> April 2, 2019
<i>Tuesday</i> March 19, 2019	<i>Tuesday</i> April 23, 2019	<i>Tuesday</i> April 30, 2019	<i>Tuesday</i> May 7, 2019
<i>Tuesday</i> April 23, 2019	<i>Tuesday</i> May 21, 2019	Wednesday May 29, 2019	<i>Tuesday</i> June 4, 2019
<i>Tuesday</i> May 21, 2019	<i>Tuesday</i> June 18, 2019	<i>Tuesday</i> June 25, 2019	<i>Tuesday</i> July 2, 2019
<i>Tuesday</i> June 18, 2019	<i>Tuesday</i> July 23, 2019	<i>Tuesday</i> July 30, 2019	<i>Tuesday</i> August 6, 2019
<i>Tuesday</i> July 23, 2019	<i>Tuesday</i> August 20, 2019	<i>Tuesday</i> August 27, 2019	Wednesday September 4, 2019
<i>Tuesday</i> August 20, 2019	<i>Tuesday</i> September 17, 2019	<i>Tuesday</i> September 24, 2019	<i>Tuesday</i> October 1, 2019
<i>Tuesday</i> September 17, 2019	<i>Tuesday</i> October 22, 2019	<i>Tuesday</i> October 29, 2019	<i>Tuesday</i> November 5, 2019
<i>Tuesday</i> October 22, 2019	<i>Tuesday</i> November 19, 2019	<i>Tuesday</i> November 26, 2019	<i>Tuesday</i> December 3, 2019
<i>Tuesday</i> November 19, 2019	<i>Tuesday</i> December 24, 2019	<i>Tuesday</i> January 7, 2020	<i>Tuesday</i> January 14, 2020
<i>Tuesday</i> December 24, 2019	<i>Tuesday</i> January 21, 2020	<i>Tuesday</i> January 28, 2020	<i>Tuesday</i> February 4, 2020

* Materials must be submitted by 5 PM on dates listed as a deadline for placement on a particular agenda. Placement on a particular agenda is not guaranteed.